

# LOTUS Small Interiors V2

## Technical Manual



© Copyright Vietnam Green Building Council. 2021.

Whilst every care is taken in preparing this document, the Vietnam Green Building Council cannot accept responsibility for any inaccuracies or for consequential loss incurred as a result of such inaccuracies arising through the use of the document. The Vietnam Green Building Council reserves the right to amend, alter, change or update this document in any way and without prior notice.

# Acknowledgements

In researching and developing the LOTUS Certification system, the Vietnam Green Building Council (VGBC) conducted a survey of all the world's significant green building rating systems. Several became focal points from which the VGBC has taken inspiration to design LOTUS. These are Australia's Green Star, the USA's LEED and Malaysia's GBI rating systems and to a lesser extent, Britain's BREEAM, Hong Kong's BEAM Plus, Indonesia's Greenship and Singapore's Green Mark systems.

The VGBC is indebted to the Green Building Council Australia (GBCA) for its assistance, and also thank the US Green Building Council and the World Green Building Council and its Asia Pacific Network.

The VGBC would like to thank all the members of the technical advisory group for their continued help and support. Their dedication to a sustainable, climate change adapted built environment for Vietnam is essential to the accomplishment of the VGBC's goals and objectives.

The VGBC would also like to thank all staff and volunteers who have contributed to the development of LOTUS. In performing their unsung work, they have laid the groundwork for a fundamental shift toward sustainability in Vietnam's built environment.

VGBC is grateful to the Global Cities Institute of the Royal Melbourne Institute of Technology (RMIT), which provided major funding at its inception.

## Authors and contributors

### Lead Authors

Xavier Leulliette

### Supporting Authors

Hugo Fontourcy, Melissa Merryweather, Vũ Hồng Phong

### Contributors

Đỗ Ngọc Diệp, Héloïse Pelen, Nguyen Van Muon, Nicolas Jallade, Patrick Bivona, Phạm Hoàng Trung, Tim Middleton, Samantha Miller, Yannick Millet

We extend our thanks to all the authors and contributors who participated in the development of the other LOTUS Rating Systems.

# Contents

Acknowledgements .....	3
Authors and contributors .....	3
Contents .....	4
Preface .....	7
VGBC Background Information.....	7
LOTUS General Information .....	8
LOTUS Accreditation for Professional Practitioners.....	8
LOTUS Small Interiors Rating System .....	9
LOTUS Small Interiors Scope.....	9
LOTUS Small Interiors Eligibility Rules .....	9
Categories.....	10
Prerequisites .....	10
Credits.....	11
Weighting .....	12
Certification Levels .....	12
LOTUS Small Interiors Certification Process.....	13
Introduction .....	13
LOTUS Timeline.....	13
Application and Registration .....	13
Pre-assessment stage.....	14
Certification stage.....	15
LOTUS Small Interiors Submissions .....	17
LOTUS Small Interiors Credit List .....	18
Energy.....	19
E-1 Space Cooling.....	20
E-2 Artificial Lighting.....	25
E-3 Energy Efficient Appliances.....	30
E-4 Energy Monitor.....	33
Water.....	34
W-1 Water Efficient Fixtures .....	35
W-2 Drinking Water .....	38
Materials & Resources .....	39
MR-1 Sustainable Materials.....	40

MR-2 Sustainable Furniture Products .....	44
MR-3 Fit-out Waste .....	48
MR-4 Operation Waste Management .....	51
Health & Comfort.....	53
H-1 Fresh Air Supply .....	54
H-2 Low-VOC Emissions Products .....	57
H-3 Interior Plants .....	60
H-4 Green Cleaning.....	62
H-5 Daylighting.....	63
H-6 External Views .....	66
H-7 Thermal Comfort.....	70
Site & Environment.....	72
SE-1 Green base building.....	73
SE-2 Tenancy lease .....	75
SE-3 Green Transportation.....	77
SE-4 Refrigerants .....	79
Management .....	83
Man-1 Construction Stage .....	84
Man-2 Commissioning .....	87
Man-3 Maintenance.....	88
Man-4 Green Awareness and Behavior .....	90
Exceptional Performance .....	92
EP-1 Enhanced Performance .....	93
EP-2 Innovative Solutions.....	95
Appendix A: Best Practice Credits .....	96
Best Practice Credit List .....	96
E-BPC-1 Energy Controls.....	97
E-BPC-2 Water Heating .....	98
H-BPC-1 Indoor Air Quality.....	99
H-BPC-2 Lighting Comfort .....	103
H-BPC-3 Acoustic Comfort.....	104
H-BPC-4 Post-occupancy Comfort .....	108
SE-BPC-1 Facilities and amenities for occupants.....	110
Man-BPC-1 LOTUS AP .....	111
Man-BPC-2 On-going Commissioning .....	112
Man-BPC-3 Independent Commissioning .....	113

Appendix B: Performance paths.....	114
E-1 Space Cooling.....	114
W-1 Water Efficient Fixtures .....	119
H-5 Daylighting.....	125
Appendix C: Lighting Power Density Requirements .....	129
Glossary .....	131
Specific LOTUS Terms .....	131
LOTUS Submission Terms .....	133
Technical Terms.....	134
Addenda: Full list of changes from LOTUS SI V1 .....	139

# Preface

## VGBC Background Information

The Vietnam Green Building Council (VGBC) is a project of the Green Cities Fund, Inc. (GCF), an international non-profit organization based in Oakland, California, USA. The VGBC's aim is to be the focal point for academia, government and the private sector in order to promote a more sustainable and adaptive built environment in the context of climate change.

The VGBC has been officially recognized by the Ministry of Construction of the Socialist Republic of Vietnam (March 2009) and also took part in the establishment of the WGBC Asia Pacific Network (September 2009).

The VGBC has set the following objectives:

- Raise awareness and advocate for the development of green buildings:
  - Enhance awareness of green building practice through workshops and online resources
  - Support the government in defining green building development policies and codes
  - Strengthen ties with academia, government and private sector partners
- Build capacity:
  - Develop and implement training curricula for academia and government
  - Define and implement an official Green Consultant training and examination program (LOTUS Accredited Professional)
- Define green building metrics for Vietnam:
  - Develop a set of green building rating systems (LOTUS)
  - Create a Green Database (products and services)
  - Continue long-term research on climate change resilience for the built environment

## LOTUS General Information

LOTUS includes a set of market-based green building rating systems developed by the Vietnam Green Building Council specifically for the Vietnamese built environment.

LOTUS Rating Systems share the same goal with existing international green building rating systems (LEED, Green Star, BREEAM, GBI, Green Mark, Greenship, etc.) and aim at establishing standards and benchmarks to guide the local construction industry towards more efficient use of natural resources and more environmentally friendly practices.

LOTUS Rating Systems have been developed through long-term research, with the expert advice of specialists giving particular consideration to Vietnam's economic and natural characteristics and existing Vietnamese standards and policy.

LOTUS Rating Systems currently include:

- LOTUS New Construction V3 (LOTUS NC)
- LOTUS Buildings in Operation V1.1 (LOTUS BIO)
- LOTUS Homes V1
- LOTUS Small Buildings V2 (LOTUS SB)
- LOTUS Interiors V1
- LOTUS Small Interiors V1 (LOTUS SI)

## LOTUS Accreditation for Professional Practitioners

One of the key roles of VGBC is to educate and update practitioners about “green building” design and implementation issues. The core of VGBC's educational offering is the LOTUS Accredited Professional Training Course which allows candidates to undertake an exam in order to achieve the qualification of **LOTUS Accredited Professional (LOTUS AP)**.

LOTUS APs are practitioners within the construction industry who have comprehensive knowledge of the LOTUS Certification System philosophy, structure and practical application within the lifecycle of a building project. LOTUS APs are listed on the VGBC website.



# LOTUS Small Interiors Rating System

## LOTUS Small Interiors Scope

LOTUS Small Interiors can be used for single use or mixed-use fit-out projects including:

- office spaces
- retail spaces (supermarkets, shops, etc.) and restaurants
- hotels and hospitality spaces (spaces dedicated to businesses within the service industry that provide transitional or short-term lodging)
- educational facilities
- health facilities including clinics, etc.
- dwelling-units in apartment buildings

## LOTUS Small Interiors Eligibility Rules

To be eligible for LOTUS Small Interiors, projects must meet the 6 following Eligibility Rules:

### 1. Fit-out project

The project must be a fit-out project within a building. It may involve the design, construction and commissioning of a new fit-out or the renovation and refurbishment of an existing fit-out.

### 2. Distinct boundary

The project must be clearly distinct from other spaces within the same building with regards to at least one of the following characteristics: ownership, management, lease, or party wall separation. The owner of the project must be different from the owner of the building.

### 3. Complete interior fit-out project

The project must include a complete interior fit-out. Project components are not eligible.

### 4. Length of Occupancy

Project should show a 3-years lease contract or commit that the area will be used as the same function for a minimum of 3-years period starting from the achievement of LOTUS Certification.

### 5. Occupancy rates

The space must have at least one full-time employee working for a year or one resident.

## 6. Small Interiors

If one of two the following statements is true, then Eligibility Rule 6 is met:

- The project has a gross floor area lower than 1,000 m<sup>2</sup>
- The project fit-out activities include no more than 2 of the following categories:
  - Installation of artificial lighting fixtures (task lighting should not be considered),
  - Installation of water fixtures or water appliances (water dispensers should not be considered)
  - Installation of HVAC systems (split-units should not be considered),
  - Installation of commercial refrigeration systems such as walk-in refrigerators, walk-in freezers or refrigerated casework,
  - Installation of partitions, floorings and/or ceilings

### Categories

LOTUS Small Interiors is composed of 7 **Categories**, each containing a varying number of **Credits** against which specific criteria have been set carrying individual scoring points.

**Energy (E)** - To monitor and reduce the energy consumption through the use of natural ventilation and the installation of energy efficient equipment (HVAC, lighting, appliances, etc.).

**Water (W)** - To reduce the water consumption through the use of water-efficient fixtures and to reduce consumption of bottled drinking water.

**Materials & Resources (MR)** - To reduce the use of high embodied energy materials and to minimize the use of natural resources

**Health and Comfort (H)** - To ensure high indoor environmental quality, through the optimization of the indoor air quality, daylighting and views, and occupant comfort.

**Site and Environment (SE)** - To protect the ecology of the site, mitigate the environmental impacts and minimize pollution.

**Management (Man)** - To ensure that, throughout the project, all targets set up for the various stages (design, construction and operation) are competently and effectively managed.

**Exceptional Performance (EP)** - To reward enhanced performance and innovative solutions not addressed in LOTUS.

### Prerequisites

Unlike most other LOTUS rating systems, LOTUS Small Interiors does not include any prerequisite.

## Credits

LOTUS is a point-based system where projects obtain points for complying with criteria set in the LOTUS Credits. Credits are built on the following structure: Intent, Requirements, Approach & Implementation, Calculations (optional) and Submissions. For a project to be compliant with a credit, the intent of the credit has to be met, the requirements have to be achieved and the required submission documents have to be provided.

## Options and strategies

Some credits can be satisfied through different options or strategies. A project can select only one option with its assigned points. However, a project can implement any or all strategies and accumulate points for the credit (while being restricted by the maximum number of points).

## Best practice credits

LOTUS Small Interiors include some best practice credits rewarding bonus points for achieving best practice in design and construction. Best practice credits often require extra calculations or sophisticated documentation. These credits may be aspirational and not easily achievable for most projects, so, projects that do not achieve these credits will not lose points and the overall certification level will not be affected.

All the best practice credits are listed in the Appendix A.

## Performance and Prescriptive paths

Some credits, options or strategies in LOTUS Small Interiors can be achieved with either a Prescriptive path or a Performance path.

The Prescriptive path requires specific solutions and is a "black-and-white" approach. The Performance path provides flexibility so that a design team may design a solution taking into account project requirements. A project may choose a Performance path for one credit and a Prescriptive path for another.

All the Performance paths are listed in Appendix B.

## Weighting

The current weighting of categories within LOTUS Small Interiors (Table 1) has been carefully considered through analysis of other green building rating systems and in response to the environmental issues specific to the construction practices and development of Vietnam.

Table 1: LOTUS Small Interiors Weighting

Categories	Weight	Points	Bonus points
Energy	27%	16	2
Water	10%	6	0
Materials & Resources	20%	12	0
Health & Comfort	20%	12	5
Site & Environment	12%	7	1
Management	12%	7	3
Exceptional Performance	0%	0	4
<b>Total</b>	<b>100 %</b>	<b>60</b>	<b>15</b>

## Certification Levels

There is 60 points available in LOTUS Small Interiors, plus up to 15 bonus points available. The thresholds for Certification are kept similar to all the others LOTUS rating systems.

The first certification level for LOTUS Small Interiors has been benchmarked at 40% (LOTUS Certified) of the total amount of points (excluding bonus points). This value reflects a good first level of performance and the minimum required for certification.

The following thresholds correspond to 55% (LOTUS Silver), 65% (LOTUS Gold) and 75% (LOTUS Platinum) of the total number of points as shown in Figure 1.

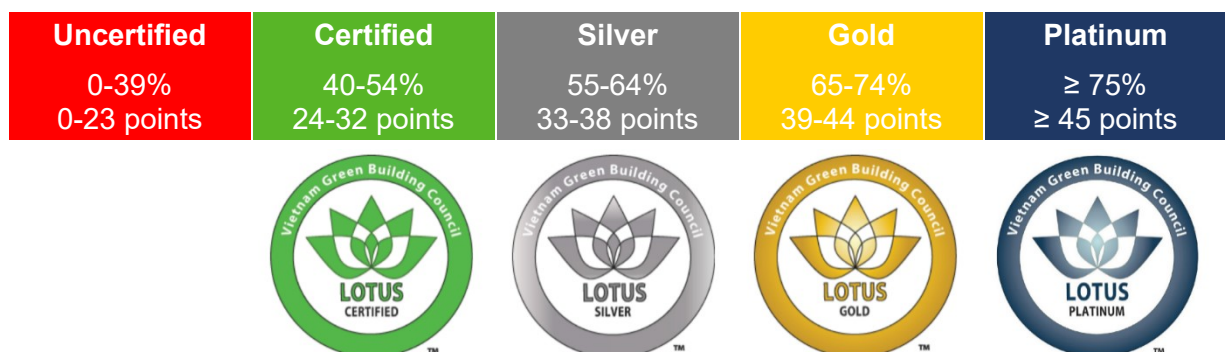


Figure 1: Certification System & Performance levels

# LOTUS Small Interiors Certification Process

## Introduction

LOTUS Certification is a formal process to independently validate that a project has achieved the environmental performance specified in LOTUS Rating Systems. Documentation-based submissions need to be provided as evidence of this achievement.

The VGBC recommends that LOTUS Certification is planned at the earliest possible stage of the project, ideally before the design stage even begins. This allows designers to make changes that will improve the project's overall performance and help to achieve a better LOTUS Certification level.

## LOTUS Timeline

LOTUS Small Interiors Certification happens in the following steps:

- Application and Registration
- Pre-assessment stage (optional)
- Full Certification stage

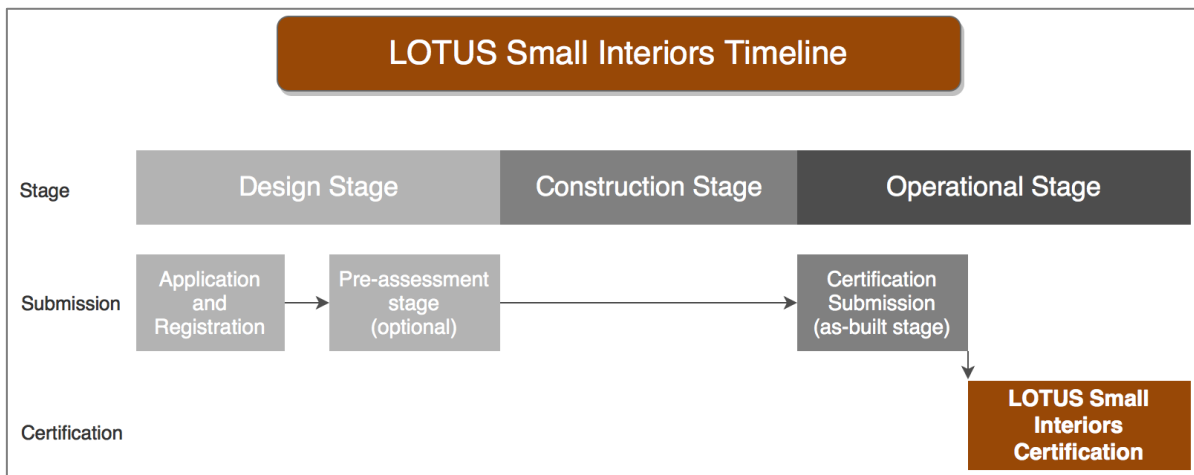


Figure 2: LOTUS Small Interiors Timeline

## Application and Registration

The first step to gain LOTUS certification is to apply and register the project with the **Assessment Organization**. This should be done at the earliest stage possible as the implementation of "green" strategies is most effective when they are considered early in the planning and design stage.

**Applicants** must complete an **Application Form** included in the User Tool (described below in *Submissions*) and submit it to the VGBC. On receipt of the Application form, the Assessment Organization will check that it is complete and all supporting information has been provided. In the event of there being some missing or inadequate documentation, the Applicant will be notified and will have the opportunity to provide the missing information.

For any project to go through the certification process, all eligibility rules must be fulfilled. It is the Applicant's responsibility to ensure that projects are eligible. The Assessment Organization reserves the right to refuse certification of ineligible projects. If you are in any doubt as to whether a project meets the eligibility requirements, please contact VGBC.

Once the application form has been confirmed as complete, a **Certification Agreement** with all necessary terms and conditions will be signed by both the Applicant and the Assessment Organization. At this point, the Applicant is to nominate an **Applicant Representative** for the duration of the project that will be the primary contact for the Assessment Organization.

On receipt of the signed copy of the Certification Agreement, the Assessment Organization will invoice the **Assessment Fee** to the Applicant. The project registration is complete when the Assessment Fee is paid. The Applicant will then be issued with a **Project Identification Number (PIN)** and assigned an **Assessment Organization Representative** for the certification process. Only projects which have completed registration can follow the next steps of Certification.

### Pre-assessment stage

During the design stage the Applicant may prepare the submissions for the Pre-assessment stage, which is optional. The Pre-assessment stage aims to verify that the project is on right track with realistic targets, no mistakes, a safety margin for certification, etc.

At Pre-assessment stage, the content of submissions is simplified to a minimum. The Applicant should fill in the User Tool to define the pathway and targets for the project and to understand clearly what needs to be done for Certification.

Only a few of the credits that will be targeted at Certification stage may be completed for Pre-assessment and no further documentation is required. Once the User Tool is completed, the Applicant simply sends the completed User Tool to Assessment Organization for verification.

The Assessment Organization will reply to the Applicant in 10 working days and provide an Assessment Report including corrections, advices and recommendations. As such, no definitive score and no certification will be given at Pre-assessment stage.

The VGBC strongly encourages projects to follow this stage in order to have a successful Certification.

## Certification stage

This is the actual submittal stage for certification under LOTUS Small Interiors. It should happen at the end of the construction stage and should follow the following process.

### Round 1

Applicants must fully complete the User Tool and make sure to provide all required documentation (as specified in the 'Submissions' sections of each credit) to the Assessment Organization.

The data supplied will be assessed and results of the assessment will be provided to the Applicant Representative within 20 working days of the submission date.

Based on the results of the assessment of the Certification Submission, a LOTUS Small Interiors Certificate will be issued or a Round 2 should be pursued.

### Round 2

In case that submission for any credit submitted for LOTUS Certification is denied, or if the Applicant would like the opportunity to score higher for that credit, a second round of submissions for re-assessment is available to projects.

This round will give the possibility to provide further evidence to demonstrate to the Assessment Organization that pending Credits have finally been achieved. There is no limit to the number of credits that may be re-submitted, and the applicant is encouraged to re-submit all queried credits so long as they can provide new submittal information.

Results of the assessment will be provided to the Applicant Representative within 20 working days of the submission date.

### Appeal Procedure

After the assessment report from Round 2 is received, in the case that the Applicant is still not satisfied with the results, a procedure is available to appeal the outcome of a maximum of 5 credits (additional appeals levy extra costs). Further evidence should be provided to demonstrate how appealed credits have finally been achieved.

The following rules and conditions apply:

- The Appeal should be submitted in writing to the Assessment Organization within 30 calendar days after receiving the assessment report from Round 2.
- Appeal submissions will be reviewed by the Assessment Organization. Results will be issued within 20 working days after the reception of submissions.

## Certification

The LOTUS Small Interiors Certificate will be issued by the VGBC upon successful completion of the assessment.

For projects which couldn't demonstrate compliance with enough credit criteria to reach a total of 24 points, LOTUS Certification cannot be awarded. For other projects, they will be issued with LOTUS Certified, LOTUS Silver, LOTUS Gold or LOTUS Platinum Certificates depending on the number of points achieved as shown on Figure 1.



# LOTUS Small Interiors Submissions

At Certification stage, for each credit pursued, supporting evidence demonstrating that a project meets the requirements of the credit targeted should be submitted. The list of documents to provide is given in the 'Submissions' section of each credit. Definition of the different terms is provided in the Glossary under the 'LOTUS Submission Terms' section.

In LOTUS Small Interiors, documents drawn by hand such as drawings, plans, elevations, etc. will be accepted by the Assessment Organization as long as they are signed and legible.

Once payment for the Assessment Fee has been received, the Assessment Organization Representative provides the Applicant Representative with a complete package of documentation that includes the Project Submission Folder and a Resources folder.

## Project Submission Folder

The Project Submission Folder is the main folder provided that, upon completion, should be returned to the Assessment Organization Representative for assessment. The Project Submission Folder contains 8 category folders for the 8 LOTUS Small Interiors Categories. Within each of these folders, the Applicant should include all the supporting evidence for the credits of the category that are pursued.

## Resources Folder

This folder contains the LOTUS Small Interiors User Tool and the LOTUS Water Calculation Tool which is necessary to perform the calculations of water savings.

## User Tool

The main material to LOTUS Small Interiors Submissions is the LOTUS Small Interiors User Tool. This tool is a template for the applicant to:

- complete all the information necessary to show compliance with a credit
- perform all the calculations required in the credits
- list the documents submitted as evidence

The User Tool has been developed in such a way that the users only need to fill in some relevant information about the project and all the results are computed automatically.

# LOTUS Small Interiors Credit List

Note: Best practice credits are included in Appendix A and are not listed below.

Category	Credit	Points
<b>ENERGY</b> (16 points)	E-1 Space Cooling	6
	E-2 Artificial Lighting	5
	E-3 Energy Efficient Appliances	4
	E-4 Energy Monitor	1
<b>WATER</b> (6 points)	W-1 Water Efficient Fixtures	5
	W-2 Drinking Water	1
<b>MATERIALS &amp; RESOURCES</b> (12 points)	MR-1 Sustainable Materials	4
	MR-2 Sustainable Furniture Products	4
	MR-3 Fit-out Waste	2
	MR-4 Operation Waste Management	2
<b>HEALTH &amp; COMFORT</b> (12 points)	H-1 Fresh Air Supply	1
	H-2 Low-VOC Emissions Products	4
	H-3 Interior Plants	1
	H-4 Green Cleaning	1
	H-5 Daylighting	2
	H-6 External Views	2
	H-7 Thermal comfort	1
<b>SITE &amp; ENVIRONMENT</b> (7 points)	SE-1 Green base building	3
	SE-2 Tenancy lease	1
	SE-3 Green Transportation	2
	SE-4 Refrigerants	1
<b>MANAGEMENT</b> (7 points)	Man-1 Construction Stage	2
	Man-2 Commissioning	1
	Man-3 Maintenance	2
	Man-4 Green Awareness and Behavior	2
<b>EXCEPTIONAL PERFORMANCE</b> (4 points)	EP-1 Enhanced Performance	4
	EP-2 Innovative Solutions	

# Energy

---

As urbanization is speeding all over the world, buildings and construction have been described as a hidden culprit, responsible for more than 35% of global final energy use and nearly 40% of energy-related CO<sub>2</sub> emissions (c.f. Global status report 2017 coordinated by United Nations Environment Programme).

While fast economic growth and urbanization rates are improving living conditions in Vietnam, they are also leading to an increasing energy demand and worsening of climate change. According to the Vietnam Energy Outlook Report 2017 developed by Ministry of Industry and Trade (MOIT) in collaboration with the Danish Energy Agency (DEA), it is expected that electricity demand will grow by 8% annually on average until 2035 and that almost half of the new power generation capacity needed will be coal fired.

However, since buildings, especially in urban areas, consume most of the energy produced annually in Vietnam, there is potential for mitigating climate change and energy insecurity through integrating energy efficiency measures into buildings which can potentially reduce their energy consumption up to 50% and more.

With this target in mind, LOTUS Small Interiors rewards efforts taken to reduce the building energy consumption through the incorporation of natural ventilation, the optimization of the design and the use of energy efficient technologies, as well as the monitoring of energy.

Credit	Title	Points
E-1	Space Cooling	6 points
E-2	Artificial Lighting	5 points
E-3	Energy Efficient Appliances	4 points
E-4	Energy Monitoring	1 point
Total of points available		16 points

## E-1 Space Cooling

### Intent

To reduce the need for cooling by increasing natural air flow and to encourage the installation of energy efficient HVAC systems.

### Requirements

For Strategies A and B, projects can follow the prescriptive requirements below or select the performance path in Appendix B.

Criteria	6 points
<b>Strategy A: Natural Ventilation</b>	
10% of the net occupied area is not served by an air-conditioning system	1
1 point for every additional 20% of net occupied area not served by an air-conditioning system (up to 70%)	4
100% of the net occupied area is not served by an air-conditioning system	6
<b>Strategy B: Efficiency of HVAC equipment</b>	
All air-conditioners have at least 4 stars in the energy labelling program of VNEEP	1
All air-conditioners have 5 stars in the energy labelling program of VNEEP	2
All air-conditioners have CPSF values above 4.5	3
All air-conditioners have CPSF values above 5.5	4
<b>Strategy C: HVAC Zoning</b>	
Provide separate HVAC control zones for each solar exposure and for areas with different usage/occupancy needs	1
<b>Strategy D: HVAC Controls</b>	
1 point for each of the following strategies implemented: <ul style="list-style-type: none"> <li>- Use variable controls on all the air-conditioning systems</li> <li>- Install a demand control ventilation system for spaces with special occupancies</li> </ul>	2
<b>Strategy E: Alternative strategies</b>	
1 point for each of the following strategies implemented: <ul style="list-style-type: none"> <li>- Install energy recovery ventilation systems on all air handling units</li> <li>- Use a radiant cooling system</li> <li>- Install mounted fans in 50% of occupied areas</li> </ul>	2

## Approach & Implementation

For this credit, HVAC systems installed and managed by the base building can be used for compliance as long as they serve the project space.

### Strategy A: Natural Ventilation

Minimize the energy use for cooling by not installing air-conditioning systems. Select an interior space with a good potential for natural ventilation and properly design the space layout.

To earn points following this path, occupied spaces which are not served by any air-conditioning system must either:

- be equipped with normal ceiling fans or wall-mounted fans with a minimum density of 1 per 20 m<sup>2</sup>
- be equipped with HVLS fans (high-speed low-volume fans)
- be designed with an effective cross ventilation in accordance with requirements set in the Performance Path

### Strategy B: Efficiency of HVAC equipment

Prescriptive path can only be followed by projects that are served by non-ducted air-conditioners with cooling capacity under 12 kW only. Other projects must follow the performance path in Appendix B.

Select air-conditioners that are labelled under the energy label developed by VNEEP and the Ministry of Industry and Trade. To make sure to select the most efficient systems, the project should not only consider the number of stars under VNEEP label, but should also consider the energy efficiency value shown on the label (equivalent to the CSPF value of the air-conditioner). The higher the CSPF value, the more efficient the air-conditioner.

### Strategy C: HVAC Zoning

Provide separate HVAC control zones for each solar exposure and for areas with different usage/occupancy needs.

In case the interior project space has only one or no solar exposures, only provide control zones for areas with different usage/occupancy needs.

HVAC zone controls are defined as equipment specially designed to automatically control the amount of air-conditioning and ventilation that is supplied to defined areas within a building, known as control zones, in an energy efficient manner. They allow the environmental conditions in the control zones to be independently controlled to meet the desired conditions (internal air temperature and ventilation).

A HVAC zone control system always contains a central module (control panel) and may include sensors (temperature, humidity, occupancy), schedule control, actuators to drive valves or dampers, etc.

#### Strategy D: HVAC Controls

- Install at least one type of variable control on each HVAC system used in the building to ensure better part-load system efficiency. Consider the following strategies:
  - VRV/VRF systems
  - VSD on chiller plant equipment like chilled-water pumps and cooling tower fans
  - Variable speed compressors for chillers, roof top-units, etc.
  - High-performance VAV (Variable air volume) systems. To qualify as a high-performance, the VAV system controls should be optimized with:
    - Optimal start / stop
    - Fan-pressure optimization
    - Supply-air-temperature reset
    - Ventilation optimization

This strategy cannot be followed by projects that are served only by non-ducted air-conditioners with cooling capacity under 12 kW. Indeed, CSPF values assessed in Strategy B already take into account energy efficiency from variable controls (inverters).

- Install a demand control ventilation system to control the fresh air intake and/or the temperature setpoints of spaces with special occupancy.

These spaces can be conference rooms, break rooms, classrooms, gymnasiums with variable use patterns, cafeterias, hotel guest rooms, and other occupied spaces where energy savings can be achieved when the space is unoccupied.

Also, these spaces can be densely occupied spaces where energy savings can be achieved by adjusting the amount of fresh air supplied to the space when the space is partially occupied.

Occupancy can be measured/estimated in one of several ways: space CO<sub>2</sub> sensing, occupancy counting (turnstile, ticket sales, etc.), occupancy sensing or scheduled occupancy.

#### Strategy E: Alternative strategies

- All air handling units should include energy recovery ventilation systems.
- Use a radiant cooling system to meet the cooling demand or a part of the cooling demand of the project space.

A radiant cooling system cools surfaces (floor or ceiling) rather than air like typical HVAC systems do. With radiant cooling systems, most of the cooling comes from removing sensible heat through radiant exchange with people and objects. This way, occupant thermal comfort can be achieved with warmer interior air temperatures than with air-based cooling systems.

- Mounted fans should be installed in 50% of the occupied areas of the project that are served by an air-conditioning system. For one occupied space to be considered as compliant, a minimum of one mounted fan should be installed for every 20 m<sup>2</sup> or some high-volume low-speed (HVLS) fans should be installed.

## Submissions

Certification Stage
Strategy A: Natural Ventilation
<ul style="list-style-type: none"> <li>• Evidence showing the occupied spaces that are not served by any air-conditioning system such as photographs, plans, etc.</li> <li>• For occupied spaces equipped with fans, evidence showing the fans installed such as photographs, plans, etc.</li> <li>• For occupied spaces with cross ventilation, provide evidence required in Performance Path.</li> </ul>
Strategy B: Efficiency of HVAC equipment
<ul style="list-style-type: none"> <li>• Technical data and/or photographs showing the number of stars under VNEEP labelling and the CSPF value of the air-conditioning units installed</li> <li>• Evidence of the air-conditioning units were installed such as photographs, invoices, etc.</li> </ul>
Strategy C: HVAC Zoning
<ul style="list-style-type: none"> <li>• Schematic drawings of the HVAC system indicating location of thermostats and diffusers</li> </ul>
Strategy D: HVAC Controls
<p>For variable controls:</p> <ul style="list-style-type: none"> <li>• Schedule of all the HVAC equipment installed including equipment ensuring better part-load systems efficiency</li> <li>• Schematic drawings of the HVAC system indicating location of all the equipment</li> <li>• Evidence showing the equipment installed to ensure better part-load systems efficiency such as photographs, commissioning records, etc.</li> </ul>
<p>For demand control ventilation:</p> <ul style="list-style-type: none"> <li>• Schematic drawings of the HVAC system indicating location of all the demand controls</li> <li>• Evidence showing that the controls have been installed and work effectively such as photographs, commissioning records, etc.</li> </ul>

Strategy E: Alternative strategies

For energy recovery ventilation systems:

- Schematic drawings of the HVAC system indicating the energy recovery ventilation systems
- Evidence showing the energy recovery ventilation systems installed such as photographs, commissioning records, etc.

For radiant cooling system:

- Schematic drawings of the HVAC system indicating the radiant cooling system
- Evidence showing the radiant cooling system installed such as photographs, commissioning records, etc.

For mounted fans:

- Evidence showing the fans installed such as photographs, plans, etc.



## E-2 Artificial Lighting

### Intent

To reduce energy consumption associated with the use of interior artificial lighting systems.

### Requirements

Criteria	5 points
Strategy A: Lighting power density	
Lighting power density (LPD) surpasses QCVN 09:2017/BXD requirements by 15%	1
1 point for every additional 15% improvement of the LPD compared to QCVN 09:2017/BXD requirements (up to 60%)	4
Strategy B: Automatic lighting shut-off controls and space controls	
Project meets QCVN 09:2017/BXD requirements on lighting controls	1
Strategy C: Additional lighting controls	
Install a master main switch and task lighting	1

### Approach & Implementation

#### Strategy A: Lighting power density

Lighting power associated with the use of artificial lighting systems can be reduced by:

- Specifying high efficiency lighting fixtures (fluorescent T5, LED...) and ballasts
- Designing the lighting so as to have the proper illuminance levels
- Selecting interior walls and ceilings with high reflective qualities
- Using reflector lamps or build reflectors into luminaires

#### Strategy B: Automatic lighting shut-off controls and space controls

The project should meet all the following requirements on lighting control adapted from QCVN 09:2017/BXD:

- Automatic lighting shutoff controls should be installed to turn lighting off in all separate spaces (spaces enclosed with ceiling-height partitions) when not needed.

The automatic lighting shutoff controls can be either:

- Timer controls functioning on a schedule basis that should turn off lighting at specific programmed times. One timer control cannot be used for areas of more than 2500 m<sup>2</sup> or for more than one floor. Also, timer controls should be programmed to account for weekends and holidays and some localized override controls should be installed where lighting may be needed beyond the scheduled period.

- Motion sensors (perceiving walking movements) or presence sensors (perceiving fine movement in sit down position) that should turn lighting off within 30 minutes of an occupant leaving a space
- Time delay switches, which are activated manually and that should automatically switch off within 30 minutes.
- A signal from another control or alarm system that indicates the area is unoccupied and that lighting should be turned off

This requirement is not applicable to spaces with lighting intended for 24-hour operation, as well as spaces with special requirements for safety and security.

- Every separate space should have at least one lighting control device that should:
  - be activated either manually by an occupant or automatically by sensing an occupant
  - cover a maximum area of 250 m<sup>2</sup> for a space area of 1,000 m<sup>2</sup> or less
  - cover a maximum area of 1,000 m<sup>2</sup> for a space area greater than 1,000 m<sup>2</sup>.

In spaces controlled with a timer where lighting may be needed beyond the scheduled period, lighting control devices should be able to override the scheduled shut-off controls.

### Strategy C: Additional lighting controls

The project should meet the following requirements on master main switch and task lighting:

- A master main switch located adjacent to the main staff entry (not necessarily the main entrance) should be installed. With this main switch, the last occupant can turn off all the lighting systems at once when leaving the project space.
- For every desk or workstation in the project space, task lights allowing the occupant some degree of control, both of light output and position, should be provided.

Control of light output can be provided either by switching or dimming and the position of the luminaire should be limited so as to ensure that it cannot become a source of discomfort to others.

## Calculations

### Strategy A: Lighting power density

QCVN 09:2017/BXD stipulates maximum lighting power densities for different building/space types (Table E.1).



Projects with building types not listed in Table E.1 (such as: museums, gymnasiums, etc.), should either:

- use values listed in Table 9.5.1 of ASHRAE 90.1-2007, or
- follow a space-by-space method using LPD values listed in Appendix C.

**Table E.1:** Maximum LPD Values for different types of buildings / spaces  
(Source: Adapted from QCVN 09:2017/BXD Table 2.5)

Building types / space types	Maximum LPD (W/m <sup>2</sup> )
Building types	
Office	11
Hotel	11
Hospital	13
School	12
Retail	16
Residential	8
Building / Space types *	
Library	14
Convention center	15
Healthcare clinic	11
Storage	9
Enclosed, in-house, parking space	3

\* Depending on the project, the “Building / Space types” may be considered as building types or space types within another building type (e.g. a library building or a library space within a school).

The project must demonstrate that the installed LPD in the project space surpasses QCVN 09:2017/BXD requirements with the following method:

- Calculate the installed LPD of the project space as the ratio of the power required to provide artificial lighting to the gross floor area of lighted spaces. The calculation must include the power used by all lamps, ballasts, current regulators and control devices installed (including task lights).

$$LPD_i \text{ [W/m}^2\text{]} = \frac{P_L}{GFA_L}$$

LPD<sub>i</sub> = Installed Lighting Power Density of the building [W/m<sup>2</sup>]

P<sub>L</sub> = Total power required to provide artificial lighting in the building (including indoor parking spaces and outdoor/open parking spaces with roof) [W]

GFA<sub>L</sub> = total gross floor area of lighted spaces in the building [m<sup>2</sup>]

- Calculate the average baseline LPD for the project space:

$$LPD_B \text{ [W/m}^2\text{]} = \frac{\sum_i (LPD_{M_i} \times GFA_{L_i})}{\sum_i GFA_L}$$

LPD<sub>B</sub> = Average Baseline Lighting Power Density [W/m<sup>2</sup>]

LPD<sub>M<sub>i</sub></sub> = Maximum Lighting Power Density for the building/space type i (c.f. Table E.1) [W/m<sup>2</sup>]

GFA<sub>L<sub>i</sub></sub> = total gross floor area of lighted spaces corresponding to the building/space type i [m<sup>2</sup>]

For building types, the functional use of each area shall be accounted for. For instance, in a building with 4 floors dedicated to office spaces, the entire gross floor area of the 4 floors (including corridors, bathrooms, lobbies, etc. but excluding all the space types listed in Table E.1) should be considered as office. For spaces types, the actual area of the space should be considered.

- Calculate the average reduction in LPD with the following formula:

$$LPD \text{ Reduction } [\%] = \left(1 - \frac{LPD_I}{LPD_B}\right) \times 100$$

Example of calculation:

A retail space includes the following areas and power installed (Table E.2).

**Table E.2:** Example of LPD reduction calculation for a mixed-use project

Building types	GFA of lighted spaces [m <sup>2</sup> ]	Total artificial lighting power installed [W]	Maximum LPD for the building / space type [W/m <sup>2</sup> ]
Retail	600	8,300	16
Office	100	600	11
Total	GFA <sub>L</sub> = 700 m <sup>2</sup>	P <sub>L</sub> = 8,900 W	

Calculation of the installed LPD of the project space:

$$LPD_I \left[ \frac{W}{m^2} \right] = \frac{P_L}{GFA_L} = \frac{8,900}{700} = 12.7 \text{ W/m}^2$$

Calculation of the baseline LPD:

$$LPD_B \left[ \frac{W}{m^2} \right] = \frac{\sum_i (LPD_{B_i} \times GFA_{L_i})}{\sum_i GFA_{L_i}} = \frac{600 * 16 + 100 * 11}{700} = 15.3 \text{ W/m}^2$$

Calculation of the percentage of LPD reduction:

$$Reduction [\%] = \left(1 - \frac{LPD_I}{LPD_B}\right) \times 100 = 17\%$$

This project can be granted 1 points with more than 15% of LPD reduction achieved.

## Submissions

Certification Stage
Strategy A: Lighting Power Density
<ul style="list-style-type: none"> <li>• As-installed interior lighting drawings</li> <li>• Manufacturer's published data of all lighting fixtures and ballasts used</li> <li>• Evidence of the lighting fixtures installed such as photographs, invoices, receipts, etc.</li> </ul>

Strategy B: Automatic lighting shut-off controls and space controls

- Electrical drawings showing the location of all sensors and controls for separate spaces
- Evidence of the lighting sensors and controls installed such as photographs, invoices, receipts, etc.

Strategy C: Additional lighting controls

- Electrical drawings showing the location of task lights and master main switch
- Evidence of the task lights, master main switch installed such as photographs, commissioning records, etc.

## E-3 Energy Efficient Appliances

### Intent

To reduce the energy consumption of equipment and appliances

### Requirements

Criteria	4 points
Strategy A: Appliances with Energy Efficiency labels	
30% of appliances and equipment installed have an energy efficiency label	1
1 point for every additional 20% of appliances and equipment installed that have an energy efficiency label (up to 90%)	4
Strategy B: Plug load controls	
Install plug load controls for 50% of plug points	1

### Approach & Implementation

#### Strategy A: Appliances with Energy Efficiency labels

Install energy efficient equipment and appliances. All the following types of appliances and equipment should be considered in the credit: washing machines, refrigerators and freezers, dishwashers, fans, televisions, computers (desktops and laptops), displays (computer monitors), and rice cookers.

LOTUS will consider as energy efficient appliances, all the appliances that are certified (or can demonstrate equivalent performance with the minimum requirements of the labels):

- Energy Star
- VNEEP energy label with 4 or 5 stars
- European Union Energy Label with class A label or better
- EMSD (Hong Kong) Energy Efficiency Labelling Scheme with Grade 1 or Grade 2 labels
- EMSD's Voluntary Energy Efficiency Labelling Scheme with Recognition type label
- Australian Energy Rating Label Program with 3 stars or higher for Appliances that carry an energy label
- Australian Energy Rating Label Program MEPS for Products registered for MEPS.
- Other labels may be accepted under VGBC approval.

### Strategy B: Plug load controls

Install plug load controls for at least 50% of plug points.

The general concept for plug load control is to provide two separate sets of receptacles:

- The first set of receptacles is called controlled receptacles. Controllable plug loads are connected to controlled receptacles so that they can automatically be switched off when they are not in use. Controllable plug loads may include water dispensers, portable fans, televisions, video projectors, monitors, task lighting, etc. Controlled receptacles should be marked differently from other receptacles.
- The other set of receptacles is called un-controlled receptacles. Non-controllable plug loads are connected to this set of receptacles so that their services will not be disrupted. Non-controllable plug loads include refrigerators, faxes, computers, etc.

As required in ASHRAE 90.1-2010, plug load controls should be automatic control devices that function on:

- a scheduled basis using a time-of-day operated control device that turns receptacles off at specific programmed times (an independent program schedule shall be provided for areas of no more than 2,320 m<sup>2</sup> but not more than one floor), or
- an occupant sensor that shall turn receptacles off within 30 minutes of all occupants leaving a space, or
- a signal from another control or alarm system that indicates the area is unoccupied

Similar to general lighting shut off controls, building occupants should have easy access to manual switches to override the shut off controls.

For hotel and motel rooms, key card systems that switch off electricity automatically can also be used as plug load controls.

## Calculations

### Strategy A: Appliances with Energy Efficiency labels

Projects must calculate the percentage of energy efficient appliances and equipment with the following method:

- Identify and calculate the total rated-power of all the appliances and equipment that are considered as energy efficient under LOTUS
- Identify and calculate the total rated-power of all the appliances and equipment eligible
- Calculate the percentage of energy efficient appliances and equipment installed using the following formula:

$$\text{Percentage of Energy efficient appliances [\%]} = \frac{P_{EE}}{P_T}$$

$P_{EE}$  = Total rated-power of all the energy efficient appliances and equipment [W]

$P_T$  = Total rated-power of all the appliances and equipment eligible under the scope of Energy Star [W]

### Strategy B: Plug load controls

- Calculate the total number of plug points in the project space ( $N_T$ )
- Calculate the number of plug points where plug load controls are used ( $N_{PLC}$ )
- Calculate the number of plug points designated for equipment requiring 24-hour operation or situated in spaces where an automatic shutoff would endanger the safety or security of the room or building occupants ( $N_E$ )
- Compliance should be demonstrated with the following formula:

$$\frac{N_{PLC}}{N_T - N_E} \geq 50 \%$$

## Submissions

Certification Stage
Strategy A: Appliances with Energy Efficiency labels
<ul style="list-style-type: none"> <li>• Evidence showing that the appliances are certified under a recognized energy efficiency label such as photographs, technical data, etc.</li> <li>• Evidence showing that the aforementioned appliances were installed such as photographs, invoices, etc.</li> </ul>
Strategy B: Plug load controls
<ul style="list-style-type: none"> <li>• Manufacturer's data of the plug load controls</li> <li>• Evidence showing the location of all the plug points such as photographs, electrical drawings, commission records, etc.</li> </ul>



## E-4 Energy Monitor

### Intent

To have access to energy use information and encourage energy conservation.

### Requirements

Criteria	1 point
Install an energy monitor to record electricity consumption	1

### Approach & Implementation

An energy monitor is an electronic device that provides feedback on electricity consumption. Most monitors allow to view real-time electricity usage in units of energy used (kWh), cost or carbon emissions. As shown in various studies, real-time data helps owners and tenants to change their behavior and leads to a reduction of the building energy use. Most advanced energy monitors may also provide information on the usage of specific rooms and appliances.

A permanent energy monitor should be installed and should:

- Have an in-house visual display located conveniently for owners - OR - have the ability to communicate the information to a personal computer
- Provide real-time feedback on energy consumption
- Provide a function to analyze data at regular intervals (daily, weekly, monthly or yearly)

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Evidence showing that an energy monitor is installed such as photographs, invoice, etc.</li><li>• Evidence showing that the energy monitor can analyze data at regular intervals and can provide the data to a visual display or to a PC such as photographs, technical data, etc.</li></ul>

# Water

---

Water scarcity - including poor availability and quality - is a growing risk threatening both food and energy security of many countries in Southeast Asia. Several river basins in the country are expected to face acute stress or shortage by 2025, and groundwater sources are rapidly declining.

Even though Vietnam used to be considered as a country with high water availability with intensive river systems, it is now recognized that Vietnam has poor clean water resource, with only enough clean water to provide 4000 m<sup>3</sup>/year/person, compared to the global average of 7000 m<sup>3</sup>/year/person. Moreover, seasonal shortages have already worsened, especially around major metropolitan areas such as the Red river delta or big rice-producing areas like the Mekong delta due to high demand, water pollution and climate change impacts. Since these two river deltas are the country's premier rice-growing regions, water shortage threatens the nation's food security.

As clean water becomes less readily available within Vietnam, the cost of this service is bound to increase in near future. Therefore, a water-efficient building not only ensures consistency in operation and production but also saves building owners money in operational costs. Furthermore, such building improvements will also help reduce the load on many of the antiquated sewage systems in urban areas of Vietnam.

Understanding the circumstance, LOTUS prioritizes the reduction of water consumption and emphasizes this in the requirements of the Water Category. Credits within this category encourage the use of water-efficient equipment.

Credit	Title	Points
W-1	Water Efficient Fixtures	5 points
W-2	Drinking Water	1 point
Total of points available		6 points

## W-1 Water Efficient Fixtures

### Intent

To reduce the consumption of water in buildings by means of water efficient fixtures

### Requirements

#### Option A: Projects with provision of bathroom water fixtures

To follow Option A, a project needs to install within the tenant spaces at least the following fixtures: water closets and bathroom taps.

For Strategy A1, projects can follow the prescriptive requirements below or select the performance path in Appendix B.

Criteria	5 Points
Strategy A1: Space Water Use	
Install the following fixtures: <ul style="list-style-type: none"> <li>- 2 points for installing dual low-flush WCs and low-flush urinals</li> <li>- 2 points for installing low-flow kitchen and bathroom taps</li> <li>- 1 point for installing low-flow shower heads</li> </ul>	5
Strategy A2: Upgrade of base building water fixtures	
Replace or alter the base building water fixtures associated with the tenancy	1
Strategy A3: Process Water Use (only available for projects using process water appliances)	
Install water efficient appliances to reduce process water use	1

#### Option B: Projects with no provision of bathroom water fixtures

Criteria	5 Points
Strategy B1: Building Water Use	
Domestic water consumption through base building water fixtures associated with the tenancy is reduced by 20% in comparison to a baseline model	1
1 point for every additional 10% reduction of the domestic water consumption through base building water fixtures (Up to 40%)	3
Strategy B2: Upgrade of base building water fixtures	
Replace or alter the base building water fixtures associated with the tenancy	1
Strategy B3: Process Water Use (only available for projects using process water appliances)	
Install water efficient appliances to reduce process water use	1

## Approach & Implementation

### Strategy A1: Space Water Use

Projects with provision of bathroom water fixtures should install:

- Dual flush WCs with flush rates lower than (or equal to) 3 / 4.5 liters per flush
- Urinals with flush rates lower than (or equal to) 3 liters per flush
- Shower heads with flowrates lower than (or equal to) 0.14 liters per second
- Bathroom and kitchen taps with flowrates lower than (or equal to) 0.12 liters per second

### Strategy B1: Building Water Use

Water fixtures of the base building and associated with the tenancy should be water efficient or should be replaced/alterd to reduce their water usage (c.f. Strategy A2 & B2)

### Strategy A2 & B2: Upgrade of base building water fixtures

Project can earn one point for replacing or altering the water fixtures of the base building associated with the tenancy (i.e. project occupants need to use these fixtures) to reduce water consumption through fixtures by an additional 10% in comparison to a baseline model.

The following strategies can be used:

- Replace existing fixtures with water efficient fixtures
- Install flow aerators or flow restrictors to taps

### Strategy A3 & B3: Process Water Use

Projects using process water appliances can earn one point by selecting water efficient appliances that meet the requirements in Table W.1.

**Table W.1:** Requirements for water efficient appliances  
(Source: LEED ID+C v4)

Type of appliance	Requirement
Residential equipment	
Residential dishwashers	ENERGY STAR or performance equivalent
Residential Clothes washer	120 l per load
Commercial equipment	
Commercial Clothes washer	1000 l per cubic meter of laundry
Commercial Prerinse Spray Valves	≤ 4.9 l per minute
Ice machine	ENERGY STAR or performance equivalent and use either air-cooled or closed-loop cooling, such as chilled or condenser water system

Kitchen equipment		
Dishwasher	Undercounter	≤ 6.0 liters/rack
	Stationary, single tank, door	≤ 5.3 liters/rack
	Single tank, conveyor	≤ 3.8 liters/rack
	Multiple tank, conveyor	≤ 3.4 liters/rack
	Flight machine	≤ 680 liters/hour
Food steamer	batch	≤ 23 liters/hour/pan
	Cook-to-order	≤ 38 liters/hour/pan
Combination oven	Countertop or stand	≤ 13 liters/hour/pan
	Roll-in	≤ 13 liters/hour/pan

## Calculation

### Strategy B1: Building Water Use

Calculations should follow the method shown in the Prescriptive Path of Strategy A1 that is available in Appendix B.

## Submissions

Certification Stage
Strategy A1: Space Water Use and Strategy A2 & B2: Upgrade of base building water fixtures
<ul style="list-style-type: none"> <li>Manufacturer's data of all the water efficient fixtures installed showing the water usage of the fixtures (flowrates or flush volumes) - OR -</li> <li>Test measurements results showing the water usage of the fixtures (flowrates or flush volumes) of all the water fixtures installed</li> <li>Evidence that the water efficient fixtures have been installed such as photographs, receipts, delivery order, etc.</li> </ul>
Strategy A3 & B3: Process Water Use
<ul style="list-style-type: none"> <li>Manufacturer's data for each water appliance installed showing the water usage of the appliance</li> <li>Evidence that the water efficient appliances have been installed such as photographs, receipts, delivery order, etc.</li> </ul>
Strategy B1: Building Water Use
<ul style="list-style-type: none"> <li>For each water fixture installed, evidence showing the water usage of the fixture (flowrate or flush size) such as manufacturer's data, inventory report from a facility audit or test measurements results.</li> <li>Evidence that the water fixtures are installed such as photographs, receipts, inventory report from a facility audit, etc.</li> </ul>

## W-2 Drinking Water

### Intent

To reduce the use of plastic water bottles and the environmental impact associated to their production and transportation.

### Requirements

Criteria	Points
Provide drinking water filtration system to avoid the use of plastic bottled water	1

### Approach & Implementation

Municipal tap water can contain many kind of contaminants such as dissolved metals (including lead and iron), nitrates, chlorine and mineral salts. It can also contain other undesirable substances such as sulfates, mercury, asbestos and arsenic.

Install a proper drinking water filtration system to get clean drinking water. As a minimum the filtration system should contain filters that can remove:

- dust, particles, and rust
- heavy metals
- chlorine
- bacteria

A drinking water filtration system including filters such as sediment filters, reverse-osmosis filters and activated carbon filters is advised.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Product technical data showing the types of filters contained in the water filtration system</li><li>• Evidence showing that the water filtration system was installed such as photographs, invoices, receipts, etc.</li></ul>

# Materials & Resources

---

With one of the fastest urbanization rates in the world and a population living in urban areas that reached 34.24% in 2016 (World Bank), construction sites are rising in all corners of Vietnam and the demand of construction materials is continuously increasing

During the lifecycle of any construction material, its extraction, processing, transportation, use and disposal can have negative effects on the environment. Especially, the acquisition of virgin material destroys natural habitats, pollutes air and water, and depletes energy and natural resources. Therefore, to mitigate the negative impacts of construction on the natural environment, usage of materials produced from virgin sources must be limited.

Also, Vietnam's urban areas generate over 11 million tonnes of solid waste per year, of which 84% is collected and treated (report from the Centre for Environmental Monitoring Portal under the Vietnam Environment Administration, 2014). This means almost 2 million tonnes of untreated solid waste is released into the environment each year.

The Materials & Resources Category of LOTUS includes three main goals which are to reduce the amount of virgin natural resources used, to promote the use of low-energy embodied materials, and to reduce the amount of waste generated. To achieve the goals, credits within this category encourage the reuse and recycling of building materials, and the use of recycled materials, materials from sustainable sources and unbaked materials.

Credit	Title	Points
MR-1	Sustainable Materials	4 points
MR-2	Sustainable Furniture Products	4 points
MR-3	Fit-out Waste	2 points
MR-4	Operation Waste Management	2 points
Total of points available		12 points

## MR-1 Sustainable Materials

### Intent

To encourage the use of sustainable materials.

### Requirements

Criteria	4 Points
10% of the total value of materials installed on the project are sustainable materials	1
1 point for every additional 10% of the total value of materials installed on the project that are sustainable materials (up to 40%)	4

### Approach & Implementation

This credit takes into account all the following materials:

- Walls and partitions (non-load bearing space dividers)
- Ceilings and floorings
- Toilet partitions and shower partitions including shower screens
- Internal stairs
- Skirtings and wall coverings

Select sustainable materials among the following list:

- Reused materials. These include materials:
  - purchased from a second-hand retailer
  - that were used on the current site by a previous occupant or installed by a building owner as part of make good processes prior to fit-out works by the tenant.
  - relocated to the site from the project's previous fit-out or building.
- Materials with reused components which are materials composed with some reused components (following the above definition).
- Materials with recycled content which are materials with a proportion of recycled materials. ISO 14021 defines recycled content as "the proportion, by mass, of recycled materials in a product or packing". Only pre-consumer and post-consumer materials shall be considered as recycled content where:
  - A pre-consumer material is a material diverted from waste stream during a manufacturing process. Excluded is reutilization of materials such as rework, regrind or scrap generated in a process and capable of being reclaimed within the same process that generated it.



- A post-consumer material is a material generated by households or by commercial, industrial and institutional facilities in their role as end-users of the product, which can no longer be used for its intended purpose. This includes returns of material from the distribution chain.
- Rapidly renewable materials, which are natural building materials planted and harvested within a 10-year cycle. The following is a partial list of rapidly renewable materials which can be used: Bamboo, Cork, Coconut, Reed, Straw board, etc.
- Sustainable timber, which is timber coming from a sustainable source, preferably accredited by the Forest Stewardship Council (FSC), Vietnam Forest Certification Scheme (VFCS), Malaysia Timber Certification Council (MTCC) or other.
- Materials third-party certified under labeling schemes using multi-attribute sustainability criteria based on lifecycle analysis such as Global GreenTag LCARate, Cradle to Cradle, NSF Sustainability Assessment, Singapore Green Building Product, etc.
- Non-baked materials (including concrete bricks, gypsum panels, AAC blocks, etc.) which are building materials that didn't undergo the firing process as traditional baked bricks do.

Sustainability value of the above-listed sustainable materials can be increased with the following additional sustainable features:

- Materials from an ISO 14001 certified manufacturer which are materials sourced from manufacturing facilities that are certified to ISO 14001.
- Materials with third-party verified environmental claims, which are materials that have been third-party verified through claim verification or through certification under ecolabels such as Global GreenTag, Cradle to Cradle, Singapore Green Label, etc.
- Materials with a self-declared environmental product declaration (EPD), which are materials for which an EPD has been produced by the manufacturer.
- Materials with a third-party verified EPD.
- Materials designed for disassembly which are materials easy to dismantle, to disassemble and to remove from the building for future reuse or recycling.
- Local Materials which represents the materials:
  - that have been manufactured locally in Vietnam (within a 500 km radius of the project site or 500 km total transportation distance)
  - that have been extracted, harvested and manufactured locally in Vietnam (within a 500 km radius of the project site or 500 km total transportation distance)
  - that have been salvaged locally in Vietnam (within a 500 km radius of the project site or 500 km total transportation distance)



Materials which have not been defined as sustainable materials cannot contribute towards the achievement of this credit even if they have some sustainable features.

## Calculations

- Calculate the recycled content of materials using the following formula:

$$\text{Recycled Content [\%]} = \% \text{ Post (i)} + 0.5 \times \% \text{ Pre(i)}$$

% Post (i) = percentage of post-consumer recycled content by weight of material (i)

% Pre (i) = percentage of pre-consumer recycled content by weight of material (i)

- Calculate the total transportation distance for local materials:

Total transportation distance should include all the travel distances and should be calculated with the following formula:

$$(\text{Distance by rail}/3) + (\text{Distance by inland waterway}/2) + (\text{Distance by sea}/15) + (\text{Distance by all other means}) \leq 500 \text{ km}$$

- Calculate the percentage of sustainable materials with the following formulae:

$$\text{Sustainable materials [\%]} = \sum_i \frac{C_i \times S_i}{C_{\text{tot}}}$$

$C_i$  = cost of material (i) [VND]

$C_{\text{tot}}$  = Total cost of materials in the project [VND]

$S_i$  = sustainability coefficient of material (i) [-]

Where  $S_i$  is calculated with the following formula:

$$S_i = V_i \times (0.5 + F_i)$$

$V_i$  = sustainability value of material (i) (values from Table MR.1) [-]

$F_i$  = sum of the sustainability factors for the additional sustainable features of material (i) (values from Table MR.2).  $F_i$  cannot be superior to 0.5. [-]

Table MR.1: Sustainability value of the different types of sustainable materials

Sustainable materials Criteria	Materials sustainability value
Reused materials	100%
Materials with reused components	% of reused components (by mass)
Materials with recycled content	% of recycled content (calculated as above)
Rapidly renewable material	% of rapidly renewable materials (by mass)
Sustainable timber	0.5 x % of timber from sustainable sources (by mass)
Materials third-party certified under labeling schemes using multi-attribute sustainability criteria	<ul style="list-style-type: none"> <li>Platinum &amp; Gold (or equivalent): 100%</li> <li>Silver (or equivalent): 80%</li> <li>Bronze (or equivalent): 60%</li> <li>Basic (or equivalent): 40%</li> </ul>
Non-baked materials (for non-structural walls only)	20 %

Table MR.2: Sustainability factors for additional sustainable features

Sustainable features Criteria	Sustainability factors
Manufacturer with ISO 14001 certification	0.1
Environmental claims third-party verified	0.3
Materials with self-declared EPD	0.1
Materials with third-party verified EPD	0.3
Materials designed for disassembly	0.2
Materials manufactured locally	0.2
Materials extracted, harvested and manufactured locally	0.3
Materials salvaged locally	0.5

### Example of Calculation:

Table MR.3: Example of Calculation of the percentage of sustainable materials

Building materials	Sustainable material?	Sustainable features?	Sustainability coefficient	Cost of Materials (1000 VND)	Sustainable material value (1000 VND)
Timber flooring	Rapidly renewable (bamboo)	third-party verified EPD + ISO 14001	$100\% \times (0.5 + 0.3 + 0.1) = 0.9$	50,000	45,000
Gypsum board	10% pre-consumer recycled content	self-declared EPD	$0.5 \times 10\% \times (0.5 + 0.1) = 0.03$	60,000	1,800
Bricks	Reused	salvaged locally	$100\% \times (0.5 + 0.5) = 1$	40,000	40,000
Others	No	/	0	250,000	0
Total				400,000	86,800
Percentage of sustainable materials				21.7%	

With 21.7% of sustainable materials, this project would be awarded 1 point.

## Submissions

### Certification Stage

- Evidence showing that the materials are sustainable such as photographs, manufacturer's data, certificates, test reports, letter from manufacturer, etc.
- Evidence showing that the materials were installed such as photographs, invoices, receipts, etc.

## MR-2 Sustainable Furniture Products

### Intent

To encourage the use of sustainable furniture products to reduce demand for virgin materials and reduce waste.

### Requirements

Criteria	4 Points
10% of the total value of furniture installed on the project are sustainable products	1
1 point for every additional 10% of the total value of furniture installed on the project that are sustainable products (up to 40%)	4

### Approach & Implementation

The following types of furniture products are included in the scope of this credit:

- Seatings (chairs, stools, benches, etc.)
- Surfaces (tables, desks, etc.)
- Storage units (cabinets, lockers, dressers, closets, chess, bookcases, pantries, etc.)
- Work settings (workbench or workstation). Storage, shelving and screening elements which form part of the work setting, cannot be dissociated from the work setting.

Select sustainable furniture products among the following list:

- Reused products. These include products:
  - purchased from a second-hand retailer
  - that were used on the current site by a previous occupant or installed by a building owner as part of make good processes prior to fit-out works by the tenant.
  - relocated to the site from the project's previous fit-out or building.
- Products with reused components which are products composed with some reused components (following the above definition).
- Products with recycled content which are materials with a post-consumer or pre-consumer recycled content (as defined in credit M-1).
- Products made from rapidly renewable materials, which are natural building materials planted and harvested within a 10-year cycle. The following is a partial list of rapidly renewable materials which can be used: Bamboo, Cork, Coconut, Reed, Straw board, etc.

- Products made with timber coming from sustainable sources, preferably accredited by the Forest Stewardship Council in Vietnam (FSC), Programme for the Endorsement of Forest Certification (PEFC), Malaysia Timber Certification Council (MTCC) or other.
- Products third-party certified under labeling schemes using multi-attribute sustainability criteria based on lifecycle analysis such as Global GreenTag LCARate, Cradle to Cradle, NSF Sustainability Assessment, Singapore Green Building Product, etc.

Sustainability value of the above-listed sustainable furniture products can be increased with the following additional sustainable features:

- Products from an ISO 14001 certified manufacturer which are products that have been manufactured in an ISO 14001 certified facility. In order to comply, 80% of the mass of the product must be sourced from manufacturing facilities that are certified to ISO 14001.
- Products with third-party verified environmental claims, which are materials that have been third-party verified through claim verification or through certification under ecolabels such as Global GreenTag, Cradle to Cradle, Singapore Green Label, etc.
- Products with a self-declared environmental product declaration (EPD), which are products for which an EPD has been produced by the manufacturer.
- Products with a third-party verified EPD.
- Local products which represents the products:
  - that have been manufactured locally in Vietnam (within a 500 km radius of the project site or 500 km total transportation distance)
  - that have been extracted, harvested and manufactured locally in Vietnam (within a 500 km radius of the project site or 500 km total transportation distance)
  - that have been salvaged locally in Vietnam (within a 500 km radius of the project site or 500 km total transportation distance)

## Calculations

- Calculate the recycled content of products using the following formula:

$$\text{Recycled Content [\%]} = \% \text{ Post (i)} + 0.5 \times \% \text{ Pre(i)}$$

% Post (i) = percentage of post-consumer recycled content by weight of product (i)

% Pre (i) = percentage of pre-consumer recycled content by weight of product (i)

- Calculate the total transportation distance for local materials:

Total transportation distance should include all the travel distances and should be calculated with the following formula:

$$(\text{Distance by rail}/3) + (\text{Distance by inland waterway}/2) + (\text{Distance by sea}/15) + (\text{Distance by all other means}) \leq 500 \text{ km}$$

- Calculate the percentage of sustainable furniture products with the following formulae:

$$\text{Sustainable furniture products [\%]} = \sum_i \frac{C_i \times S_i}{C_{\text{tot}}}$$

$C_i$  = Cost of product (i) [VND]

$C_{\text{tot}}$  = Total cost of furniture products in the project [VND]

$S_i$  = Sustainability coefficient of product (i) [-].

Where  $S_i$  is calculated with the following formula:

$$S_i = V_i \times (0.5 + F_i)$$

$V_i$  = Sustainability value of product (i) (values from Table MR.4) [-]

$F_i$  = Sum of the sustainability factors for the additional sustainable features of product (i) (values from Table MR.5).  $F_i$  cannot be superior to 0.5. [-]

Table MR.4: Sustainability value of the different types of sustainable furniture products

Sustainable products Criteria	Materials sustainability value
Reused products	100%
Products with reused components	% of reused components (by mass)
Products with recycled content	% of recycled content (calculated in accordance with the method above)
Products made with rapidly renewable materials	% of rapidly renewable materials (by mass)
Products made of timber coming from sustainable sources	0.5 x % of timber from sustainable sources (by mass)
Products third-party certified under labeling schemes using multi-attribute sustainability criteria	<ul style="list-style-type: none"> <li>• Platinum &amp; Gold (or equivalent): 100%</li> <li>• Silver (or equivalent): 80%</li> <li>• Bronze (or equivalent): 60%</li> <li>• Basic (or equivalent): 40%</li> </ul>

Table MR.5: Sustainability factors for additional sustainable features

Sustainable features Criteria	Sustainability factors
Manufacturer with ISO 14001 certification	0.1
Environmental claims third-party verified	0.3
Products with self-declared EPD	0.1
Products with third-party verified EPD	0.3
Products manufactured locally	0.2
Products extracted, harvested and manufactured locally	0.3
Products salvaged locally	0.5

### Example of Calculation:

Table MR.6: Example of Calculation of the percentage of sustainable furniture products

Furniture products	Sustainable product?	Sustainable features?	Sustainability coefficient	Cost of Materials (1000 VND)	Sustainable material value (1000 VND)
Desks	100% made of bamboo (rapidly renewable)	manufactured locally	$100\% \times (0.5 + 0.2) = 0.7$	50,000	35,000
Cabinets	Reused	salvaged locally	$100\% \times (0.5 + 0.5) = 1$	30,000	30,000
Others	No	/	0	150,000	0
Total				230,000	65,000
Percentage of sustainable furniture products				28.3%	

With 28.3% of sustainable furniture products, this project would be awarded 2 points.

### Submissions

#### Certification Stage

- Evidence showing that the furniture items are sustainable such as photographs, manufacturer's data, certificates, test reports, letter from manufacturer, etc.
- Evidence showing that the furniture items were installed such as photographs, invoices, receipts, etc.

## MR-3 Fit-out Waste

### Intent

To encourage the reuse, salvage and recycling of waste generated during fit-out activities and to minimize disposal in landfill.

### Requirements

Criteria	2 Points
Strategy A: Waste Diversion	
Reuse, salvage and/or recycle 30% of fit-out waste	1
Reuse, salvage and/or recycle 60% of fit-out waste	2
Strategy B: Reduction of Waste Generation	
Implement 2 strategies to reduce the waste generation during fit-out	1

### Approach & Implementation

#### Strategy A: Waste Diversion

Provide a waste storage area on the construction site for collection and separation of reusable and recyclable fit-out waste.

Recycle or reuse typical fit-out waste such as:

- Brick
- Concrete
- Metals
- Plastic
- Glass
- Timber
- Corrugated cardboard
- Drywall

#### Strategy B: Reduction of Waste Generation

Consider and implement 2 of the strategies below to reduce the waste generation during construction:

- Design solutions for resource efficiency (design to use fewer materials, optimization of the design such as matching building and product dimensions, etc.)



- Material Procurement (order only the amount of materials needed, use standard sizes and plan ahead to reduce offcuts)
- Construction Logistics (make sure materials are delivered, handled and stored properly to avoid damage)
- Offsite prefabrication (use pre-fabricated systems)
- Packaging reduction (buy materials with less packaging, reduce the use of adhesives in favor of interlocking tabs, have items such as sand and aggregate delivered in bulk and not in multiple bags, ask suppliers to take back packaging, etc.)

## Calculation

### Strategy A: Waste Diversion

Calculation is based on volume or weight. Units selected must be applied consistently across the entire credit.

The percentage of waste diverted from landfill (e.g. reused, salvaged and recycled waste) must be calculated by the following method:

- Quantify amount of all waste generated by fit-out activities
- Quantify waste diverted from landfill disposal using the following formula:

$$\text{Fitout waste diverted from landfill [\%]} = \frac{W_D}{W_G} \times 100$$

$W_D$  = Total waste diverted from landfill [kgs or m<sup>3</sup>]

$W_G$  = Total waste generated by fit-out activities [kgs or m<sup>3</sup>]

Table MR.7: Example calculation of fit-out waste diverted from landfill

Materials	Quantity (kgs)	Disposal Option	Where/Construction haulers & recyclers
Concrete	50	Recycle	Recycling Facility
Glass	2	Recycle	Recycling Facility
Plastics	5	Recycle	Recycling Facility
Cardboard	1	Recycle	Recycling Facility
Carpet, ceiling and floor tiles	3	Reuse	Reuse or recycle with manufacturer
All other wastes	21	Landfill	Landfill
<b>Total fit-out waste</b>	<b>82</b>		
<b>Total fit-out waste diverted from landfill</b>	<b>61</b>		

$$\text{Fitout Waste diverted from landfill [\%]} = \left(\frac{61}{82}\right) \times 100 = 74\%$$

This interior project space can be granted 2 points with more than 60% of fit-out waste diverted from landfill.

## Submissions

Certification Stage
Strategy A: Waste Diversion
<ul style="list-style-type: none"><li>• Evidence showing the waste storage area during fit-out such as photographs, etc.</li><li>• Evidence showing the amount of waste generated and the amount of waste diverted from landfill such as removal contracts and/or sales/trade documents covering all waste removal compiled</li></ul>
Strategy B: Reduction of Waste Generation
<ul style="list-style-type: none"><li>• Evidence that the strategies to reduce the waste generation were followed such as photographs, drawings, receipts, etc.</li></ul>

## MR-4 Operation Waste Management

### Intent

To encourage and facilitate the recycling of wastes generated during occupancy.

### Requirements

Criteria	2 Points
Strategy A: Environmentally friendly waste management system	
Implement an environmentally friendly waste management system	1
Strategy B: Dedicated recycling storage area	
Provide a dedicated recycling storage area for use by all project occupants	1

### Approach & Implementation

#### Strategy A: Environmentally friendly waste management system

An environmentally friendly waste management system should be developed and include the following aspects:

- Is pro-active in the management of potentially hazardous waste (such as batteries, light fittings, etc.)
- Is pro-active in increasing reuse and recycling of waste
- Is pro-active in reducing the disposal of waste in landfills or incineration facilities
- Is pro-active in reducing incoming waste streams (packaging, etc.)
- Is pro-active in educating, advising and facilitating building users to adopt environmentally friendly waste management practices

The environmentally friendly waste management system should be an ongoing commitment for which adequate resources are allocated.

#### Strategy B: Dedicated recycling storage area

Incorporate a sufficiently sized dedicated recycling storage area with storage spaces for the collection, separation and storage of recyclable wastes.

The dedicated recycling storage area may be provided in the interior project design or provided in the base building but it should meet all the following requirements:

- it should be located in the basement or at the ground level for convenient access by occupants and collection vehicles.
- it should be indicated with signs
- it should allocate separated and clearly marked storage spaces (bins, rubbish carts, etc.) for at least the following recyclable materials:
  - Paper (including newspaper)
  - Corrugated cardboard
  - Plastics
  - Metals
  - Glass

Other recyclable materials to be considered include fluorescent tubes, organic wastes for composting and batteries.
- it should have storage spaces sufficiently sized based on waste generation and frequency of recycling pick-up.

## Submissions

Certification Stage
Strategy A: Environmentally friendly waste management system
<ul style="list-style-type: none"> <li>• Copy of the environmentally friendly solid waste management system manual signed by the tenant</li> </ul>
Strategy B: Dedicated recycling storage area
<ul style="list-style-type: none"> <li>• Photographs showing the dedicated recycling storage area, the storage spaces and the signs indicating the storage area and the storage spaces.</li> </ul>

# Health & Comfort

---

The World Health Organization reported in its Air Quality Guidelines (2nd Edition) that most of an individual's exposure to air pollutants comes from inhalation of indoor air. Besides air quality, the amount of noise and light pollution can also affect occupants as well as the surrounding communities. As the population of Vietnam is increasingly urbanized, it is estimated by the Ministry of Construction that urbanization rate will reach 50% for about 52 million people in 2025. This urban migration results in an increasing number of people spending an increasing amount of their time within the built environment. As a result, building occupants quality of life depends greatly on the indoor environment quality (IEQ).

Ensuring workers' productivity is done most effectively by maintaining and increasing the building's IEQ which results in reduced cases of asthma, allergies, respiratory disease and other occupant ailments described as "sick building syndrome". Reduced absenteeism and increased productivity can translate into reduced costs and increased savings for building owners and operators.

All credits within the Health & Comfort Category of LOTUS Small Interiors targets the overall improvement of the indoor environment in buildings. The improvements aim exactly at four different aspects of the indoor environment. First and most important aspect is the quality of indoor air. The building has to ensure fresh, clean air free of toxic chemicals for occupants. Moreover, a healthy indoor environment in a building has to be comfortable visually, acoustically and thermally for most of the occupants of the building.

Credit	Title	Points
H-1	Fresh Air Supply	1 point
H-2	Low-VOC Emissions Products	4 points
H-3	Interior Plants	1 point
H-4	Green Cleaning	1 point
H-5	Daylighting	2 points
H-6	External Views	2 points
H-7	Thermal comfort	1 point
Total of points available		12 points

# H-1 Fresh Air Supply

## Intent

To ensure the provision of enough fresh air to maintain good indoor air quality during occupancy.

## Requirements

Criteria	1 Point
Provide sufficient fresh air supply to a minimum of 95% of the total net occupied area of the interior project space	1

## Approach & Implementation

This credit applies to all occupied spaces in the project space in order to provide good air quality for all occupants. A minimum of 95% of the total net occupied area should meet with the following requirements depending on the ventilation type.

### Mechanically ventilated spaces:

HVAC systems and distribution ductwork must meet or surpass the requirements of one of the following international standards:

- TCVN 5687:2010 - Ventilation- Air Conditioning, Design Standards
- CIBSE Guide A - Environmental Design
- CIBSE Guide B - Heating, Ventilating, Air Conditioning and Refrigerant
- ASHRAE Standard 62.1 – 2007 or 2010 Ventilation for Acceptable Indoor Air Quality
- Australian Standard AS1668.2

These standards determine the minimum fresh air volumetric flow rate to be supplied to occupied spaces as a function of the space type and occupancy.

In mechanical ventilation systems, air supply and exhaust in the different spaces should be designed carefully to avoid any short circuiting of the supplied air and ensure well mixed air within the space, with a particular focus on fresh air reaching the breathing zone.

### Naturally ventilated spaces:

Naturally ventilated spaces (or mechanically assisted naturally ventilated spaces) must meet the following requirements (taken from section 5.1.1 of ASHRAE 62.1-2007):

- All spaces shall be within 8 meters of (and permanently open to) an operable wall or roof opening
- The total openable area of wall and roof openings (that should be calculated in accordance with the definition of the openable area of openings in the Glossary of Technical Terms) shall be at least 4% of the floor area of the space

**Exceptions:**

- A space without direct openings to the outdoors can be naturally ventilated through adjoining rooms if the unobstructed openings between the rooms are at least 8% of the floor area (with a minimum of 2.3 m<sup>2</sup>)
- In the case that a project follows a prescriptive design procedure from a different code or standard, or if a project implements an engineered natural ventilation system, the project must provide all necessary information to demonstrate that the provision of fresh air will ensure a good air quality for all occupants. This shall be subject to VGBC approval.
- A space served by a cooling equipment cannot be considered as naturally ventilated space. Such a space should adopt a mixed-mode ventilation system to be compliant.

**Mixed-mode ventilated occupied spaces:**

Mixed-mode ventilated spaces (combination of natural ventilation from operable window, and mechanical systems that include air distribution equipment and HVAC) must meet both the above requirements for HVAC systems and for natural ventilation.

**Calculations**

**Mechanically ventilated and mixed-mode ventilated occupied spaces:**

For each of these occupied spaces, calculate minimum ventilation rates (fresh air supply) in accordance to one of the standards from the above list and demonstrate that designed ventilation rates meet the requirements of the selected standard.

**Naturally ventilated and mixed-mode ventilated occupied spaces:**

For each of these occupied spaces, perform calculations to show compliance with the above requirements adapted from section 5.1.1 of ASHRAE 62.1-2007.

**Submissions**

## Certification Stage

For naturally ventilated spaces and mixed-mode ventilated spaces:

- Plans and elevations marking all operable wall and roof openings with their size
- Photographs showing all operable wall and roof openings

For mechanically ventilated spaces and mixed-mode ventilated spaces:

- As-built schematic mechanical drawings showing fresh air supply rates of AHUs and fans
- Calculations demonstrating that the requirements of the recognized standard selected are met
- Evidence of the HVAC equipment installed, such as photographs, invoices, receipts, commissioning report, etc.



## H-2 Low-VOC Emissions Products

### Intent

To minimize the negative impacts of volatile organic compounds (VOCs) & formaldehydes from building materials on occupant's health.

### Requirements

Criteria	4 Points
Strategy A: Paints and coatings	
Specify and install low-VOC emission paints and coatings	1
Strategy B: Adhesives and sealants	
Specify and install low-VOC emission adhesives and sealants	1
Strategy C: Floorings	
Specify and install low-VOC emission floorings	1
Strategy D: Composite wood	
Specify and install low-formaldehyde emission composite wood	1
Strategy E: Ceilings, partitions and insulation	
Specify and install low-VOC emission ceilings, partitions and insulation	1

### Approach & Implementation

Specify and install low-VOC emission and low-formaldehyde emission products.

Are considered low-VOC emission products, the products which either:

- are certified/labelled as low-VOC products by an internationally or regionally recognized authority such as Singapore Green Label, GREENGUARD, Global Green Tag, Green Seal, SCS Indoor Advantage. Other labels/certificates shall be subject to VGBC approval
- or, have a VOC content lower than the limits set in any internationally or regionally recognized regulation (e.g. South Coast Air Quality Management District Rule 1113 or Rule 1168, Hong Kong Air Pollution Control VOC Regulation, California Air Resources Board, etc.). The VOC content shall be demonstrated with laboratory test results following relevant test methods such as US EPA Reference Method 24 or EN 16516.
- or, are inherently non-emitting VOC (stone, ceramic, powder-coated metal, plated metal, anodized metal, glass, concrete, clay brick, and unfinished/untreated solid wood)

Are considered as low-formaldehyde emission products, the products which either:

- are certified/labelled as low-formaldehyde products by an internationally or regionally recognized authority such as Singapore Green Label, GREENGUARD, Global Green Tag, Green Seal, SCS Indoor Advantage, CARB Phase 2. Other labels/certificates shall be subject to VGBC approval.
- or, do not exceed a concentration limit of 0.05 ppm of formaldehyde (0.06 mg/m<sup>2</sup>.h when expressed as emission rate) as tested following an internationally recognized standard
- or, do not contain any added UF resin and PF resin
- or, are classified as ULEF (ultra-low-emitting formaldehyde) or NAF (no added formaldehyde)

Also, are considered as low-VOC / low-formaldehyde emission products, the products which:

- are salvaged and reused with more than one year old at the time of use, provided that the finishing products (paints, coatings, adhesives and sealants) used, if any, are low-VOC emission products.
- are compliant with CDPH Standard Method Version V1.1 - 2010 or CDPH/EHLB Standard Method V1.2 - 2017 using the applicable exposure scenario

#### Strategy A: Paints and coatings

Install only low-VOC emission interior paints and coatings.

#### Strategy B: Adhesives and sealants

Install only low-VOC emission interior adhesives and sealants.

#### Strategy C: Floorings

Install only low-VOC emission flooring products and systems.

For floorings with inherently non-emitting products (ceramic tiles, solid timber, stone, polished concrete, etc.), if finishing products are used, they must be low-VOC emission products.

Epoxy floor coatings should be considered in this strategy but composite wood floorings should be considered under Strategy D: Composite wood.

#### Strategy D: Composite wood

Install only composite wood products which are low-formaldehyde emission products and use adhesives with no added urea-formaldehyde to fabricate assemblies.

#### Strategy E: Ceilings, partitions and insulation

Install only low-VOC emission ceiling, partition and insulation (including thermal and acoustic insulation but not HVAC ductwork insulation) products and systems.

## Submissions

Certification Stage
Strategy A, Strategy B, Strategy C and Strategy E
<ul style="list-style-type: none"><li>• For each low-VOC product, evidence showing that the products installed are low-VOC products such as manufacturer's published data, certificate, test reports, etc.</li><li>• Evidence showing that the low-VOC products have been installed such as invoices, receipts, delivery notes, etc.</li></ul>
Strategy D: Composite wood products
<ul style="list-style-type: none"><li>• For each low-formaldehyde product, evidence showing that the products installed are low-formaldehyde products such as manufacturer's published data, certificate, test reports, etc.</li><li>• Evidence showing that the low-formaldehyde products have been installed such as invoices, receipts, delivery notes, etc.</li></ul>

## H-3 Interior Plants

### Intent

To encourage the installation of interior plants that will improve indoor air quality and enhance the productivity.

### Requirements

Criteria	1 point
Install a sufficient amount of carefully selected interior plants in the project space	1

### Approach & Implementation

Interior plants should be incorporated in the project space to improve the indoor environmental quality. The installation of interior plants should comply with the following requirements:

- Plants species should be suited for indoor environment
- The density of plants should be higher than one plant unit for 2 full time occupants and higher than one plant unit for every 50 m<sup>2</sup> of occupied area.
- No herbicides and pesticides should be applied to the plants

### Calculations

The number of pot plant units should be calculated based on the width at the opening of the pot in accordance with Table H.1.

Table H.1: Equivalence between plant unit number and width at the opening of the pot

Width at the opening of the pot (mm)	Plant unit number
< 100	0.2
≥ 100 and < 200	0.33
≥ 200 and < 250	0.5
≥ 250 and < 320	1
≥ 320 and < 400	2
≥ 400 and < 550	3
≥ 550	4
Bed & Vertical Planting	Determine number of equivalent pots based on a width of 250mm.

## Submissions

### Certification Stage

- Evidence showing that the plants installed are suitable to indoor environments such as letter of confirmation from the plant supplier, published document, etc.
- Evidence showing the quantity and species of plants installed such as photographs, receipts, invoices, etc.

## H-4 Green Cleaning

### Intent

To encourage the use of environmentally friendly cleaning products and procedures.

### Requirements

Criteria	1 Point
Use Environmentally friendly cleaning products	1

### Approach & Implementation

Green cleaning procedures should be implemented to clean each area of the project space with environmentally friendly products. The cleaning can be realized in-house or by appointing cleaning service providers.

Environmentally friendly cleaning products are less hazardous and less toxic cleaning products. Such products should be natural products (baking soda, lemon, apple cider, etc.) or should be products certified by recognized green labelling scheme such as:

- Green Seal
- Singapore Green Labelling Scheme
- Global Green Tag
- Safer Choice (US EPA)
- Green Specifications from EPD Hong Kong

Products which are not certified by a recognized green labelling scheme but can justify compliance with the Green Specifications of a recognized green labelling scheme will be considered as Environmentally friendly cleaning products under LOTUS.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Manufacturer's published data showing that the cleaning products are environmentally friendly</li><li>• Evidence showing that the cleaning products are used on the project such as invoices, receipts, purchase orders, etc.</li></ul>

## H-5 Daylighting

### Intent

To encourage building designs which maximize the use of daylight.

### Requirements

Projects can follow the prescriptive requirements below or select the performance path in Appendix B.

Criteria	2 Points
60% of all the occupied spaces have a daylit zone area of more than 75% of their floor area	1
80% of all the occupied spaces have a daylit zone area of more than 75% of their floor area	2

### Approach & Implementation

All occupied spaces should be considered in this credit, except auditoriums and conference rooms dedicated to video conferencing that are not compatible with the provision of daylight. Other space types not compatible with the provision of daylight may be exempted from the credit, but this shall be subject to VGBC approval.

Natural light promoting designs strategies include:

- Window arrangement and skylights
- Interior light shelves (horizontal surfaces that reflect daylight deep into a building)
- Open plan design

Daylit zone area is defined as the sum of the sidelit daylit area and the skylit daylit area.

- Sidelit daylit area is the area on a plan directly adjacent to each vertical glazing, two window head height deep into the area, and window width plus 0.5 times window head height wide on each side of the rough opening of the window, minus any area on a plan beyond a permanent obstruction that is 1.5 meters or taller as measured from the floor. Figure H.1 shows how sidelit daylit area should be determined.

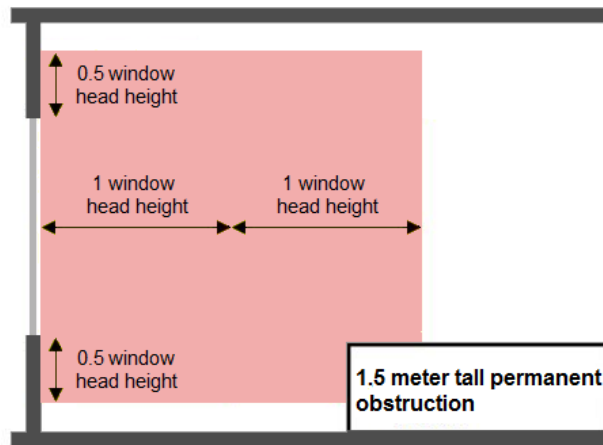


Figure H.1: Measurement of the sidelit daylit area (top view)

- Skylit daylit area (illustrated on Figure H.2) is the rough area in plan view under each skylight, plus 0.7 times the average ceiling height in each direction from the edge of the rough opening of the skylight, minus any area on a plan beyond a permanent obstruction that is taller than one - half the distance from the floor to the bottom of the skylight. The bottom of the skylight is measured from the bottom of the skylight well for skylights having wells, or the bottom of the skylight if no skylight well exists. For the purpose of determining the skylit daylit zone, the geometric shape of the skylit daylit zone shall be identical to the plan view geometric shape of the rough opening of the skylight; for example, for a rectangular skylight the skylit daylit zone plan area shall be rectangular, and for a circular skylight the skylit daylit zone plan area shall be circular

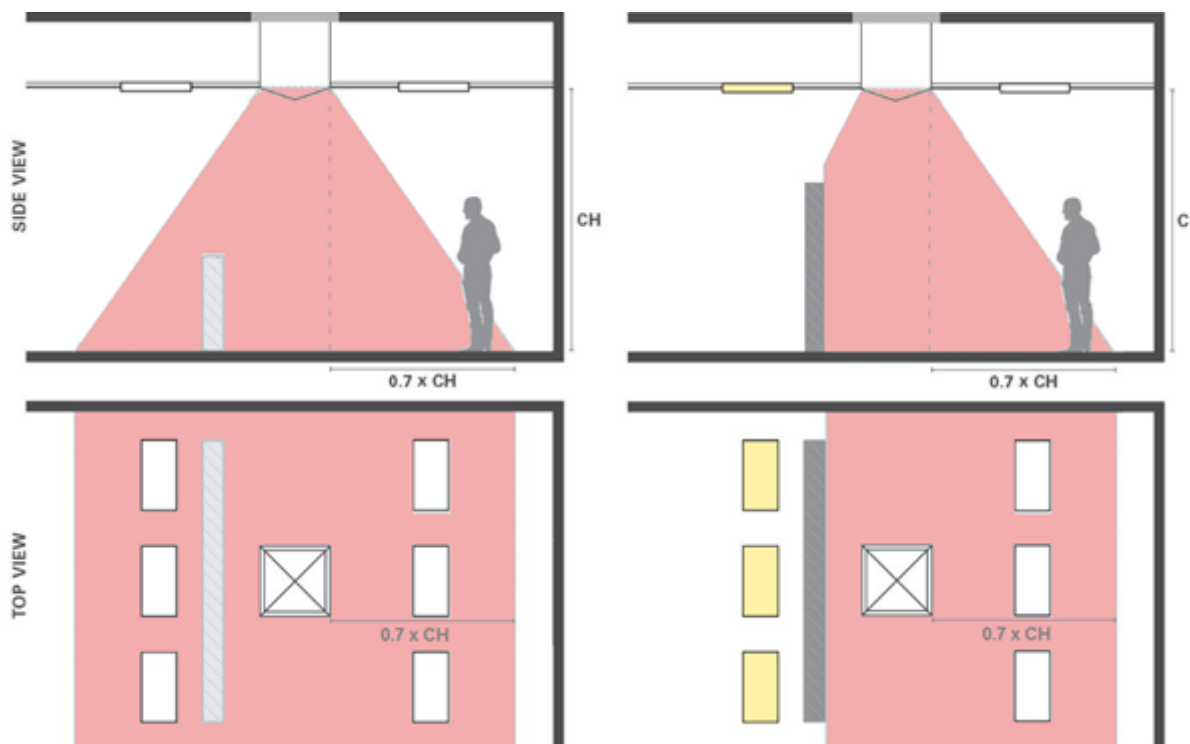


Figure H.2: Measurement of the skylit daylit area



## Submissions

### Certification Stage

- Plans and elevations outlining occupied spaces, daylit areas and indicating all glazing areas
- Photographs showing glazing areas and spaces with daylighting

## H-6 External Views

### Intent

To increase the occupants' connection to the outdoors by ensuring direct line of sight to the exterior.

### Requirements

Criteria	2 Points
Strategy A : External views	
60% of the net occupied area achieves a direct line of sight to the outdoor environment via vision glazing	1
80% of the net occupied area achieves a direct line of sight to the outdoor environment via vision glazing	2
Strategy B : Quality views	
60% of the net occupied area has quality views	1

### Approach & Implementation

All occupied spaces should be considered in this credit, except theaters, auditoriums, conference rooms dedicated to video conferencing and gymnasiums that are not compatible with the provision of external views. Other space types not compatible with the provision of external views may be exempted from the credit, but this shall be subject to VGBC approval.

Many strategies can be considered to offer occupants views to the outdoors, including:

- Locating open areas near the perimeter of the building
- Locating unoccupied spaces within the core of the building
- Application of glazing for internal partitions

For this credit, a glazing can be considered as an external view only if:

- It is present between 0.8 m and 2.2 m above the finished floor
- And it provides a clear image of the exterior, not obstructed by frits, fibers, patterned glazing, or added tints that distort color balance.

### Calculations

#### Strategy A: External views

Compliant areas shall be calculated using the following procedure:

- Identify all occupied spaces and their areas
- Identify all areas within these occupied spaces that have a direct line of sight to the exterior. This line of sight begins at 45 degrees from the edge of each external view. Lines of sight can pass through 2 interior glazing surfaces, but not a doorway with a solid door. Moveable partitions and non-fixed furniture shall not be taken into account.
- If at least 75% of a room's floor area has a direct line of sight to the outdoors, the entire floor area shall be counted towards having a view to the outdoors. If less than 75% of the area has a view, calculate/estimate the total area with a direct line of sight to the outdoors
- Calculate the percentage of the floor space that is compliant using the following formula:

$$\text{Compliant Area [\%]} = \frac{\text{Total compliant floor space}}{\text{Net occupied area}} \times 100$$

Figure H.2 and Table H.2 give an example of the calculation method.

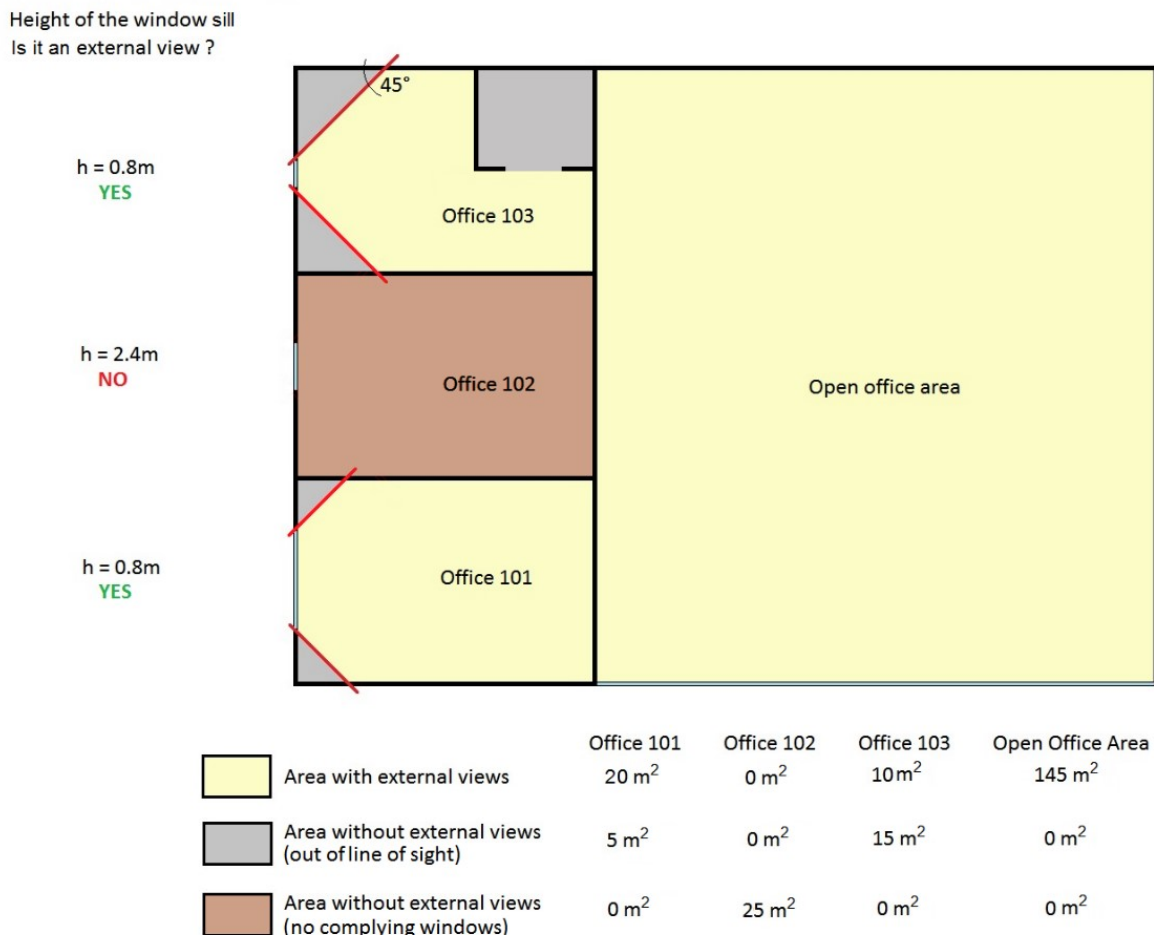


Figure H.2: Example of Calculation Method for External Views

Table H.2: Example of Calculation Method for External Views

Room	Total Occupied Area [m <sup>2</sup> ]	Area with External Views [m <sup>2</sup> ]	[% of room's floor area]	Compliant Area [m <sup>2</sup> ]
Office 101	25	20	80%	25
Office 102	25	0	0	0
Office 103	25	10	40%	10
Open Office Area	145	145	100%	145
<b>TOTAL</b>	<b>220</b>	<b>175</b>	<b>-</b>	<b>180</b>

$$\text{Compliant Area [\%]} = \frac{180}{220} \times 100 = 81.8 \%$$

In this example, it can be shown that 81.8% of the net occupied area is compliant and 2 points can be awarded.

### Strategy B: Quality views

To qualify as an area with quality views, all the locations within the area must be within 8 meters of an external view and must present at least two of the following features that improve the quality of the views:

- have a direct line of sight to an external view that is unobstructed for at least 8 meters from the exterior of the glazing
- have a direct line of sight to an external view that includes vegetation, fauna or sky
- have a direct line of sight to an external view that includes movement
- have multiple lines of sight to the outdoors via external views in different directions at least 90 degrees apart.

Compliant areas shall be calculated using the following procedure:

- Identify all occupied spaces and their areas
- Identify all areas within these occupied spaces that have quality views (areas where at least 2 of the requirements listed in Approach & Implementation are met)
- For each occupied space, if at least 75% of a room's floor area has quality views, the entire room floor area shall be counted towards having quality views. If less than 75% of the area has a quality view, the actual area with quality views shall be counted as compliant
- Calculate the percentage of the floor space that is compliant using the following formula:

$$\text{Compliant Area [\%]} = \frac{\text{Total compliant floor space}}{\text{Net occupied area}} \times 100$$

For each occupied space, it is possible to have different areas with different types of quality views. For example, in one room, a part of the room may have a direct line of sight with a view including both vegetation and movement while another part of the room may have multiple lines of sight to the outdoors including a line of sight to an unobstructed external view.

## Submissions

Certification Stage
Strategy A: External views
<ul style="list-style-type: none"><li>• Floor plans showing all areas with direct lines of sight</li><li>• Sections and elevations showing the height and location of external views and the height of internal partitions</li><li>• Photographs showing the external views</li></ul>
Strategy B: Quality views
<ul style="list-style-type: none"><li>• Floor plans showing all areas with quality views</li><li>• Photographs showing the different types of quality views</li></ul>

## H-7 Thermal Comfort

### Intent

To encourage designs which achieve comfortable thermal conditions for occupants.

### Requirements

Criteria	1 Point
95% of the occupied spaces shall be designed to maximize the thermal comfort of occupants	1

### Approach & Implementation

#### Air-conditioned spaces and mixed-mode ventilated spaces:

Provide individual thermal comfort controls for the individual occupant spaces and provide group thermal comfort controls for shared multi-occupant spaces.

Individual occupant spaces are spaces where occupants perform distinct tasks from one another and multi-occupant spaces are places of congregation, or where occupants pursue overlapping or collaborative tasks (e.g. open office spaces, conference rooms, classrooms, cafeteria, etc.). Only spaces where an occupant is expected to work or remain for an extended period of time should be considered in this credit.

Thermal comfort controls should allow occupants, whether in individual spaces or shared multi-occupant spaces, to adjust at least one of the following in their local environment: air temperature, radiant temperature, air speed, and humidity.

#### Non-air-conditioned spaces:

In non-air-conditioned spaces, to avoid overheating during the hottest days of the year, it is necessary to provide appropriate air velocity in the space and to limit to a minimum all types of external and internal heat gain (solar gains, artificial lighting, equipment, occupancy, etc.).

Non-air-conditioned occupied spaces shall comply with at least 1 requirement in each of the three following categories:

#### A. Indoor air velocity

- requirements of Performance Path in Strategy A of Credit E-1 are met
- ceiling or wall-mounted fans are installed with at least one fan for every 20 m<sup>2</sup>
- high-volume low-speed (HVLS) fans are installed

B. Reduction of external heat gains

- effective external shadings are installed on all the windows of the space
- exterior walls and roofs surrounding the space have a solar reflectivity > 0.7 or are vegetated or have external shadings

C. Reduction of internal heat gains

- LPD of the space is reduced by more than 30%
- 50% of all the equipment installed in the space have an energy label
- average daylight factor of the space is between 1.5% and 3.5%

## Calculations

### Non-air-conditioned spaces:

The following calculations should be realized for each occupied space:

A. Indoor air velocity

- Calculations in accordance with Performance Path in Strategy A of credit E-1
- Density of ceiling fans or wall fans in the space should be calculated as the number of fans divided by the area of the space.

C. Reduction of internal heat gains

- Calculate the LPD values of each space following method in Strategy A of credit E-2. These values should be compared with the LPD values of the same space type in Table A.1 (in Appendix C) in order to calculate the percentage of LPD reduction.
- Calculate the percentage of equipment installed (based on power ratings) that meet the requirements of any recognized energy label (see credit E-3).
- Calculate the daylight factor of each space following method in the Performance Path of credit H-5. Unlike in credit H-5, spaces with a daylight factor higher than 3.5% and using internal manual shadings are not compliant for this credit on thermal comfort.

## Submissions

Certification Stage
For all the air-conditioned spaces and mixed-mode ventilated spaces:
<ul style="list-style-type: none"><li>• Plans indicating the location and number of thermal controls</li><li>• Evidence showing that the thermal controls have been installed such as photographs, commissioning records, etc.</li></ul>
For all the non-air-conditioned spaces:
<ul style="list-style-type: none"><li>• Calculations showing compliance with the strategies pursued to limit heat gains and to enhance air velocity.</li></ul>

# Site & Environment

---

To make sure that an interior space is really sustainable, not only fit-out activities, interior architecture, selection of equipment and systems should be considered but also the proper integration of the project with the surroundings.

Interior projects being located inside existing base buildings can be positively or negatively impacted from the performance of the base building. A well-selected interior space in a base building with green attributes and with adequate facilities and amenities can improve the sustainable performance of the project and the well-being of the project occupants. Also, through a long-term lease or a green lease, interior project can help further to conserve resources and reduce environmental impacts.

Sustainable interior projects should also contribute to the development of green transportation in Vietnam in order to reduce the consumption of fossil fuels required for the transport of the occupants. Projects should raise awareness of the different green transport means available to occupants and implement policies to ensure a significant proportion of occupant trips are made by green transport.

Credit	Title	Points
SE-1	Green base building	3 points
SE-2	Tenancy Lease	1 point
SE-3	Green Transportation	2 points
SE-4	Refrigerant	1 point
Total of points available		7 points



## SE-1 Green base building

### Intent

To encourage the selection of LOTUS certified base building or a base building that features green attributes.

### Requirements

Criteria	3 Points
Option A: Green building certified base building	
Base building is certified under a recognized green building certification system with a rating equivalent to LOTUS Certified or Silver Certified	2
Base building is certified under a recognized green building certification system with a rating equivalent to LOTUS Gold or Platinum Certified	3
Option B: Base building with green attributes	
2 green attributes are met by the base building	1
4 green attributes are met by the base building	2

### Approach & Implementation

#### Option A: Green building certified base building

Install your interior project in a base building which is certified under LOTUS or certified under another green building certification system that is recognized by the Ministry of Construction of Vietnam and/or by the World Green Building Council, such as LEED or BCA Green Mark,.

#### Option B: Base building with green attributes

Install your interior project in a base building which meets the following requirements:

- Community connectivity: There are 10 different basic services in 500 meters walking distance from the site
- Water recycling/reuse: Recycled water, reused water or harvested rainwater contributes to the building's water consumption
- Renewable energy: more than 0.5% of the total energy used in the base building is produced on-site from a renewable energy source
- Access for people with disabilities: Base building and fit-out project meet the QCXDVN 01:2002 requirements by following a recognized Barrier Free Environment standard in public areas of the building

- Vegetation: 10% of the total site area (including roof) is vegetated

## Submissions

Certification Stage
Option A: LOTUS certified base building
<ul style="list-style-type: none"> <li>• Copy of the certificate showing that the base building is certified under a recognized green building certification system</li> </ul>
Option B: Base building with green attributes
<ul style="list-style-type: none"> <li>• Evidence showing that the base building complies with the requirements such as plans, photographs, signed letters of confirmation from base building, etc.</li> </ul>

## SE-2 Tenancy lease

### Intent

To encourage lease types that will help to conserve resources and reduce environmental impacts.

### Requirements

Criteria	1 Point
Option A: Long-term lease	
The fixed term period of the lease is at least 6 years	1
Option B: Green lease	
A green lease is signed with the landlord	1

### Approach & Implementation

#### Option A: Long-term lease

The tenant should sign a lease agreement with the building owner/landlord with a fixed term period of at least 6 years.

#### Option B: Green lease

A Green lease is a lease between the landlord and tenant which aims to ensure that the ongoing use and operation of the building minimizes environmental impacts.

The tenant should sign a Green lease with the building owner/landlord to commit to on-going performance.

The Green lease should include the following:

- Energy and water metering and data reporting requirements: tenants should report energy and water usage to the building owner/landlord.
- Environmental Management Plan: the tenant together with the landlord should prepare an environmental management plan including requirements for ongoing sustainable building management and operation on energy, water and waste reduction/recycling.

## Submissions

Certification Stage
Option A: Long-term lease
<ul style="list-style-type: none"><li>• Copy (or extracts) of the lease agreement showing the fixed term period of the lease</li></ul>
Option B: Green lease
<ul style="list-style-type: none"><li>• Copy (or extracts) of the lease agreement showing the elements specific to a Green lease - OR -</li><li>• Copy of a signed agreement between the landlord and tenant following LOTUS requirements</li></ul>

## SE-3 Green Transportation

### Intent

To raise awareness of the different collective transport means available to occupants of the building and implement policies to encourage occupants to use green transportation.

### Requirements

Criteria	2 Points
Strategy A: Bicycle friendly	
Project occupants have access to covered and secured bicycle parking spaces, shower facilities and lockers/personal storage space	1
Strategy B: Public transportation	
Project space is located within a 500 m walking distance from one public transportation route OR within a 700 m walking distance of 2 different public transportation routes.	1
Strategy C: Green transportation incentives	
Implement at least 2 incentives to encourage occupants to use a green mode of transport	1

### Approach & Implementation

Implement some of the following strategies to encourage the use of green transport.

#### Strategy A: Bicycle friendly

Provide occupants with access to covered and secured bicycle parking spaces, shower facilities and lockers/personal storage space

#### Strategy B: Public transportation

Project space is located within a 500 m walking distance from one public transportation route OR within a 700 m walking distance of 2 different public transportation routes. Provide and display information on public transportation for occupants including routes and schedules in an obvious and accessible location.

#### Strategy C: Green transportation incentives

Set up a green transportation program. In association with any of the above strategies, provide at least 2 other services/incentives to encourage occupants to use a green mode of transport.

Such services and incentives include (but are not limited to): organizing a vehicle sharing program, providing electric charging stations, providing shuttle busses for events, covering taxi fares in exceptional circumstances, providing rides to occupants, providing electric vehicles for employee business use, etc.

## Submissions

Certification Stage
Strategy A: Bicycle friendly
<ul style="list-style-type: none"> <li>Plans indicating location, size and capacity of parking spaces, showering facilities and lockers</li> <li>Photographs of the parking spaces, showering facilities and lockers</li> </ul>
Strategy B: Public transportation
<ul style="list-style-type: none"> <li>Plans or maps indicating location of public transport stops within a 500 m or a 700 m walking distance of the site</li> </ul>
Strategy C: Green transportation incentives
<ul style="list-style-type: none"> <li>Evidence showing the implementation of the green transportation program, such as photographs, building policies, receipts, etc.</li> </ul>

## SE-4 Refrigerants

### Intent

To encourage the installation of systems that limit the atmospheric impact due to the use of refrigerants.

### Requirements

Criteria	1 Point
<b>Option A: No Refrigerants or Low-Impact Refrigerants</b>	
Do not use refrigerants, or use only refrigerants that have an ozone depletion potential (ODP) of zero and a global warming potential (GWP) of less than 50	1
<b>Option B: Refrigerant Atmospheric Impact of HVAC systems</b>	
The average Refrigerant Atmospheric Impact of all the air-conditioning systems installed in the building is below 11	1
<b>Option C: Strategies to limit the atmospheric impact from refrigerants (only applicable for projects with commercial refrigeration systems)</b>	
1 point granted for implementing 2 of the following strategies for air-conditioning, heat pump and commercial refrigeration systems: 1. No centralized direct expansion systems is used 2. All refrigerants used have a GWP <sub>100</sub> below 2000 and ODP ≤ 0.02 3. 50% (by mass) of the total refrigerant charge of commercial refrigeration equipment are natural refrigerants 4. An indirect (secondary) system is used	1

### Approach & Implementation

#### All options:

- No CFC refrigerant or refrigerants with an ODP higher or equal to 0.05 should be installed in the building to be eligible for the credit.
- Systems using less than 250 grams of refrigerant should not be considered in the credit.

#### Option A: No Refrigerants or Low-Impact Refrigerants

Do not use refrigerants, or use only refrigerants that have an ozone depletion potential (ODP) of zero and a global warming potential (GWP<sub>100</sub>) of less than 50.

Spaces using no refrigerants (naturally ventilated spaces) are the most effective to prevent atmospheric impact from the use of refrigerants.

### Option B: Refrigerant Atmospheric Impact of Air-conditioning systems

Projects equipped with some commercial refrigeration systems shall meet the requirements of at least one strategy of the Option C to be eligible for points under option B.

Refrigerants that have a limited atmospheric impact such as those in Table SE.1 should be selected. In general, such refrigerants should have both low GWP<sub>100</sub> values (under 2000) and ODP values of 0.

The atmospheric impact of refrigerants can also be limited by using equipment which use a low refrigerant charge (centralized direct expansion systems to be avoided) and which can ensure a lower leakage rate of the refrigerant (under 2% per year).

**Table SE.1:** List of some selected refrigerants that have a limited atmospheric impact  
(Source: values from IPCC Fifth Assessment Report 2013)

Refrigerant	ODP	GWP <sub>100</sub>
R134a	0	1,300
R407C	0	1,624
R32	0	675
CO <sub>2</sub>	0	1

### Option C: Strategies to limit the atmospheric impact from refrigerants

This option is only applicable for projects equipped with commercial refrigeration systems. Commercial refrigeration equipment includes the following: walk-in refrigerators, walk-in freezers and refrigerated casework.

To reduce the refrigerant atmospheric impact of air-conditioning, commercial refrigeration and heat pump systems, different strategies can be implemented:

- Centralised direct expansion systems shouldn't be used as such systems have high refrigerant charge and high leakage rate.
- All the refrigerants should have a GWP<sub>100</sub> below 2000 and an ODP ≤ 0.02. Refrigerants like the R404A, still commonly used and with a high GWP<sub>100</sub> (3943 under IPCC fifth assessment) should be discouraged as many alternatives from other HFCs (such as R134a, R407A...) to HFOs exist.
- Natural refrigerants should be used in as many systems as possible; they have extremely low GWP<sub>100</sub> values and can be used efficiently for heat pumps (with CO<sub>2</sub>) and for commercial refrigeration in configurations such as cascade or indirect systems (with CO<sub>2</sub>, propane, etc.).



- Indirect (also called secondary loop) systems are systems that use a chiller to cool a secondary fluid that is then circulated throughout the building to the cases and coolers. With a much lower refrigerant charge, these systems are effective to limit the warming impact of commercial refrigeration.

## Calculations

### Option B: Refrigerant Atmospheric Impact of Air-conditioning systems

Using the following equation, the Refrigerant Atmospheric Impact of all the air-conditioning equipment using more than 250 grams of refrigerant in the building should be calculated.

$$\text{Refrigerant Atmospheric Impact} = \frac{\sum_{\text{unit}} [(\text{LCGWP} + \text{LCODP} \times 10^5) \times Q_{\text{unit}}]}{Q_{\text{total}}}$$

Where:

$Q_{\text{unit}}$  = Cooling capacity of an individual air-conditioning equipment (kW)

$Q_{\text{total}}$  = Total cooling capacity of all air-conditioning equipment (kW)

LCGWP, the Lifecycle Global Warming Potential (kg CO<sub>2</sub>/kW/Year) and LCODP, the Lifecycle Ozone Depletion Potential (kg CFC 11/kW/Year) are calculated as follows:

$$\text{LCGWP} = [\text{GWPr} \times (\text{Lr} \times \text{Life} + \text{Mr}) \times \text{Rc}] / \text{Life}$$

$$\text{LCODP} = [\text{ODPr} \times (\text{Lr} \times \text{Life} + \text{Mr}) \times \text{Rc}] / \text{Life}$$

Where:

GWPr = Global Warming Potential of Refrigerant (0 to 12,000 kg CO<sub>2</sub>/kg r) coming from the IPCC Fifth Assessment Report (AR5) in 2013.

ODPr = Ozone Depletion Potential of Refrigerant (0 to 0.2 kg CFC 11/kg r) coming from the stratospheric ozone protection regulations at 40 CFR Part 82

Lr = Refrigerant Leakage Rate (0.5% to 2.0%; default of 2% unless otherwise demonstrated)

Mr = End-of-life Refrigerant Loss (2% to 10%; default of 10% unless otherwise demonstrated)

Rc = Refrigerant Charge (0.2 to 2.3 kg of refrigerant per kW of rated cooling capacity)

Life = Equipment Life (default based on Table SE.2, unless otherwise demonstrated)

**Table SE.2:** Recommended Lifetime values for different types of equipment  
(Source: ASHRAE Applications Handbook, 2007)

Equipment type	Recommended Lifetime (years)
Window air-conditioning units and heat pumps	10
Unitary, split, and packaged air-conditioning units and heat pumps	15
Reciprocating compressors, scroll compressors and reciprocating chillers	20
Absorption chiller	23
Water cooled packaged air-conditioners	24
Centrifugal and screw chillers	25

## Submissions

Certification Stage
Option A: No Refrigerants or Low-Impact Refrigerants
If no refrigerant is used or only in systems using less than 250 grams of refrigerant:
<ul style="list-style-type: none"> <li>Document indicating that no system using refrigerants was installed by the project such as letter from tenant, etc.</li> </ul>
If low-impact refrigerants are used:
<ul style="list-style-type: none"> <li>Manufacturer’s published data indicating the proposed types of systems with the type of low-impact refrigerants used</li> <li>Evidence of the equipment with low-impact refrigerants installed, such as photographs, invoices, receipts, commissioning report, etc.</li> </ul>
Option B: Refrigerant Atmospheric Impact of HVAC systems
<ul style="list-style-type: none"> <li>Manufacturer’s published data indicating the proposed types of systems with the type and volume of refrigerants used</li> <li>Evidence of the HVAC equipment installed, such as photographs, invoices, receipts, commissioning report, etc.</li> </ul>
Option C: Strategies to limit the atmospheric impact from refrigerants
<ul style="list-style-type: none"> <li>Manufacturer’s published data indicating the proposed types of systems with the type and volume of refrigerants used</li> <li>Evidence of the HVAC/R equipment installed, such as photographs, invoices, receipts, commissioning report, etc.</li> </ul>

# Management

---

To attain the standards expected of a LOTUS Small Interiors certified building, high levels of communication and coordination between all parties involved is vital. It is extremely important that the entire project team works together towards adopting all appropriate environmental principals at the project's inception. It is also vital that this information is passed on to occupants so that the design features are understood and used, ensuring the intended performance goals are met during the operation of the project space.

During the construction phase, it is necessary to limit the impacts of construction works (air quality, noise, safety, etc.) that affect the workers and the building occupants.

At completion of construction, different important measures to ensure a good performance of the project during operation should be implemented: performing commissioning will make sure that the building systems are well installed and perform up to the design intent, producing a building operation and maintenance manual (O&M manual) and a preventative maintenance plan will make sure that systems and equipment are well-maintained and operate efficiently and having a green awareness program will make sure that occupants have access the information necessary to properly operate the space.

Credit	Title	Points
Man-1	Construction Stage	2 points
Man-2	Commissioning	1 point
Man-3	Maintenance	2 points
Man-4	Green Awareness and Behavior	2 points
Total of points available		7 points

# Man-1 Construction Stage

## Intent

To encourage sustainable and environmentally friendly construction activities.

## Requirements

Criteria	2 Points
Strategy A: Air quality management	
Implement adequate mitigation measures to reduce indoor air quality (IAQ) impacts arising from fit-out activities	1
Strategy B: Environmental Management	
The main contractor has valid ISO14001 accreditation	1
Strategy C: Construction Noise	
Implement measures to reduce noise level arising from fit-out activities	1
Strategy D: Site safety and welfare	
Implement measures to provide safety and welfare for construction workers	1

## Approach & Implementation

### Strategy A: Air quality management

Adequate mitigation measures to reduce IAQ impacts arising from fit-out activities must be implemented and must include the following:

- HVAC protection measures, such as:
  - Protect all HVAC equipment from both dust and odors and seal all duct and equipment openings
  - Avoid using permanently installed HVAC systems during construction if possible
- Contaminant source control and pathway interruption measures, such as:
  - Isolate areas of work to prevent contamination of clean or occupied spaces (with temporary barriers or by maintaining negative pressure relative to other spaces)
- Housekeeping measures, such as:
  - Clean dust by using wetting agents
  - Use efficient cleaning methods (wet mops, Vacuum cleaners with high efficiency particle filters, etc.)
  - Select cleaning measures and frequency according to the pollutants generated

- Scheduling measures, such as:
  - Conduct activities with high pollution potential (odorous or dust generating activities) during off hours to allow time for new materials to air out.
- Moisture and mold control measures, such as:
  - Protect stored on-site and installed absorptive materials from moisture damage

### Strategy B: Environmental Management

The main contractor has valid ISO14001 Environmental Management System (EMS) accreditation throughout the construction phase of the project.

### Strategy C: Construction Noise

Propose a plan with measures to reduce noise and/or reschedule the fit-out activities to avoid nuisance to base building occupants and neighboring occupants.

As a minimum, one measure shall be implemented to reduce structure-borne noise and one measure shall be implemented to reduce airborne noise.

- Reduce structure-borne noise:

Where the work takes place in circumstances when other parts of the building are normally occupied, noise and vibration transmitted via the building structure (with demotion, drilling, cutting chases, scrubbing, coring, etc.) shall be avoided between 8 a.m. and 6 p.m. (or other hours in accordance with the base building activities).

- Reduce airborne noise:

Where the noise is transmitted as airborne noise, one of the following steps shall be taken to mitigate the noise impacts:

- Modify a noisy process or equipment to eliminate or reduce the noise and vibration output (e.g. adding new mufflers, or sound absorbing material).
- Regularly maintain machinery and equipment, so equipment in disrepair is not contributing to total noise (simple maintenance can reduce noise level by 50%).
- Use noise absorption material to reduce reflected noise inside a room or enclosure, and transmitted outside. (e.g. plywood with sound absorbing).

### Strategy D: Site safety and welfare

Implement measures to provide safety and welfare for construction workers:

- Provide toilets, washing facilities, drinking water and one other site amenities (such as accommodation, changing room, rest facilities, etc.)

- Implement strategies to meet the safety requirements outlined in Circular No. 22/2010/TT-BXD on Labor Safety in Work Construction (such as: provide safety training, personal safety equipment, first aid kits, ensure fire safety, etc.)

## Submissions

Certification Stage
Strategy A: Air Quality Management
<ul style="list-style-type: none"> <li>• Evidence showing each mitigation measure implemented to reduce IAQ impacts such as photographs, etc.</li> </ul>
Strategy B: Environmental Management
<ul style="list-style-type: none"> <li>• Copy of the ISO 14001 certificate of the main contractor</li> </ul>
Strategy C: Construction Noise
<ul style="list-style-type: none"> <li>• Evidence showing each measure implemented such as photographs, etc.</li> </ul>
Strategy D: Site safety and welfare
<ul style="list-style-type: none"> <li>• Evidence showing the provision of toilets, washing facilities, drinking water and one other site amenities during construction such as photographs, etc.</li> <li>• Evidence showing each strategy implemented to provide safety and welfare for construction workers such as photographs, etc.</li> </ul>

## Man-2 Commissioning

### Intent

To ensure that the building systems are well installed and perform up to the design intent.

### Requirements

Criteria	1 Point
Perform commissioning of the systems installed by the project	1

### Approach & Implementation

Interiors projects are responsible for completing commissioning tasks for all systems and equipment included in their scope, including items furnished by the base building, but modified or relocated as part of tenant fit-out.

Information such as sequences of operations, schedules, setpoints and fresh air requirements for tenant equipment and spaces must be coordinated with base building requirements.

The systems to be commissioned shall encompass energy intensive and water systems:

- Heating, ventilating, air conditioning and refrigeration (HVAC & R) systems
- Artificial lighting systems
- Hot water systems
- Metering, monitoring and control systems
- Plumbing systems
- Renewable energy systems (for instance, wind, solar)

For each equipment/system, perform the following commissioning activities:

- Verify that the correct equipment and material has been installed in the proper location
- Verify that installation meets construction details and manufacturer's requirements
- Verify proper operation (startup, shut down, and sequence of operation)

For centralized HVAC systems, Testing, Adjusting and Balancing (TAB) should be performed.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Commissioning records showing results of all the tests performed</li></ul>

# Man-3 Maintenance

## Intent

To make sure systems and equipment are well-maintained and operate efficiently.

## Requirements

Criteria	2 Points
Strategy A: Operation & Maintenance Manual	
Provide an Operation & Maintenance Manual	1
Strategy B: Preventative Maintenance Plan	
Produce a Preventative Maintenance Plan	1

## Approach & Implementation

Only systems and equipment included in the scope of the interior project space must be considered in this credit. If possible, the project should encourage the base building to perform preventative maintenance for their systems serving the project space.

### Strategy A: Operation & Maintenance Manual

The operation and maintenance manual (O&M manual) includes the necessary information for the operation and maintenance of the interior project space. The operation and maintenance manual should include:

- A description of the main design principles
- As-built drawings and specifications
- Instructions for building operation and maintenance (including health and safety information, general instructions for efficient operation and periodical maintenance)
- Schedule of all equipment
- Commissioning and testing results (if any)
- Guarantees, warranties and certificates

### Strategy B: Preventative maintenance plan

Produce a preventative maintenance plan for the project's major services and equipment which shall encompass energy intensive and water systems:

- Heating, ventilating, air conditioning and refrigeration (HVAC & R) systems



- Artificial lighting systems
- Hot water systems
- Metering, monitoring and control systems
- Plumbing systems
- Renewable energy systems

The preventative maintenance plan shall include, as a minimum, the following information:

- List of all equipment requiring maintenance
- List of maintenance operations that should be performed for all listed equipment
- Frequency of the maintenance operations (= interval between 2 similar operations)
- Schedule indicating when each maintenance operations must be conducted for the whole year

## Submissions

Certification Stage
Strategy A: Operation & Maintenance Manual
<ul style="list-style-type: none"> <li>• Copy of the operation and maintenance manual (scans, photographs or electronic version)</li> </ul>
Strategy B: Preventative Maintenance Plan
<ul style="list-style-type: none"> <li>• Copy of the preventative maintenance plan (scans or photographs or electronic version)</li> </ul>

## Man-4 Green Awareness and Behavior

### Intent

To ensure that occupants will have access to all the required information to properly operate the space and to increase green awareness of both occupants and visitors.

### Requirements

Criteria	2 Points
Strategy A: User guide	
Produce a User guide for occupants	1
Strategy B: Green Training for occupants	
Develop a training program for occupants on the topic of sustainability	1
Strategy C: Green Awareness	
Implement a permanent green-awareness campaign	1

### Approach & Implementation

#### Strategy A: User guide

Provide a user guide for occupants. It should be a non-technical, easy to understand guide with information for users about:

- Design specifications of the interior space and how these affect its operation
- Energy efficiency features
- Water-saving features
- Correct operation of HVAC and lighting systems
- Access, security and safety systems
- Evacuation/disaster response plan
- Methods for reporting problems
- Information on parking, public transportation, car sharing schemes, etc.
- Waste recycling procedures

#### Strategy B: Green Training for occupants

Develop an educational program for occupants on the topic of sustainability. This program should educate the occupants on the interior space and their relationship to the interior space and should include the following information:

- Green features of the space/building,
- Impacts of occupant behavior on the performance of the space/building
- Ways to improve occupant behavior.

Also, the educational program should educate occupants about the topics of resource conservation, health issues, climate change, etc.

### Strategy C: Green Awareness

A permanent green awareness campaign should be implemented. It can be executed by displaying posters or screens in the most frequented areas of the interior space. This campaign should be maintained permanently.

As a minimum, the following information should be shown:

- The impacts of buildings on the environment
- One sustainability feature related to Energy Conservation or Energy Efficiency
- One sustainability feature related to Water Conservation or Water Efficiency
- One sustainability feature related to Health & Comfort

## Submissions

Certification Stage
Strategy A: User guide
<ul style="list-style-type: none"> <li>• Copy of the User guide (scans, photographs or electronic version)</li> </ul>
Strategy B: Green Training for occupants
<ul style="list-style-type: none"> <li>• Green Training program showing topics of the training, schedule, participants</li> <li>• Evidence showing that occupants of the interior space attended the Green Training such as photographs, signed attendance sheets, etc.</li> </ul>
Strategy C: Green Awareness
<ul style="list-style-type: none"> <li>• Evidence showing that the green awareness campaign is implemented such as photographs, etc.</li> </ul>

# Exceptional Performance

---

The purpose of this category is to reward innovative techniques/initiatives, as well as exceptional performance enhancement.

There are up to 4 bonus points available over the 2 credits, but these points are not specifically allocated to one or the other credit.

Credit	Title	Points
EP-1	Enhanced Performance	4 bonus points
EP-2	Innovative Solutions	
Total of points available		4 bonus points

## EP-1 Enhanced Performance

### Intent

To encourage exceptional performance and recognize projects that achieves environmental benefits in excess of the current LOTUS rating system benchmarks.

### Requirements

Criteria	4 Points
Exceed significantly the credit requirements of LOTUS credits	1-4

### Approach & Implementation

Projects can target up to 4 points in this credit with 1 point for each Enhanced Performance of a LOTUS SI credit.

There are two different cases where points can be awarded for Enhanced Performance:

**Case 1:** In a credit with one or more performance increments, the performance exceeds the maximum credit requirement by an additional increment.

All the requirements that need to be met to achieve points in credit EP-1 through Case 1 are listed in Table EP.1.

**Table EP.1:** Performance to reach in the different credits for one point in EP-1

Credit	Performance to reach for one point in EP-1
E-1 Space Cooling - Strategy B	Performance Path: In comparison to QCVN 09:2017/BXD requirements, the project achieves: -AND- 100% improvement of CSPF for non-ducted air-conditioners -AND- 50% improvement of COP for other types of direct expansion air-conditioners -AND- 25% improvement of COP for chillers with cooling capacity under 1055 kW -AND- 15% improvement of COP for chillers with cooling capacity above 1055 kW
E-2 Artificial Lighting - Strategy A	Lighting power density surpasses QCVN 09:2017/BXD requirements by 75%
W-1 Water Efficient Fixtures - Strategy B1	Domestic water consumption through base building water fixtures associated with the tenancy is reduced by 50% in comparison to a baseline model
MR-1 Sustainable Materials	50% of the total value of materials installed on the project are sustainable materials
MR-2 Sustainable Furniture Products	50% of the total value of furniture installed on the project are sustainable products

MR-3 Fit-out Waste - Strategy A	Reuse, salvage and/or recycle 90% of fit-out waste
H-5 Daylighting	100% of all the occupied spaces are compliant with requirements of either the Prescriptive Path or the Performance Path.
H-6 External Views - Strategy A	100% of the net occupied area achieves a direct line of sight to the outdoor environment via vision glazing

**Case 2:** In a credit with different strategies available, the building performance reaches a higher number of points than what is available in the credit.

All the requirements that need to be met to achieve points in credit EP-1 through Case 2 are listed in Table EP.2.

**Table EP.2:** Credits where one point in EP-1 can be awarded for achieving different strategies

Credit	Requirements to be awarded one point in EP-1
E-1 Building Cooling	7 points or more can be achieved under the 5 strategies of the credit
E-2 Artificial Lighting	6 points can be achieved under the 3 strategies of the credit
E-3 Energy Efficient Appliances	5 points can be achieved under the 2 strategies of the credit
W-1 Water Efficient Fixtures	6 points or more can be achieved under the 3 strategies of the option pursued
MR-3 Fit-out Waste	3 points can be achieved under the 2 strategies of the credit
H-2 Low Emission Products	The 4 strategies of the credit are achieved
H-5 External Views	3 points can be achieved under the 2 strategies of the credit
SE-3 Green Transportation	The 3 strategies of the credit are achieved
Man-1 Construction stage	3 points or more can be achieved under the 4 strategies of the credit
Man-4 Green Awareness and Behavior	The 3 strategies of the credit are achieved

## Calculation

The calculation of each exceeded benchmark has to be done exactly the same way as the given credit specifies it.

## Submissions

<b>Certification Stage</b>
For each Enhanced Performance of a credit:
<ul style="list-style-type: none"> <li>Submissions as per initial credit requirements</li> </ul>

## EP-2 Innovative Solutions

### Intent

To promote techniques and/or initiatives that are out of the scope of the current LOTUS Small Interiors Rating System.

### Requirements

Criteria	4 Points
Implement innovative and environmentally friendly solutions that are not considered in the scope of LOTUS Small Interiors	1-4

### Approach & Implementation

An EP-2 submission must be a concise report that clearly articulates the nature and magnitude of the environmental benefit achieved by the proposed innovative solution.

The innovative solutions proposed by projects will be considered on a case by case basis. The VGBC reserves the right to not award points where adequate justification for the innovative nature of the strategy, environmental benefit and achieved performance cannot be provided. For this reason, it is advisable to confirm the proposed innovation credit nature, thresholds and submittal requirements with the VGBC at any time prior to submittal.

### Submissions

Certification Stage
For each Innovative solution targeted:
<ul style="list-style-type: none"><li>• Supporting evidence demonstrating that the construction, installation or implementation has been done according to the description provided.</li><li>• If necessary, supporting evidence verifying the expected performance such as manufacturer's data, calculations, etc.</li></ul>

# Appendix A: Best Practice Credits

## Best Practice Credit List

Credit	Title	11 bonus points
<b>ENERGY</b>		2 bonus points
E-BPC-1	Energy Controls	1 bonus
E-BPC-2	Water Heating	1 bonus
<b>HEALTH &amp; COMFORT</b>		5 bonus points
H-BPC-1	Indoor Air Quality	2 bonus
H-BPC-2	Lighting Comfort	1 bonus
H-BPC-3	Acoustic Comfort	1 bonus
H-BPC-4	Post-occupancy Comfort	1 bonus
<b>SITE &amp; ENVIRONMENT</b>		1 bonus point
SE-BPC-1	Facilities and amenities for occupants	1 bonus
<b>MANAGEMENT</b>		3 bonus points
Man-BPC-1	LOTUS AP	1 bonus
Man-BPC-2	On-going commissioning	1 bonus
Man-BPC-3	Independent Commissioning Agent	1 bonus



## E-BPC-1 Energy Controls

### Intent

To encourage the use of energy control solutions to save energy.

### Requirements

Criteria	1 point
Install at least 2 different types of energy control solutions in the interior project space	1

### Approach & Implementation

Install at least 2 different types of energy control solutions in the interior project space where it can be effective:

- Light occupancy sensors to automatically turn lights on and off based on occupancy in conference rooms, passageways, bathrooms, hallways, entryways, etc.
- Light dimmers to provide variable indoor lighting in spaces with multiple uses
- Daylight sensors to adapt the use of artificial lighting depending on the amount of natural lighting in the potentially day-lit zone areas (c.f. credit H-5 Daylighting).

For each potentially daylight area, comply with at least one of the three following requirements:

- install photosensors to automatically dim lights depending on the level of natural illuminance received.
- install photosensors to automatically switch lights off when natural light measured by the sensors is beyond the standard preset level for the occupant space (e.g. 300 lux for offices)
- install a manual switch to control the lights independently of the general area lighting
- Automated shadings to optimize the use of daylight and minimize solar heat gains
- Plug load controls to automatically turn receptacles off and on as needed

### Submissions

#### Certification Stage

- Evidence showing that the energy control solutions are installed such as photographs, invoices, etc.

## E-BPC-2 Water Heating

### Intent

To reduce the energy consumption of domestic water heating.

### Requirements

Criteria	1 point
Use solar water heating and/or heat pump water heating systems to produce the domestic hot water	1

### Approach & Implementation

Select a properly sized solar water heating system and/or a properly sized heat pump water heating system.

For heat pump water heating systems, equipment should have a COP value higher than QCVN 09:2017/BXD requirements (Table E.3). As the conditions of air temperature and relative humidity are not indicated in QCVN 09:2017/BXD, use COP values calculated at conditions which are the most representative of winter conditions at the location of the project.

**Table E.3:** Minimum COP requirements for Heat pump water heaters  
(Source: QCVN 09:2017/BXD Table 2.8)

Equipment Type	Minimum COP
Air-heated heat pumps	3
Water-heated heat pumps	3.5
Heat recovery air conditioners	
Hot water supply only	3
Air conditioning and hot water supply	3.5

### Submissions

Certification Stage
For solar water heating systems:
<ul style="list-style-type: none"> <li>Evidence of the solar water heater installed such as photographs, invoices, receipts, etc.</li> </ul>
For heat pump water heating systems:
<ul style="list-style-type: none"> <li>Technical data of the heat pump water heating systems installed showing the COP values</li> <li>Evidence of the heat pump water heating system installed such as photographs, invoices, receipts, etc.</li> </ul>

## H-BPC-1 Indoor Air Quality

### Intent

To reduce the potential for indoor air quality problems resulting from the construction and maintain a good indoor air quality during occupancy.

### Requirements

Criteria	2 Points
Strategy A: Pre-occupancy removal of pollutants	
Perform a flush-out procedure prior to occupancy - OR - Ensure that all the air supply ductworks have been cleaned prior to occupancy	1
Strategy B: CO <sub>2</sub> monitoring	
Install a CO <sub>2</sub> monitoring system	1
Strategy C: Air filtration	
Install air filters on fresh air intakes	1

### Approach & Implementation

#### Strategy A: Pre-occupancy removal of pollutants

The project should either perform a flush-out procedure prior to occupancy, or ensure that all the air supply ductworks have been cleaned prior to occupancy.

#### Flush-out procedure:

The flush-out procedure that should be performed is based on ASHRAE 189.1 section 10.3.1.4 Indoor Air Quality (IAQ) Construction Management of Management.

A flush-out should be realized in all the occupied spaces of the interior project by supplying a minimum volume of fresh air in total air changes (TAC) prior to occupancy. The TAC value is calculated as the minimum fresh air supply rate (c.f. credit H-1) during a period of 14 days, refer to the formula in the Calculation section for more details.

To meet LOTUS requirements, project should comply with one of the two following options:

- Continuous Post-construction, Pre-occupancy Flush-out  
The flush-out shall be continuous with a minimum fresh air supply rate no less than the design minimum (as calculated in credit H-1). The TAC required shall be supplied prior to occupancy.

- Continuous Post-construction, Pre-occupancy/Post-occupancy Flush-out  
When occupancy is desired prior completion of the TAC, it is allowed for a space to be occupied after half of the TAC have been supplied to the space. Then, the space shall have a minimum fresh air supply rate of 1.5 L/s per m<sup>2</sup> or the minimum design rate calculated in the H-1 credit, whichever is greater. These conditions shall be maintained until the TAC required have been supplied to the space. The flush-out shall be continuous.

#### Clean air supply ductwork:

All accessible supply air ductwork has to be cleaned to remove dust, dirt and mould prior to occupancy.

For a new building, the operational test and the cleaning of HVAC system should not be carried out until all construction work that produces dust ambient air has been completed.

This option is not applicable for projects that are not served by supply air ductwork.

#### Strategy B: CO<sub>2</sub> monitoring

One of the two following techniques should be applied to high density occupied areas (at least 1 person for 3 m<sup>2</sup>) of buildings to meet the requirements:

- Install permanent CO<sub>2</sub> sensors integrated with building automation systems to ensure continuous adjustments of the fresh air supply
- Monitor CO<sub>2</sub> concentrations and manually amend the operation schedules of ventilation systems accordingly. Configure all monitoring systems to generate an alarm when the CO<sub>2</sub> concentration gets higher than a CO<sub>2max</sub> concentration set for each space. The alarm should be able to alert either the building operator through building automation system or the building occupants through visible or audible alerts. The CO<sub>2max</sub> concentration, at which fresh air supply must be increased, shall be set at 1000 ppm or appropriately calculated for each different high density occupied area. Designers can refer to the Appendix A CO<sub>2</sub>-Based Demand Controlled Ventilation of ASHRAE 62.1 User's Manual (2007 or 2010) for more details.

For both techniques, CO<sub>2</sub> sensors should be installed in a sufficient number and located between 1 and 2 meters above the finished floor (breathing zone). When monitoring large open spaces with largely uniform concentration levels, it is also acceptable to mount sensors in return air ducts.

In the case that hazardous gas risks (carbon monoxide, hydrogen sulphide, nitrogen dioxide etc.) are identified for a project, continuous monitoring systems to warn of dangerous conditions can be designed. Such a strategy may be eligible for an EP-2 credit.

### Strategy C: Air Filtration

This strategy can only be followed by projects with 95% of the total net occupied area meeting the requirements for mechanically ventilated spaces set in credit H-1.

Install air filters on all the fresh air intakes to ensure that clean air is brought in the building.

Air filters are generally classified under:

- MERV (Minimum efficiency reporting value) rating, which is a measurement scale designed by ASHRAE to rate the effectiveness of air filters from MERV 1 to MERV 16; or
- Classes from European standards (EN 779 and EN 1822) with G1-G4 for coarse filters, M-5-F9 for medium and fine filters and E10 to U17 for HEPA and ULPA filters.

Projects located in Hanoi (with an annual average PM<sub>2.5</sub> concentration of 42.6 µg/m<sup>3</sup> in 2017 according to AirNow DOS) should install an air filtration level of MERV 16, F9 (EN 779) or E10 (EN 1822).

Projects located in Ho Chi Minh City (with an annual average PM<sub>2.5</sub> concentration of 29.4 µg/m<sup>3</sup> in 2017 according to AirNow DOS) should install an air filtration level of MERV 14 or F8.

These represent the minimum air filtration levels necessary to bring the entering concentrations down to 10 µg/m<sup>3</sup> (WHO air quality guidelines).

Projects located in industrial zones with coal power plants, in areas with a large number of brick kilns and inside a city other than Hanoi and Ho Chi Minh City should install an air filtration level of MERV 12 or F6.

For all other locations in Vietnam, an air filtration level of MERV 6 or G3 should be installed.

Also, it is advised that supply air filters are equipped with on-board pressure sensors or filter change indicator that signal when filter requires replacement.

### Calculation

#### Strategy A: Pre-occupancy removal of pollutants

For the flush-out procedure, the total air changes (TAC) value shall be calculated for all spaces with the following formula:

$$TAC = V \times 1m^3/1000 L \times 1/A \times 1/H \times 3600 s/h \times 24h/day \times 14 days$$

With:

V= minimum fresh air supply rate of the space as determined in credit H-1 (L/s)

A= floor area (m<sup>2</sup>)

H= ceiling height (m)

## Submissions

Certification Stage
Strategy A: Pre-occupancy removal of pollutants
If a flush-out procedure was implemented: <ul style="list-style-type: none"><li>• Flush-out report including a description of the flush-out procedure implemented and a logbook with date, outdoor delivery rates, flushing duration, internal temperature, humidity.</li><li>• Evidence that the flush-out was implemented such as photographs, etc.</li></ul>
If air supply ductworks have been cleaned: <ul style="list-style-type: none"><li>• Evidence that dust, dirt and mold have been removed from all accessible supply air ductwork prior to occupancy such as cleaning contract, photographs, etc.</li></ul>
Strategy B: CO <sub>2</sub> monitoring
<ul style="list-style-type: none"><li>• Extract of an operation and maintenance manual indicating the procedures for operation, adjustment and maintenance of the CO<sub>2</sub> monitoring system</li><li>• Evidence of the CO<sub>2</sub> monitoring system equipment installed, such as photographs, invoices, receipts, commissioning report, etc.</li></ul>
Strategy C: Air Filtration
<ul style="list-style-type: none"><li>• Manufacturer's published data showing the air filtration level of the supply air filters installed</li><li>• Evidence of the supply air filters installed, such as photographs, invoices, receipts, commissioning report, etc.</li></ul>

## H-BPC-2 Lighting Comfort

### Intent

To encourage the provision of high-quality lighting that provides good comfort to occupants.

### Requirements

Criteria	1 point
95% of the occupied spaces meet recommended illuminance levels	1

### Approach & Implementation

Ensure sufficient light levels in the spaces by meeting recommendations on illuminance in Table H.3 below.

**Table H.3: Minimum required illuminance levels**  
(Adapted from Table 12 of the Guidelines for Building Energy Code QCVN 09:2013/BXD)

Lighting purpose	Minimum lux level	Application
Lighting for rooms and common areas used infrequently and/or performing simple observation tasks	20	Minimum illuminance outside of pathway, outdoor shops, yard
	50	Outdoor pathway or yard
	70	Boiler house
	100	Transformer station, boiler area...
	150	Pathway inside industrial factories, shops, storage.
Normal indoor lighting	200	Minimum illuminance to perform work
	300	Average precision level work, general process in chemical industry and food processing, reading
	450	Checking work, drawing room, detailed part assembly, high precision of drawing work and color required
	1500	High precision machinery operating work, electronic part assembly and small tools required high precision level, meter and check complicated parts (task light can be used)
High precision level tasks	3000	Working with detailed, precision and particular e.g. small items or parts

### Submissions

Certification Stage
<ul style="list-style-type: none"> <li>• Technical data of the lighting fixtures showing lumen output</li> <li>• Evidence showing that the lighting fixtures were installed such as photographs, electrical drawings, invoices, etc.</li> </ul>

## H-BPC-3 Acoustic Comfort

### Intent

To provide a comfortable acoustic environment for occupants.

### Requirements

Criteria	1 Point
Option A: Internal Noise Levels	
Spaces of the project comply with the requirements of TCXDVN 175:2005 - Maximum Permitted Noise Levels for Public Buildings – Design Standard	1
Option B: Reverberation Time	
Average reverberation time ( $T_{60}$ ) in the spaces of the project meet requirements of the Performance Measurement Protocols for Commercial Buildings	1

### Approach & Implementation

#### Option A: Internal noise levels

TCXDVN 175-2005 and related standards outline many strategies which can be applied to reduce noise levels. Reduction of noise inside and outside of the building should include but not be limited to the following strategies:

- Use wall, window and roof materials which provide good acoustic insulation properties
- Install wall and roof insulation with good acoustic insulation properties
- Locate noise-sensitive areas away from noise-producing areas
- Place acoustic buffers, such as corridors, lobbies, stairwells, electrical/janitorial closets and storage rooms, between noise-producing and noise-sensitive spaces
- Proper slab construction between floors
- Screens to reduce the impact of noise from external sources
- Consider acoustic properties when selecting surface finishes
- Avoid locating outside air intakes or exhaust-air-discharge openings near windows, doors, or vents where noise can re-enter the building
- Wrapping or enclosing rectangular ducts with insulation materials and use sound attenuators and acoustic plenums to reduce noise in ductwork

The maximum allowable noise level is the maximum noise level in the room that must not be exceeded, in order to ensure acoustic comfort suitable for the activity in the room.



Maximum allowable noise levels are specified in two ways depending on the acoustic quality requirements of rooms. Table H.4 reflects the specifications applicable to spaces that do not require high acoustic quality. More information and guidance can be found within the standard for spaces that require high acoustic quality.

**Table H.4:** Maximum allowable noise level for public buildings (Source: Extract from Table 2 - TCXDVN 175-2005 - Maximum permitted noise levels for public buildings – Design standard)

Space type	Time (hr)	Maximum noise level (dB,A)
<b>EDUCATION FACILITIES</b>		
1- Kindergarten, nursery, boarding primary schools		
Bed rooms in kindergarten, boarding primary schools	6 - 22	45
	22 - 6	35
Class room	-	50
Playground (outside)	-	55
Areas around schools (outside)	-	60
2- Secondary or tertiary schools, universities, colleges, vocational schools		
Conference hall	-	45
lecture hall, class rooms	-	50
Labs	-	50
Offices in schools	-	50
Staff rest rooms	-	55
<b>OFFICES</b>		
3- Office buildings, Design and Research facilities		
Working spaces, with office equipment, computers	-	50
Reception rooms	-	50
4 - Court		
Court room	-	45
Working spaces	-	50
<b>COMMERCIAL &amp; SERVICE FACILITIES</b>		
5 - Shops, malls, supermarkets	-	60
6 - Restaurants, beverage shops	-	55
7 - Public service centers: laundry, clothes tailor, equipment and electronics repair, hairdress, bath	-	60
8 - Central market (with or without roofs)	-	60

Internal noise levels should be measured in accordance with TCVN 5964 - 1995: Description and measurement of environmental noise.

## Option B: Reverberation Time

Average reverberation time ( $T_{60}$ ) in the spaces of the project must meet values in Table H.5.

A reverberation is the overall effect of reflected sound and the time required for reflected sound to become inaudible. The reverberation time ( $T_{60}$ ) measures the reflectivity of a room and consequently a room's absorbance to sound waves. The higher the reflectivity of a room, the longer the reverberation time will be. The reverberation time is proportional to the volume of the space, and inversely proportional to the amount of sound absorbing material within the space.

The reflectivity is dependent on the following factors:

- Geometry
- Room fittings
- Nature of sound source

**Table H.5:** Reverberation time requirements.

*Source: Adapted from ASHRAE (2007d), ASA (2008), ANSI (2002), and CEN (2007)*

Space type	Application	$T_{60}$ (sec)
Apartment and condominium	-	< 0.6
Hotel/motel	Individual room or suite	< 0.6
	Meeting or banquet room	< 0.8
Office building	Executive or private office	< 0.6
	Conference or Teleconference room	< 0.6
	Open-plan office	< 0.8
Hospital & Clinic	Private rooms	< 0.6
	Wards	< 0.6
Courtroom	Unamplified speech	< 0.7
	Amplified speech	< 1.0
Performing arts space	Drama theaters, concert and recital halls	Varies by application
Laboratories	Testing or research with minimal speech communication	< 1.0
	Extensive phone use and speech communication	< 0.6
Library	-	< 1.0
Indoor stadium, gymnasium	Gymnasium and natatorium	< 2.0
	Large-capacity space with speech amplification	< 1.5
School	Classroom	< 0.6
	Large lecture room with speech amplification	< 0.7
	Large lecture room without speech amplification	< 1.0

## Calculation

### Option B: Reverberation Time

Reverberation time values can be found through measurements in accordance with ISO 3382 Acoustics – Measurement of the reverberation time for rooms with reference to other acoustical parameters.

Also, reverberation time can be calculated theoretically using a modelling software that includes an acoustic component or with a calculator.

## Submissions

Certification Stage
Option A: Internal Noise Levels
<ul style="list-style-type: none"><li>Noise levels measurements showing compliance with TCXDVN 175:2005</li></ul>
Option B: Reverberation Time
<ul style="list-style-type: none"><li>Results of the calculations of the reverberation time</li><li>Evidence showing that the materials used in the calculations were installed such as photographs, invoices, etc.</li></ul>

## H-BPC-4 Post-occupancy Comfort

### Intent

To ensure comfort for occupants during operation.

### Requirements

Criteria	1 Point
Conduct a post-occupancy comfort survey of building occupants within 3 to 6 months after occupancy - AND - Develop a corrective action plan based on the responses	1

### Approach & Implementation

Conduct a post-occupancy comfort survey of building occupants within 3 to 6 months after occupancy. This survey should use Table H.6 as a template and collect anonymous responses about thermal comfort, air quality, visual comfort and acoustic comfort in the interior spaces. Responses should be collected from a significant and representative sample of occupants.

Table H.6: Post-occupancy survey table

Comfort Category	1 Very Bad	2 Bad	3 Satisfactory	4 Good	5 Excellent
Thermal Comfort					
Air Temperature					
Humidity					
Air speed					
Air quality					
Air odour					
Visual Comfort					
Natural light					
Artificial light					
Acoustic Comfort					
Exterior noise					
Interior noise					
Overall Comfort					
Overall comfort					

Occupants' average overall satisfaction score should be calculated as the average score given by the occupants in the survey for all the comfort categories.

If the survey results indicate an average score of less than 3 out of 5 for any of the comfort categories, a corrective action plan should be developed and implemented.

This plan should propose corrective actions to solve the problems identified and improve the indoor environmental conditions of the occupants.

Also, the plan should include measurement of relevant environmental variables in line with the problems identified such as:

- Temperature, relative humidity, air speed and mean radiant temperature,
- Lighting level and glare problem,
- Background noise level,
- Odor problem, CO2 level, VOCs, and particulate concentration.

## Submissions

### Certification Stage

- Results of the post-occupancy comfort survey
- Evidence that the measurements and corrective actions have been undertaken

## SE-BPC-1 Facilities and amenities for occupants

### Intent

To provide access to facilities and amenities for the occupants and increase their well-being, satisfaction and enjoyment.

### Requirements

Criteria	1 Point
Provide an access to at least 3 facilities/amenities for the project occupants	1

### Approach & Implementation

Project occupants should have access to facilities and amenities such as the following (non-exhaustive list):

- Recreational facilities (e.g. sleeping/rest room, fitness room, library, etc.)
- Nursery provisions / childcare rooms
- Medical room with First-Aid-Kit Facility
- Garden with seats (can be a sky garden or a roof garden)
- Fully equipped pantries
- Dry-cleaning facilities

Facilities and amenities may be located in the project space or in the base building.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Photographs showing the facilities and amenities</li></ul>
For facilities and amenities located in the project space:
<ul style="list-style-type: none"><li>• Floor plans showing the location of facilities and amenities</li></ul>
For facilities and amenities located in the base building:
<ul style="list-style-type: none"><li>• Evidence showing that the facilities and amenities are accessible to all the occupants of the project space such as lease agreement, letter of conformation from the owner of the base building, etc.</li></ul>

## Man-BPC-1 LOTUS AP

### Intent

To encourage the involvement of a LOTUS AP to manage the sustainable aspects of the project through the design process and maintained during the construction stage.

### Requirements

Criteria	1 Point
Involve a LOTUS AP as a green consultant of the project team	1

### Approach & Implementation

A qualified individual, internal or external, is appointed with direct responsibility to ensure that all sustainable aspects of the project are met and best practice is achieved throughout the project lifecycle. This individual will be known as a 'green consultant' and should be a LOTUS Accredited Professional (LOTUS AP) who has successfully passed the LOTUS AP Exam provided by the VGBC. The primary objective of the LOTUS AP is to ensure that reliable analysis tools are introduced early into the design process to enable integrated design decisions.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Evidence showing the involvement of a LOTUS AP until completion of fit-out</li><li>• Copy of the LOTUS AP certificate</li></ul>

## Man-BPC-2 On-going Commissioning

### Intent

To ensure all the building's equipment is installed, calibrated and performing up to the design intent.

### Requirements

Criteria	1 Point
Prepare an on-going commissioning plan	1

### Approach & Implementation

Prepare an on-going commissioning plan ensuring that the following steps will be performed as a minimum (from 6 to 18 months of occupation):

- Fine-tuning of all the commissioned systems is undertaken. All these fine-tuning activities should be clearly documented and signed by the responsible person.
- Conducting measurement and physical monitoring of several physical systems such as light levels, noise levels, CO<sub>2</sub> levels, air flow rates and energy & water consumption. Methods and results of the analysis must be clearly noted down.
- Carrying out interviews and/or surveys with all concerned parties (such as project manager, contractors, architects, engineers, technicians) and occupants regarding the building performance and end-user satisfaction after commissioning.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Signed letter of intent from the tenant that the on-going commissioning plan will be followed</li></ul>



## Man-BPC-3 Independent Commissioning

### Intent

To ensure all the building's equipment is installed, calibrated and performing up to the design intent.

### Requirements

Criteria	1 Point
Hire a qualified and independent commissioning agent to supervise the commissioning activities	1

### Approach & Implementation

This best practice credit can be pursued only if one point is achieved in Man-2 credit.

To comply with the credit, all the following requirements must be met by the project:

- owner's project requirements (OPR) and basis of design (BOD) must be produced and handed to the commissioning agent
- the commissioning agent must be independent of any consultant, contractor or sub-contractor that has been involved in the installation of the commissioned systems
- the commissioning agent must be a registered professional engineer or qualified technician with demonstrated knowledge on nominated systems commissioning, and has previous experience with the commissioning process of projects similar in scope.

### Submissions

Certification Stage
<ul style="list-style-type: none"><li>• Copy of the resume of the commissioning agent</li><li>• Copies of the OPR and the BOD</li><li>• Evidence showing the involvement of the commissioning agent such as photographs, contract, etc.</li></ul>

# Appendix B: Performance paths

## E-1 Space Cooling

### Intent

To reduce the need for cooling by increasing natural air flow and to encourage the installation of energy efficient HVAC systems.

### Requirements

Criteria	6 points
Strategy A: Natural Ventilation	
25% of occupied areas are effectively naturally ventilated	1
1 point for every additional 25% of occupied areas that are naturally ventilated	4
Strategy B: Efficiency of HVAC equipment	
In comparison to QCVN 09:2017/BXD requirements, 1 point is awarded for every: 20% improvement of CSPF for non-ducted air-conditioners -AND- 10% improvement of COP for other types of direct expansion air-conditioners -AND- 5% improvement of COP for chillers with cooling capacity under 1055 kW -AND- 3% improvement of COP for chillers with cooling capacity above 1055 kW	4

### Approach & Implementation

For this credit, HVAC systems installed and managed by the base building can be used for compliance as long as they serve the project space.

#### Strategy A: Natural Ventilation

Select an interior space with a good potential for natural ventilation and properly design the space layout. Technologies and strategies which promote natural ventilation include:

- Proper building layout and orientation which utilizes prevailing wind conditions to achieve adequate flow of outside air
- Computational Fluid Dynamic (CFD) modelling to identify airflows and to increase the efficiency of the layout to promote natural ventilation
- Properly located windows and ventilation openings to ensure natural airflows do not produce uncomfortable drafts or stagnant areas
- Means of induced natural airflow (trickle vents, wing walls, or a thermal chimney)

## Strategy B: Efficiency of HVAC equipment

Select and install HVAC equipment whose CSPF (Cooling Seasonal Performance Factor) or COP (Coefficient of performance) values meet and surpass the minimum requirements of Tables E.4, E.5 and E.6 from QCVN 09:2017/BXD and ASHRAE 90.1-2013.

Note: VRV/VRF systems should be considered as air-cooled air-conditioners and their efficiency should be compared to values in Table E.5.

**Table E.4:** Minimum CSPF requirements for non-ducted air conditioners with capacity < 12 kW  
(Adapted from QCVN 09:2017/BXD Table 2.3)

Equipment Type	Capacity	Minimum CSPF	Test procedures
Unitary air-conditioner	< 12 kW	2.80	TCVN 6576:2013 TCVN 7830:2015 and TCVN 10273-1:2013
Split air-conditioner	< 4.5 kW	3.10	
	≥ 4.5 kW and < 7.0 kW	3.00	
	≥ 7.0 kW and < 12.0 kW	2.80	

**Table E.5:** Minimum COP requirements for other types of direct expansion air-conditioners  
(Adapted from QCVN 09:2017/BXD Table 2.3 and ASHRAE 90.1-2013 Table 6.8.1-1)

Equipment Type	Capacity	Minimum COP	Test procedures
Air conditioners, air cooled	< 19 kW	3.81 SCOP <sub>c</sub>	TCVN 6307:1997 or AHRI 210/240
	≥ 19 kW and < 40 kW	3.28	AHRI 340/360
	≥ 40 kW and < 70 kW	3.22	
	≥ 70 kW and < 223 kW	2.93	
	≥ 223 kW	2.84	
Air conditioners, water cooled	< 19 kW	3.54	AHRI 210/240
	≥ 19 kW and < 40 kW	3.54	AHRI 340/360
	≥ 40 kW and < 70 kW	3.66	
	≥ 70 kW and < 223 kW	3.63	
	≥ 223 kW	3.57	
Air conditioners, evaporatively cooled	< 19 kW	3.54	AHRI 210/240
	≥ 19 kW and < 40 kW	3.54	AHRI 340/360
	≥ 40 kW and < 70 kW	3.51	
	≥ 70 kW and < 223 kW	3.48	
	≥ 223 kW	3.43	
Condensing Units, Air-Cooled	≥ 40 kW	3.07	AHRI 365
Condensing Units, Water or evaporatively cooled	≥ 40 kW	3.95	

**Table E.6: Minimum COP Requirements for chillers**  
 (Adapted from QCVN 09:2017/BXD Table 2.4 and ASHRAE 90.1-2013 Table 6.8.1-3)

Equipment Type	Capacity	Minimum COP	Test procedures
Air-cooled, with condenser, electrically operated	All Capacities	2.80	AHRI 550/590
Air-cooled, without condenser, electrically operated	Air-cooled chillers without condenser must be rated with matching condensers and comply with air-cooled chiller COP requirements		
Water cooled, electrically operated, positive displacement (rotary screw, scroll and reciprocating)	< 264 kW	4.51	AHRI 550/590
	≥ 264 and < 528 kW	4.53	
	≥ 528 kW and < 1055 kW	5.17	
	≥ 1055 kW	5.67	
Water Cooled, Electrically Operated, Centrifugal	< 1055 kW	5.55	
	≥1055 kW and < 2110 kW	6.11	
	≥ 2110 kW	6.17	

## Calculations

### Strategy A: Natural Ventilation

Only occupied spaces are to be included and must be applied consistently across all calculations for this credit.

For locations where the average maximum temperature during the hottest month is below 30°C (Sapa, Đà Lạt, Tam Đảo), a space shall be considered naturally ventilated where the total operable opening area is no less than 4% of the floor area.

For locations where the hottest month average maximum temperature is above 30°C, a space shall be considered to be naturally ventilated if it meets the following specifications (based on QCVN 09:2005 requirements):

- Ventilation inlet: Inlet openings shall be placed on the windward side of the building. The total operable area of openings to the outside (inlet area) shall be no less than 5% of the floor area. These openings shall be readily accessible to occupants. The operable area of the openings should be calculated in accordance with the definition in the Glossary of Technical Terms.
- Ventilation outlet: Outlet openings shall be placed on the leeward side of the building. The total operable area of openings through the ceiling or the opposite wall from the ventilation inlet (outlet area) shall be no less than the inlet area.
- There should be a direct and unobstructed route between the windward inlet and leeward outlet openings (direct path to the outside).

- All area within any naturally-ventilated space shall be within 8 meters of (and permanently open to) an operable wall or roof opening.
- Plan depth of the occupied space shall not be greater than 15m
- Outlet openings shall be located not lower than inlet openings

The windward side of the building is determined based on the prevailing wind direction during the hottest period of the year.

The prevailing wind is the wind that blows the most frequently during this period. Information on the frequency of wind direction of the proposed site location can be found in table 2.16 of QCVN 02:2009/BXD - Vietnam Building Code Natural Physical & Climatic Data for Construction or can come from meteorological data.

The windward side of the building should not necessarily be located perpendicularly to the prevailing wind direction, oblique angles are acceptable. It is also possible to use architectural features to steer the wind such as casement windows, wing walls, fences, or even strategically-planted vegetation.

Mixed-mode ventilated spaces also have to follow these requirements but in order to be considered as naturally ventilated spaces, projects should provide information on the type of mixed-mode system used and how it is implemented in order to reduce energy consumption for HVAC.

The total naturally ventilated occupied area of the building is calculated using the following formula:

$$\text{Naturally Ventilated Occupied Area [\%]} = \frac{\sum \text{Area of Naturally Ventilated Spaces}}{\text{Total Net Occupied Area}} \times 100$$

### Strategy B: Efficiency of HVAC equipment

All air-conditioning units and water chilling packages serving the interior space should be included in calculations. Cooling capacity and CSPF/COP values should be calculated using the rating conditions in accordance with the test procedures listed in tables E.4, E.5 and E.6.

For VRV/VRF systems, projects should calculate COP by hand by dividing the rated cooling capacity of the outdoor unit (cooling capacity at standard rating conditions under AHRI 340/360 or, if not available, at the following conditions: indoor air at 27 °Cdb / 19 °Cwb and outdoor air at 35 °Cdb) of the systems by the total electric power input including the power of the outdoor unit and the power of the fans in the indoor units.

The calculation of HVAC efficiencies improvement should be calculated based on capacity-weighted average CSPF/COP values using the following formulas:

$$\text{CSPF Improvement [\%]} = \left( \frac{\sum_d (P_d \times Y_d)}{\sum_d (P_d \times Y_{Ed})} - 1 \right) \times 100$$

$P_d$  = Capacity of the non-ducted air-conditioning unit d

$Y_d$  = CSPF of the non-ducted air-conditioning unit d

$Y_{Ed}$  = minimum CSPF for a unit of the same type and capacity as the unit d (c.f. Table E.4)

$$\text{Direct expansion AC COP Improvement [\%]} = \left( \frac{\sum_i (P_i \times Y_i)}{\sum_i (P_i \times Y_{Ei})} - 1 \right) \times 100$$

$P_i$  = Capacity of the direct expansion air-conditioning unit i

$Y_i$  = COP or SCOP of the direct expansion air-conditioning unit i

$Y_{Ei}$  = minimum COP or SCOP for a unit of the same type and capacity as the unit i (c.f. Table E.5)

$$\text{Chiller COP Improvement [\%]} = \left( \frac{\sum_c (P_c \times Y_c)}{\sum_c (P_c \times Y_{Ec})} - 1 \right) \times 100$$

$P_c$  = Capacity of the chiller unit c

$Y_c$  = COP of the chiller unit c

$Y_{Ec}$  = minimum COP for a unit of the same type and capacity as the unit c (c.f. Table E.6)

Points in the performance path are calculated according to Table E.7.

**Table E.7:** Efficiency improvement required for points in Performance Path

Equipment Type	1 point	2 points	3 points	4 points
Non-ducted air conditioners with cooling capacity < 12 kW	20%	40%	60%	80%
Other direct expansion air-conditioners	10%	20%	30%	40%
Chillers with cooling capacity < 1055 kW	5%	10%	15%	20%
Chillers with cooling capacity ≥ 1055 kW	3%	6%	9%	12%

## Submissions

Certification Stage
Strategy A: Natural Ventilation
<ul style="list-style-type: none"> <li>Plans, elevations and sections marking all operable wall and roof openings</li> <li>Window schedule indicating the number, location and size of all operable wall and roof openings</li> </ul>
Strategy B: Efficiency of HVAC equipment
<ul style="list-style-type: none"> <li>Schedule of all the HVAC equipment installed indicating CSPF/COP values</li> <li>Schematic drawings of the HVAC system indicating location of all the equipment</li> <li>Manufacturer's data of the HVAC equipment installed indicating CSPF/COP values</li> </ul>

## W-1 Water Efficient Fixtures

### Intent

To reduce the consumption of water in buildings by means of water efficient fixtures

### Requirements

#### Option A: Projects with provision of bathroom water fixtures

To follow Option A, a project needs to install within the tenant spaces at least the following fixtures: water closets and bathroom taps.

Criteria	5 Points
Strategy A1: Space Water Use	
Reduce domestic water consumption through fixtures by 20% in comparison to a baseline model	1
1 point for every additional 5% reduction of the building domestic water consumption through fixtures (Up to 40%)	5

### Approach & Implementation

#### Strategy A1: Space Water Use

The following strategies can be used to reduce the water demand within a building:

- Dual flush low flow WCs
- Low flow or waterless urinals
- Low flow aerated shower heads
- Low flow aerated kitchen and bathroom taps
- Standard low flow (not aerated) shower heads, kitchen and bathroom taps

### Calculation

#### Strategy A1: Space Water Use

This calculation aims to compare the project's water consumption through fixtures to a baseline model. The baseline annual water use should be calculated using values in Tables W.2 to W.6.

If the project doesn't feature all the fixtures necessary to meet the needs of the occupants, include the water fixtures of the base building associated with the tenancy in the calculation.

**Table W.2:** Baseline daily fixture uses for office, hospitals & factory buildings  
(Source: *Default Fixture Uses, LEED Reference Guide for Green Building and Construction, 2009*)

Fixture	Daily Fixture Uses Per Occupant		Duration of Use (flow fixtures)
	Full Time Occupants	Visitors	
WC - Single Flush (female)	3	0.5	-
WC - Dual flush (female)	1 full-flush / 2 half-flushes	0.1 full-flush / 0.4 half-flush	
WC - Single Flush (male)	1	0.1	-
WC - Dual flush (male)	1 full-flush	0.1 full-flush	
Urinal (male)	2	0.4	-
Lavatory Faucet	3	0.5	15 sec; 12 sec with auto-control
Shower	0.1	0	300 sec
Kitchen Sink	1	0	15 sec

**Table W.3:** Baseline daily fixture uses for residential & hotel buildings  
(Source: *Default Fixture Uses, LEED Reference Guide for Green Building and Construction, 2009*)

Fixture	Daily Fixture Uses Per Occupant			Duration of Use (flow fixtures)
	Residents / Hotel Guests	Full Time Occupants	Visitors	
WC - Single Flush (female)	4	3	0.5	-
WC - Dual flush (female)	1 full-flush / 3 half-flushes	1 full-flush / 2 half-flushes	0.1 full-flush / 0.4 half-flush	
WC - Single Flush (male)	4	1	0.1	-
WC - Dual flush (male)	1 full-flush / 3 half-flushes	1 full-flush	0.1 full-flush	
Urinal (male)	0	2	0.4	-
Lavatory Faucet	7	3	0.5	Residents: 60 sec. Others: 15 sec or 12 sec with auto- control
Shower	1	0.1	0	Residents: 480 sec. Others: 300 sec
Kitchen Sink	4	1	0	Residents: 60 sec. Others: 15 sec
Clothes washer	1 / living unit	0	0	



**Table W.4:** Baseline daily fixture uses for educational buildings

(Source: *Default Fixture Uses, LEED Reference Guide for Green Building and Construction, 2009*)

Fixtures	Daily Fixture Uses Per Occupant				Duration of Use (flow fixtures)
	Students (kindergarten and primary education)	Students (secondary & post/secondary education)	Full Time Occupants	Visitors	
WC - Single Flush (female)	3	1.5	3	0.5	-
WC - Dual flush (female)	1 full-flush / 2 half-flushes	0.5 full-flush / 1 half-flush	1 full-flush / 2 half-flushes	0.1 full-flush / 0.4 half-flush	
WC - Single Flush (male)	1	0.5	1	0.1	-
WC - Dual flush (male)	1 full-flush	0.5 full-flush	1 full-flush	0.1 full-flush	
Urinal (male)	2	1	2	0.4	-
Lavatory Faucet	3	1.5	3	0.5	15 sec; 12 sec with auto-control
Shower	0	0	0.1	0	300 sec
Kitchen Sink	0	0	1	0	15 sec

**Table W.5:** Baseline daily fixture uses for retail buildings

(Source: *Default Fixture Uses, LEED Reference Guide for Green Building and Construction, 2009*)

Fixture	Daily Fixture Uses Per Occupant			Duration of Use (flow fixtures)
	Retail Customers	Full Time Occupants	Visitors	
WC - Single Flush (female)	0.2	3	0.5	-
WC - Dual flush (female)	0.1 full-flush / 0.1 half-flush	1 full-flush / 2 half-flushes	0.1 full-flush / 0.4 half-flush	
WC - Single Flush (male)	0.1	1	0.1	-
WC - Dual flush (male)	0.1 full-flush	1 full-flush	0.1 full-flush	
Urinal (male)	0.1	2	0.4	-
Lavatory Faucet	0.2	3	0.5	15 sec; 12 sec with auto-control
Shower	0	0.1	0	300 sec
Kitchen Sink	0	1	0	15 sec

Table W.6: Baseline fixtures water use  
(Source: UPC and IPC Standards)

Fixture	Fixtures Water Use
WC (single/dual flush)	6.0 L per flush (Lpf)
Urinal (flush)	3.79 Lpf
Faucet (conventional)	0.14 L/s
Showerheads	0.16 L/s
Kitchen faucet	0.14 L/s
Clothes washer	120 L/load

The following assumptions should be made when making the calculations of both baseline and design water uses:

- The gender ratio should be representative of the project occupancy, if this is not available, a ratio of one to one should be used
- The number of daily fixture uses and flow fixture use durations (in baseline case) should follow values in tables W.2 to W.5 according to the interior space type
- In case no urinals are available for the project occupants, daily uses values for WCs (female) shall be considered for the male occupants.
- Full-time occupants are employees/staff in the interior space and their number should be calculated based on a daily occupancy of 8 hours. Part-time occupants should be given an equivalent 'full-time occupants' value based on the number of hours they spend in the interior space per day divided by 8
- In interior spaces with multiple shifts, use the number of full-time occupants from all shifts.
- Outpatients in health care facilities and customers in cafes and restaurants should be considered as visitors. But, for retail buildings, the retail customers should be considered separately from other visitors
- Any occupants using the building for sleeping overnight (hotel guests, hospital inpatients, etc.) should be considered as residents.
- In case automatic faucets are installed in the building, the baseline water use should be calculated with a 15 seconds duration of use and the design water use should be calculated with a 12 seconds duration of use.
- Kitchen faucets used exclusively for filling operations should not be considered

Calculation of annual water consumption through fixtures:

$$\begin{aligned} & \text{Annual Water Consumption Through Fixtures [L/year]} \\ & = [\sum(F \times Q_{\text{flush}} \times n \times P) + \sum(F \times Q_{\text{flow}} \times t_{\text{flow}} \times n \times P)] \times 0 \end{aligned}$$

F = Proportion of fixtures

$$F = \frac{\text{Number of Fixtures with a Specific Flush/Flow Rate}}{\text{Total Number of Fixture of This Type}}$$

n = Number of daily uses per person per fixture type

P = Number of project occupants

Q<sub>flush</sub> = Water used per flush for each type of flush fixture [L]

Q<sub>flow</sub> = Flow rate per type of flow fixture [L/s]

t<sub>flow</sub> = Duration of use per type of flow fixture [s]

O = Number of operation days per year

$$\begin{aligned} & \text{Water Consumption Through Fixtures Reduction [\%]} \\ & = \left( 1 - \frac{\text{Annual Water Consumption Through Fixtures (Design Case)}}{\text{Annual Water Consumption Through Fixtures (Baseline Case)}} \right) \times 100 \end{aligned}$$

### Example of Calculation:

An interior space with an occupancy of 50 full-time occupants (gender ratio: 1 to 1) and operating 290 days/year is equipped with the water fixtures as shown in Table W.7.

**Table W.7:** Example calculation - building fixtures quantities and flow/flush rates

Fixtures in the interior space	Quantities of Fixtures	Fixtures Water Use
Urinal (flush)	3	3 Lpf
WC Dual flush (male)	15	3.0 - 4.5 Lpf
WC Single flush (male)	5	5 Lpf
WC Dual flush (female)	18	3.0 - 4.5 Lpf
WC Single flush (female)	6	5 Lpf
Faucet	20	0.12 L/s
Faucet with auto-control	5	0.12 L/s
Showerheads	1	0.15 L/s

**Table W.8:** Example calculation - daily water use through fixtures calculation for baseline case

Fixtures in the interior space	F	Q <sub>Flush/Flow</sub>	Number of Daily Uses (n)	Number of Occupants (P)	Daily Water Use Through Fixtures (L)
Urinal (flush)	1	3.79 Lpf	2	25	189.5
WC (male)	1	6 Lpf	1	25	150
WC (female)	1	6 Lpf	3	25	450
Faucet	20/25	0.14 L/s (15 sec)	3	50	252
Faucet with auto-control	5/25	0.14 L/s (12 sec)	3	50	50.4
Showerheads	1	0.16 L/s (300 sec)	0.1	50	240
Baseline total daily water use through fixtures					1,332
Baseline total annual water use through fixtures					386,251

Table W.9: Example calculation - daily water use through fixtures calculation for the design case

Fixtures present in the interior space	F	Q <sub>Flush/Flow</sub>	Number of Daily Uses (n)	Number of Occupants (P)	Daily Water use Through Fixtures (L)
Urinal (Flush)	1	3 Lpf	2	25	150
WC Dual flush (male)	15/20	4.5 Lpf	1	25	84
WC Single flush (male)	5/20	5 Lpf	1	25	31
WC Dual flush (female)	18/24	$(\frac{2}{3} \times 3 + \frac{1}{3} \times 4.5)$ Lpf	3	25	197
WC Single flush (female)	6/24	5 Lpf	3	25	93.8
Faucet	20/25	0.12 L/s (15 sec)	3	50	216
Faucet with auto-control	5/25	0.12 L/s (12 sec)	3	50	43
Showerheads	1	0.15 L/s (300 sec)	0.1	50	225
Total daily water use through fixtures					1,040
Design total annual water use through fixtures					301,745

$$\text{Water Consumption Through Fixtures Reduction [\%]} = \left(1 - \frac{301,745}{386,251}\right) \times 100 = 22\%$$

The interior space finally achieves a 22% reduction of the domestic water consumption through fixtures in comparison to a baseline model, so one point can be awarded.

## Requirements

Certification Stage
Strategy A1: Space Water Use
<ul style="list-style-type: none"> <li>For each water fixture installed, evidence showing the water usage of the fixture (flowrate or flush size) such as manufacturer's data or inventory report from a facility audit.</li> <li>Evidence that the water fixtures are installed such as photographs, receipts, inventory report from a facility audit, etc.</li> </ul>

## H-5 Daylighting

### Intent

To encourage building designs which maximize the use of daylight.

### Requirements

Criteria	2 Points
60% of all occupied spaces have an average daylight factor between 1.5% and 3.5%	1
80% of all occupied spaces have an average daylight factor between 1.5% and 3.5%	2

### Approach & Implementation

All occupied spaces should be considered in this credit, except auditoriums and conference rooms dedicated to video conferencing that are not compatible with the provision of daylight. Other space types not compatible with the provision of daylight may be exempted from the credit, but this shall be subject to VGBC approval.

Natural light promoting designs strategies include:

- Window arrangement
- Skylights
- Interior light shelves
- Open plan design

### Calculation

The prediction of daylight factor (DF) requires knowledge of the proposed building and its surroundings. DF must be calculated for all occupied spaces (spaces included in the net occupied area). In order to simplify calculations, spaces within a building which present same orientation and glazing distribution can be grouped, but this has to be justified.

Calculations for this credit can be done using a daylight modelling software or using a spreadsheet. Spreadsheet calculations are suitable for simple, rectilinear buildings. More complicated buildings, such as curved or faceted buildings, cannot be assessed with this methodology and should be assessed using daylight modelling software.

### Daylight modelling software

Use daylight factor outputs from a daylight modelling software to justify average daylight factor values in the occupied spaces. The design day used for daylight factor calculations should be on the 21<sup>st</sup> of September at 12:00pm.

### Spreadsheet calculations

The average DF for each occupied space is calculated as follows (methodology developed by the Building Research Establishment in the UK):

$$DF = \frac{A_g \times \alpha \times M \times t \times 100}{A_{total} * (1 - \rho^2)}$$

DF = Average Daylight Factor [%]

$A_g$  = Glazed area of windows in the zone studied (excluding frames or obstructions) [m<sup>2</sup>]

$A_{total}$  = Total internal surface area of the space [m<sup>2</sup>]

$\alpha$  = Angle of visible sky from the mid-point of the window [Rad]. Angle of visible sky is determined as per the below figure (Figure H.1).

M = Maintenance factor. This factor considers the dirt on the exterior surface of the glass and takes into account the location of the building, the use of the room and the slope of the glazing (Table H.7)

t = Visible light transmission (Values of Table H.8 can be used if manufacturer's data is not available)

$\rho$  = Average reflectance of surrounding room surfaces (recommended values in Table H.9 can be used)

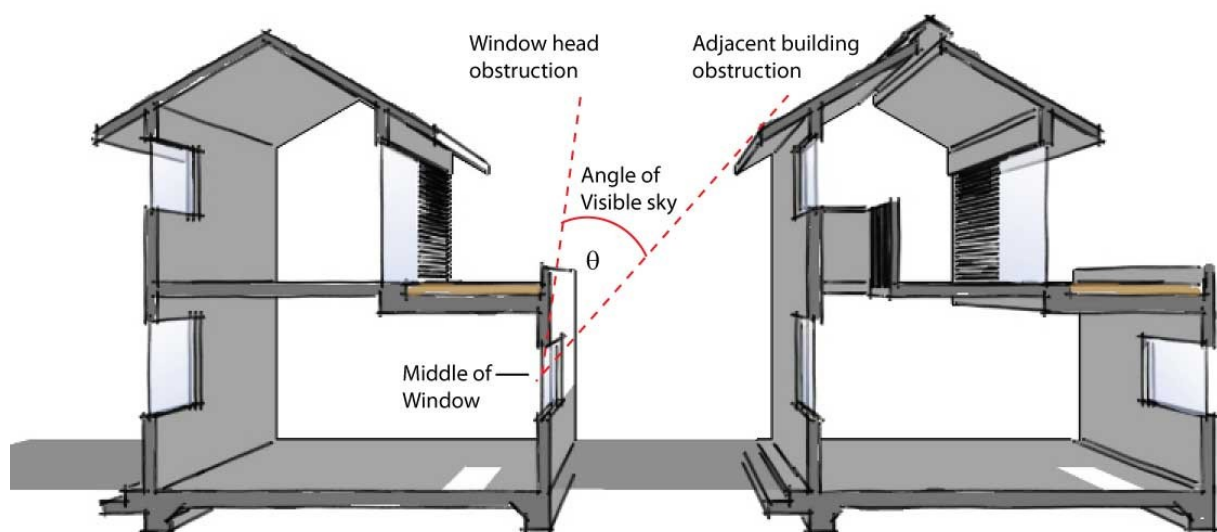


Figure H.1: Angle of visible sky from the mid-point of the window

**Table H.7: Maintenance factors**  
(Source: *Introduction to Architectural Science. Steven V. Szokolay*)

Location	Slope	Window Condition	
		Clean	Dirty
Non-Industrial Area	Vertical	0.9	0.8
	Sloping	0.8	0.7
	Horizontal	0.7	0.6
Dirty Industrial Area	Vertical	0.8	0.7
	Sloping	0.7	0.6
	Horizontal	0.6	0.5

**Table H.8: Visible light transmission**  
(Source: *Efficient Windows Collaborative*)

Glazing Type	Glazing Transmission	
	Glazing Type	Glazing Transmission
Single-Glazed	Clear	0.90
	Tinted	0.68
	Reflective	0.27
Double-Glazed	Clear	0.81
	Tinted	0.62
	Reflective	0.10
	High-solar-gain low-E	0.75
	Low-solar-gain low-E	0.64

**Table H.9: Recommended average reflectance for ceiling, walls and floor**  
(Source: *CIBSE Guide F Energy Efficiency in Buildings*)

Room Surface	Recommended Reflectance
Ceiling	0.7
Walls	0.5
Floor	0.2

In both calculation methods, the suggested method shall be followed:

- Calculate the average DF in all the rooms part of the net occupied area
- Identify all the rooms that have an average DF value between 1.5% and 3.5%
- All these rooms are considered as compliant for the daylighting credit (rooms with a DF above 3.5% can also be considered compliant but only if manual shadings are provided)

- Sum the areas of all these rooms and compare them to the net occupied area of the building using the following formula:

$$\text{Compliant Area Percentage [\%]} = \frac{A_C}{A_O} \times 100$$

$A_C$  = Compliant occupied area (sum of the areas of the compliant rooms) [m<sup>2</sup>]

$A_O$  = Net occupied area [m<sup>2</sup>]

Note: Projects using Climate Based Daylight modelling (CBDM) to optimize their daylight design may use methods such as daylight Autonomy (DA) or useful daylight illuminance (UDI) to demonstrate compliance with the credit. This shall be performed under VGBC guidance and might help to achieve an EP-2 credit.

## Submissions

### Certification Stage

- Plans and elevations outlining occupied spaces, daylit areas and indicating all glazing areas
- Photographs showing glazing areas and spaces with daylighting
- If daylight factor daylight calculations have been made with a daylight modelling software, report indicating modelling software inputs and outputs



## Appendix C: Lighting Power Density Requirements

**Table A.1:** Lighting Power Density Requirements  
(Sources: QCXDVN 09:2005, ASHRAE 90.1 – 2007 & 2010, ECBC – 2007)

Building Categories	Space types	LPD (W/m <sup>2</sup> )
Typical of all buildings	Corridor / Stairway	6
	Lobby	12
	Conference / Meeting / Multipurpose	13
	Restrooms	10
	Food Preparation	13
	Storage, Active	8
	Storage, Inactive	3
	Electrical/Mechanical	10
	Dressing/Locker/Fitting Room	7
	Workshop	17
Apartments	Apartments / Condos (Public spaces)	9
Banks	Lobby, General	9
	Lobby, Writing area	13
	Tellers' stations	16
Convention centers	Exhibit Space	14
	Audience seating	9
Dormitory	Living Quarters	10
Gymnasiums	Playing area	15
	Exercise / Fitness Area	10
	Seating Area	5
Hotels	Bathrooms	14
	Guest/ Bed Rooms, General	13
	Guest/ Bed Rooms, Reading	16
	Corridors, elevators and stairs	8
	Banquet and Exhibit	16
	Lobby, Front Desk, Reading	12
	Lobby, General Lighting	10

Hospitals	Consulting areas, General	12
	Consulting areas, Examination	12
	Corridors, General, Waiting Rooms	8
	Ward Corridors, Day / Night	9
	Laboratories, General	15
	Laboratories, Examination	20
	Nurses Stations	12
	Ward Bed Head, Reading	14
	Surgeries, General	17
Libraries	Libraries	14
Manufacturing	Low Bay (<25 ft Floor to Ceiling Height)	13
	High Bay (≥25 ft Floor to Ceiling Height)	15
	Detailed Manufacturing	16
	Equipment Room	11
Museums	General Exhibition	11
	Restoration	18
Offices	Open-plan and enclosed office areas	12
Parking / Garage	Parking area (enclosed/in-house)	2
Printing	Off-set printing and duplicating area	13
Restaurants	Fast Food/ Cafeteria	15
	Leisure Dining	14
	Bar/ Lounge	12
Retail, shops, stores	Conventional with counters	15
	Conventional with wall display	15
	Self Service	14
	Supermarkets	17
	Mall concourse/ multi-store service	8
Schools	Pre/elementary	13
	High/ Tech/ University	13
	Laboratory	14
Warehouse	Fine Material Storage	14
	Medium/Bulky Material Storage	9
Worship	Temples/ Churches/ Synagogues	14

# Glossary

## Specific LOTUS Terms

**Applicant** - The person/organization applying for LOTUS Certification of a project.

**Applicant Representative** - The Applicant Representative is responsible for all elements of the certification and submission process within LOTUS Rating Systems. The Applicant Representative will directly liaise with the VGBC Representative throughout all stages of LOTUS Certification.

**Application Form** - The Application Form is the first step in registering a project with the VGBC. Once completed, the VGBC will check to see that all relevant information is present and correct, register the project and request the payment of a Registration Fee.

**Assessment Fee** - The Assessment Fee is a one off charge by for the total administration process of LOTUS Certification and is bound by the Certification Agreement.

**Assessment Organization** – The organization that performs the assessment of the projects applying for LOTUS Certification.

**Assessment Organization Representative** - The Assessment Organization Representative is nominated within the Registration Process and will be the Assessment Organization primary representative that liaises with the Applicant Representative throughout the duration of the project.

**Base building** - The building which houses the interior project space.

**Category** - A Category is a grouping of Credits that have a similar area of focus and perceived environmental impact.

**Certification Agreement** - The Certification Agreement is the legally binding contract signed between the Applicant and the Assessment Organization upon registration.

**Credit** - Each Credit has a specific intent that, if followed and achieved, allows the user to gain points within a LOTUS Rating System.

**LOTUS Accredited Professional** - The LOTUS Accredited Professional or LOTUS AP has undergone training and successfully passed the LOTUS Rating System examination. Upon Accreditation, the LOTUS AP is then deemed qualified to work either as an internal or external resource within a LOTUS project.

**LOTUS Certified Rating** - The LOTUS Certified Rating is the result obtained after Submission has been assessed at Certification stage by the VGBC Representative. A project can achieved 4 levels of certification, LOTUS Certified, LOTUS Silver, LOTUS Gold or LOTUS Platinum.

**LOTUS Technical Manual** - The LOTUS Technical Manual is a user's guide to attaining the LOTUS Certificate. It provides technical guidance for all LOTUS Credits in order for users to understand intents, requirements, approaches and implementations, calculations and submissions.

**Project Identification Number (PIN)** - The Project Identification Number (PIN) is a unique reference number issued at the Registration Confirmation. This reference number must be protected and is for the use of the Applicant Representative when providing submissions to the VGBC.

**Submission** - The Submission is the process where all documents are provided to the VGBC Representative for assessment.

**Submission Section** – In each Credit, the Submission Section details all requirements that will be assessed for LOTUS Certification.

## LOTUS Submission Terms

**Commissioning Records** - Documents that record the activities and results of the Commissioning Process, including inspection reports, testing reports, etc.

**Contract** - A binding legal agreement of an exchange of promises between two or more parties.

**Delivery note** - A document accompanying a shipment of goods that lists the description, and quantity of the goods delivered.

**Drawings** - Two dimensional technical diagrams of a place or object.

**Elevation** - An elevation is a view of a building seen from one side, a 2D drawing of one facade of the building.

**Floor Plan** - A floor plan is the most fundamental architectural diagram, a view from above showing the arrangement of spaces in building in the same way as a map, but showing the arrangement at a particular level of a building. Technically it is a horizontal section cut through a building showing walls, window and door openings and other features at that level.

**Inventory / Schedule** - A complete list of specific items or contents within a project space.

**Invoice / Receipt** - A proof of purchase given from a supplier to a consumer.

**Photographs** - Photographs can be used as evidence to show that a strategy has been implemented, a piece of equipment has been installed, etc. The following requirements must be met when submitting photographs as evidence:

- Photographs should be dated
- Photographs should not be blurry or distorted
- Several photographs (at varying levels of proximity) should be taken for each green feature meeting LOTUS requirements. In this manner, both the general location and the specifics (model name, rated power input, etc.) of the green feature can be observed.

**Purchase order** - A buyer-generated document that authorizes a purchase transaction

**Schematics** - A diagram that represents the elements of a system using symbols.

## Technical Terms

**ASHRAE** - The American Society of Heating, Refrigerating and Air Conditioning Engineers is an international technical society for all individuals and organizations interested in heating, ventilation, air-conditioning, and refrigeration. The society publications include handbook, journal as well as series of HVAC relating standards and guidelines. These standards are often referenced in green building assessment reference guide/technical manual and are considered useful guide for consulting engineers, mechanical contractors, architects, and government agencies.

**Basis of Design (BOD)** - Document that records the concepts, calculations, decisions, and product selections used to meet the Owner's Project Requirements and to satisfy applicable regulatory requirements, standards, and guidelines. The document includes both narrative descriptions and specific assumptions made by the designers.

**Climate change** - In modern terms, climate change refers to the changes of the Earth climate mainly due to the uncharacteristic increase of greenhouse gases concentration in the atmosphere, resulting from human activities.

**Coefficient of performance (COP)** - The ratio of the rate of heat removal to the rate of energy input in consistent units, for a complete cooling system or factory assembled equipment, as tested under a nationally recognized standard or designated operating conditions. COP for air-cooled electrically driven air conditioners includes compressor, evaporator, and condenser. COP for water chilling packages does not include chilled water or condenser water pumps or cooling tower fans.

**Computational Fluid Dynamic (CFD) Analysis** - A modelling technique that can be used to calculate fluid properties such as temperature, heat flow, wind velocity and air flow of a building.

**Cooling Seasonal Performance Factor (CSPF)** - As defined in ISO 5151, CSPF is the ratio of the total annual amount of heat that the equipment can remove from the indoor air when operated for cooling in active mode to the total annual amount of energy consumed by the equipment during the same period. Unlike COP that represents the efficiency of an equipment at given conditions, CSPF represents the efficiency over a full year of operation.

**Daylight factor (DF)** - DF is the ratio of the light level inside a room to the light level outdoors. It is used to assess the internal natural lighting levels as perceived on working planes or surfaces.

**Environmental Product Declaration (EPD)** – It is a standardized way of quantifying the environmental impact of a product or system. Declarations include information on the environmental impact of raw material acquisition, energy use and efficiency, content of materials and chemical substances, emissions to air, soil and water and waste generation. Product and company information is also included.

**Global Warming Potential (GWP<sub>100</sub>)** - A value assigned to a refrigerant based on scientific measurements showing how much that refrigerant will contribute to global warming if released into the atmosphere. The reference datum is based on the effect of CO<sub>2</sub> in the atmosphere, which is assigned a GWP of 1. GWP is usually measure over a 100-year period and the lower the GWP of a refrigerant is the better or less harmful the refrigerant is for the environment.

**Gross Floor Area (GFA)** - The sum of the fully enclosed covered floor area and the unenclosed covered floor area of a building or a project space at all floor levels. Some commercial and public authorities use variants of this definition. Car parks are not to be included as GFA.

**HVAC (Heating, Ventilating and Air Conditioning)** - The equipment, distribution network, and terminals that provides either collectively or individually the processes of heating, ventilating, or air conditioning to a building.

**Illuminance** - The density of the luminous flux incident on a surface. It is measured in lux or lm/m<sup>2</sup> and is equal to the luminous flux (lumen) divided by the area (m<sup>2</sup>) of the surface when the latter is uniformly illuminated.

**Interior fit-out** - The installation of ceilings, floors, furnishings, and partitions of a building, as well as the installation of all required building services.

**LPD (Lighting Power Density)** – The ratio of lighting power to the illuminated area, measured in W/m<sup>2</sup>.

**Natural lighting or daylighting** - Technologies or design strategies used to provide lighting to buildings without power consumption. Although maximizing natural lighting will minimize electricity consumption used for lighting, too much solar irradiation will heat up the building and increase cooling load.

**Natural ventilation** - Technologies or design features used to ventilate buildings without power consumption. Natural ventilation, unlike fan-forced ventilation, uses the natural forces of wind and buoyancy to deliver fresh air into buildings.

**Net Occupied Area (NOA)** - The sum of the areas of all the occupied spaces of the project.

**Non-baked materials** - Also called non-fired materials. They are building materials that solidify and meet all required physical properties (compressive strength, bending strength, water absorption, etc.) without undergoing the firing process. With the Circular No. 13/2017/TT-BXD of December 8, 2017, the Ministry of Construction supports the development of non-baked materials to replace traditionally baked bricks, a main cause of pollution and energy waste.

**Non-ducted air-conditioners** - Also called ductless air-conditioners, they are standalone air-conditioning systems that do not employ any type of ductwork to move air from one space to another. The conditioned air is introduced into the room through an air handling unit installed within the room. This indoor unit is supplied with the necessary refrigerant through an outdoor unit, or is installed within the same package as the indoor unit.

**Occupied spaces** - Enclosed spaces that can accommodate human activities. They include workspaces (offices, meeting rooms, laboratories, etc.), event spaces (halls, sales areas, libraries, gyms, etc.), common areas (receptions, waiting rooms, lounges, lobbies, etc.), and learning spaces (classrooms). They exclude parking areas, corridors, staircases, storage areas, toilets, changing facilities, IT equipment rooms and mechanical rooms.

**Openable area of openings** - The openable area (also sometimes referred to as free area, effective open area, operable area, etc.) of an opening represents the area through which outdoor air can flow. It depends on the size and the type of openings. In LOTUS, the openable area of an opening can be determined using 2 different methods:

- It can be calculated as the total area of the opening (area of fixed frames should be deducted) multiplied with the effective open area coefficient of the opening set as:
  - 100% for openings with no windows/doors,
  - 90% for side-hung openings (that open outwards and/or inwards) with no restrictors,
  - 75% for jalousie windows (louvered windows),
  - 75% for awnings (top-hung windows that open outward, away from the building),
  - 50% for openings with fixed louvers,
  - 45% for hoppers (bottom-hung windows that open inward, into the building)
  - 45% for horizontal sliding openings.
- Or, it can be calculated using geometry to determine the net free unobstructed area of the opening through which outdoor air can flow.

**Owner's Project Requirements (OPR)** - Written document that details the functional requirements of a project and the expectations of how it will be used and operated. This



includes project and design goals, measurable performance criteria, budgets, schedules, success criteria, owner's directives, and supporting information.

**Ozone depletion potential (ODP)** - A value assigned to a refrigerant based on scientific measurements that show how destructive a refrigerant is to the ozone layer if released into the atmosphere. The reference datum is based on the effect of refrigerant R11, which is assigned an ODP of 1. The lower the value of ODP the better or less harmful the refrigerant is for the ozone layer and therefore the environment.

**QCVN 09:2017/BXD** – The Vietnam Building Energy Efficiency Code QCVN 09:2017/BXD is issued by the Ministry of Construction and is mandatory in Vietnam in order to help meet energy saving goals.

**Rapidly renewable materials** - A rapidly renewable material is a source that can regenerate what has once been harvested within 10 years or less.

**Reflectance** - The ratio of light reflected by a surface to the light incident upon it.

**Refrigerant** - A refrigerant is a compound used in a heat cycle that reversibly undergoes a phase change from a gas to a liquid in a process of converting thermal energy to mechanical output.

**Renewable energy** - Energy generated from sources (sunlight, wind, rain, tides, and geothermal heat) that are replenished naturally and continually.

**Tenant** - Entity (person, company, etc.) that occupies a property rented from a landlord (the property owner) through a lease or tenancy agreement.

**Testing, Adjusting and Balancing (TAB)** - They are the three major steps used to achieve proper operation of HVAC systems. Testing is the use of specialized and calibrated instruments to measure temperatures, pressures, rotational speeds, electrical characteristics, velocities, and air and water quantities for an evaluation of equipment and system performance. Balancing is the methodical regulation of system fluid flows (air or water) through the use of acceptable procedures to achieve the desired or specified airflow or water flow. Adjusting is the final setting of balancing devices such as dampers and valves, adjusting fan speeds and pump impeller sizes, in addition to automatic control devices such as thermostats and pressure controllers to achieve maximum specified system performance and efficiency during normal operation

**VAV (variable air volume)** - Type of HVAC system which has the ability to vary the airflow of cooled supply air (maintained at a constant temperature) to the different air-conditioned spaces in order to meet precisely the thermal setpoint.

**Ventilation** - The process of supplying fresh air and removing vitiated air by natural or mechanical means to and from a space. Such air may or may not have been conditioned.

**Volatile Organic Compound (VOC)** - An organic chemical compound that enters gaseous phase under normal room conditions due to its high vapor pressures. Some VOCs have negative effects on human health when concentrated in poorly ventilated indoor spaces.

**VRV/VRF (variable refrigerant volume/flow)** - Type of direct (one refrigerant only) air-conditioning system with variable speed compressors, several air handlers (indoor units) on the same refrigerant loop/circuit.

**VSD (variable-speed drive)** - Equipment used to control the speed of a pump or fan to adjust to the demand.

**Water efficient fixture** - Water-based fixture that requires less amount of water to complete a designed task than most average fixtures

## Addenda: Full list of changes from LOTUS SI V1

All the changes brought from the LOTUS Small Interiors V1 Technical Manual are listed in the following table.

Page	Credit	Section	Modifications
All	/	All	LOTUS SI V2 is now based on QCVN 09:2017/BXD. Therefore, all references to “VBEEC” in the Technical Manual have been replaced with <i>QCVN 09:2017/BXD</i> .
10	LOTUS Small Interiors Rating System	Categories	<ul style="list-style-type: none"> <li>▪ The credits have been reorganized in 7 categories, with the following new categories: <ul style="list-style-type: none"> <li>- Materials &amp; Resources: Materials + credits Fit-Out Waste and Operation Waste Management</li> <li>- Site &amp; Environment: Location &amp; Transportation + credit Refrigerants</li> </ul> </li> <li>▪ The Innovation category has been renamed Exceptional Performance.</li> </ul>
15	LOTUS Small Interiors Certification Process	Certification Stage	A description of the Appeal Procedure has been added.
20	E-1 Space Cooling - Strategy B - Prescriptive Path	Requirements	<ul style="list-style-type: none"> <li>▪ 4 points are now available in Strategy B</li> <li>▪ Requirements for the Prescriptive Path in Strategy B have been updated as follows: <ul style="list-style-type: none"> <li>- For 1 point, all air-conditioners must have at least 4 stars (instead of 3 stars previously) in the energy labelling program of VNEEP.</li> <li>- For 2 points, all air-conditioners must have 5 stars (instead of 4 stars previously).</li> <li>- For 3 points, all air-conditioners must have CPSF values above 4.5 (instead of 5 stars previously).</li> <li>- For 4 points, all air-conditioners must have CPSF values above 5.5.</li> </ul> </li> </ul>
21	E-1 Space Cooling - Strategy B - Prescriptive Path	A&I	<p>The following has been added to the Approach &amp; Implementation:</p> <ul style="list-style-type: none"> <li>▪ <i>Prescriptive path can only be followed by projects that are served by non-ducted air-conditioners with cooling capacity under 12 kW only. Other projects must follow the performance path in Appendix B.</i></li> <li>▪ <i>To make sure to select the most efficient systems, the project should not only consider the number of stars under VNEEP label, but should also consider the energy efficiency value shown on the label (equivalent to the CSPF value of the air-conditioner). The higher the CSPF value, the more efficient the air-conditioner.</i></li> </ul>

22	E-1 Space Cooling - Strategy B	A&I	The strategy on the use of variable controls cannot be followed by projects that are served only by non-ducted air-conditioners with cooling capacity under 12 kW. Indeed, CSPF values assessed in Strategy B already take into account energy efficiency from variable controls (inverters).
25	E-2 Artificial Lighting - Strategy A	Requirements	<ul style="list-style-type: none"> <li>Former Prescriptive path has been removed and only the Performance Path has been kept.</li> <li>Also, requirements of the Performance Path have been updated as follows: <i>For 1 point, lighting power density surpasses QCVN 09:2017/BXD requirements by 15% 1 point for every additional 15% improvement of the lighting power density compared to QCVN 09:2017/BXD requirements (up to 60%)</i></li> </ul>
25-29	E-2 Artificial Lighting - Strategy B	All	<ul style="list-style-type: none"> <li>Strategy B has been renamed <i>Automatic lighting shut-off controls and space controls</i>.</li> <li>The strategy is now based on QCVN 09:2017/BXD and include requirements on automatic lighting shut-off controls</li> </ul>
25-29	E-2 Artificial Lighting - Strategy C	All	<ul style="list-style-type: none"> <li>Strategy C has been renamed <i>Additional lighting controls</i>.</li> <li>The strategy now only includes requirements on main switch and task lighting</li> </ul>
40-43	MR-1 Sustainable Materials	All	<p>The credit was updated based on credit MR-1 Sustainable Materials in LOTUS NC V3 with:</p> <ul style="list-style-type: none"> <li>Revised criteria</li> <li>Definitions of pre-consumer material and post-consumer material added</li> <li>Sustainable materials separated from sustainable features of materials</li> <li>Updated calculation method</li> <li>Example of Calculation added</li> </ul>
44-47	MR-2 Sustainable Furniture Products	All	<p>The credit was updated based on credit MR-1 Sustainable Materials in LOTUS NC V3 with:</p> <ul style="list-style-type: none"> <li>Definitions of pre-consumer material and post-consumer material added</li> <li>Sustainable materials separated from sustainable features of materials</li> <li>Updated calculation method</li> <li>Example of Calculation added</li> </ul>
51-52	MR-4 Operation Waste Management - Strategy B	A&I and Calculations	<ul style="list-style-type: none"> <li>In line with LOTUS NC V3, requirements on the size of the dedicated storage area have been removed. However, the storage spaces sufficiently sized based on waste generation and frequency of recycling pick-up.</li> <li>Also, the dedicated storage area should be indicated with signs and should be located in the basement or at the ground level for convenient access by occupants and collection vehicles.</li> </ul>

55	H-1 Fresh Air Supply	A&I	The openable area of the openings should be calculated in accordance with the definition in the Glossary of Technical Terms.
57-58	H-2 Low-Emission Products	A&I	The list of products that can be considered as low-VOC emission products and low-formaldehyde products has been updated in line with LOTUS NC V3.
58	H-2 Low-Emission Products - Strategy C	A&I	The following has been added to the credit: <i>Epoxy floor coatings should be considered in this strategy but composite wood floorings should be considered under Strategy C: Composite wood.</i>
58	H-2 Low-Emission Products - Strategy D	A&I	The following requirement has been added to the credit: <i>use adhesives with no added urea-formaldehyde to fabricate assemblies.</i>
63	H-5 Daylighting	A&I	The following has been added to the credit: <i>All occupied spaces should be considered in this credit, except auditoriums and conference rooms dedicated to video conferencing that are not compatible with the provision of daylight. Other space types not compatible with the provision of daylight may be exempted from the credit, but this shall be subject to VGBC approval.</i>
66	H-6 External views	A&I	The following has been added to the credit: <i>All occupied spaces should be considered in this credit, except theaters, auditoriums, conference rooms dedicated to video conferencing and gymnasiums that are not compatible with the provision of external views. Other space types not compatible with the provision of external views may be exempted from the credit, but this shall be subject to VGBC approval.</i>
68	H-6 External views - Strategy B	A&I	The following has been added to the credit: <i>To qualify as an area with quality views, all the locations within the area must be within 8 meters of an external view.</i>
85	Man-1 Construction Stage - Strategy D	A&I	For welfare of construction worker, projects should provide toilets, washing facilities, drinking water and one other site amenities (such as accommodation, changing room, rest facilities, etc.)
87	Man-2 Commissioning	A&I	Testing, Adjusting and Balancing (TAB) should be performed only for centralized HVAC systems.

88	Man-3 Maintenance	A&I	The following paragraph has been added: <i>Only systems and equipment included in the scope of the interior project space must be considered in this credit. If possible, the project should encourage the base building to perform preventative maintenance for their systems serving the project space.</i>
89	Man-3 Maintenance - Strategy B	A&I	Requirements for the preventative maintenance plan have been updated: <i>The preventative maintenance plan shall include, as a minimum, the following information:</i> <ul style="list-style-type: none"> <li>• <i>List of all equipment requiring maintenance</i></li> <li>• <i>List of maintenance operations that should be performed for all listed equipment</i></li> <li>• <i>Frequency of the maintenance operations (= interval between 2 similar operations)</i></li> <li>• <i>Schedule indicating when each maintenance operations must be conducted for the whole year</i></li> </ul>
93-94	EP-1 Enhanced Performance	All	<ul style="list-style-type: none"> <li>▪ Credit has been renamed <i>Enhanced Performance</i>, instead of <i>Exceptional Performance Enhancement</i>.</li> <li>▪ Tables have been included to clearly show the cases in which points can be awarded.</li> <li>▪ Former Case 2 (<i>in a credit with only one performance threshold, the building performance significantly exceeds the credit requirement</i>) for which Exceptional Performance Enhancement points could be awarded has been removed.</li> </ul>
95	EP-2 Innovative Solutions	All	Credit has been renamed <i>Innovative Solutions</i> , instead of <i>Innovative Techniques/Initiatives</i> .
98	E-BPC-2 Water Heating	All	Former Option A: Solar water heating and Option B: Heat pump water heating have been merged.
99 - 102	H-BPC-1 Indoor Air Quality	All	Former H-BPC-1 CO2 monitoring and H-BPC-2 Pre-occupancy removal of pollutants have been merged and a new strategy on air filtration has been added.
112	Man-BPC-1 Independent Commissioning	All	To comply with the credit, not only a professional, independent commissioning agent must be hired, but also, owner's project requirements and basis of design must be produced and handed to the commissioning agent.
116	E-1 Space Cooling - Strategy A - Performance Path	A&I	The openable area of the openings should be calculated in accordance with the definition in the Glossary of Technical Terms.

114 - 118	E-1 Space Cooling - Strategy B - Performance Path	All	<ul style="list-style-type: none"> <li>▪ The whole Strategy is now based on QCVN 09:2017/BXD.</li> <li>▪ Also, different requirements were set for different types of HVAC equipment: <i>In comparison to QCVN 09:2017/BXD requirements, 1 point is awarded for every:</i> <i>20% improvement of CSPF for non-ducted air-conditioners</i> <i>-AND- 10% improvement of COP for other types of direct expansion air-conditioners</i> <i>-AND- 5% improvement of COP for chillers with cooling capacity under 1055 kW</i> <i>-AND- 3% improvement of COP for chillers with cooling capacity above 1055 kW</i></li> </ul>
115 - 117	E-1 Space Cooling - Strategy B - Performance Path	A&I and Calculations	<p>The following paragraphs have been added:</p> <ul style="list-style-type: none"> <li>▪ <i>Note: VRV/VRF systems should be considered as air-cooled air-conditioners and their efficiency should be compared to values in Table E.5.</i></li> <li>▪ <i>For VRV/VRF systems, projects should calculate COP by hand by dividing the rated cooling capacity of the outdoor unit (cooling capacity at standard rating conditions under AHRI 340/360 or, if not available, at the following conditions: indoor air at 27 °Cdb / 19 °Cwb and outdoor air at 35 °Cdb) of the systems by the total electric power input including the power of the outdoor unit and the power of the fans in the indoor units.</i></li> </ul>
122	W-1 Water Efficient Fixtures - Strategy A1 - Performance Path	Calculations	<p>The following assumptions have been added:</p> <ul style="list-style-type: none"> <li>▪ <i>Outpatients in health care facilities and customers in cafes and restaurants should be considered as visitors. But, for retail buildings, the retail customers should be considered separately from other visitors</i></li> <li>▪ <i>Any occupants using the building for sleeping overnight (hotel guests, hospital inpatients, etc.) should be considered as residents.</i></li> <li>▪ <i>In case automatic faucets are installed in the building, the baseline water use should be calculated with a 15 seconds duration of use and the design water use should be calculated with a 12 seconds duration of use.</i></li> <li>▪ <i>Kitchen faucets used exclusively for filling operations should not be considered</i></li> </ul>
129 - 130	Appendix C	Table A.1	Table A.1 has been updated with some new space types.
134-138	Glossary	Technical Terms	<p>Definitions have been added for the following:</p> <ul style="list-style-type: none"> <li>▪ Basis of design (BOD)</li> <li>▪ Cooling Seasonal Performance Factor (CSPF)</li> <li>▪ Non-ducted air-conditioners</li> <li>▪ Openable area of openings</li> <li>▪ Owner's project requirements (OPR)</li> </ul>